

CLERK'S COPY.

**EXHIBIT V**  
**TRANSCRIPT OF RECORD**

---

**Supreme Court of the United States**

**OCTOBER TERM, 1942**

**No. 497- 3**

**A. M. ANDERSON, RECEIVER OF NATIONAL BANK  
OF KENTUCKY, OF LOUISVILLE, PETITIONER,**

*vs.*

**KATHERINE KIRKPATRICK ABBOTT, ADMINIS-  
TRATRIX WITH THE WILL ANNEXED OF THE  
ESTATE OF DAVID J. ABBOTT, DECEASED,  
ET AL.**

**ON WRIT OF CERTIORARI TO THE UNITED STATES CIRCUIT COURT  
OF APPEALS FOR THE SIXTH CIRCUIT**

---

**PETITION FOR CERTIORARI FILED OCTOBER 28, 1942.**

**CERTIORARI GRANTED DECEMBER 7, 1942.**

---

IN THE  
**Supreme Court of the United States**

October Term, 1942

---

No. 497

---

A. M. ANDERSON, Receiver of the National Bank of  
Kentucky,

v.

*Petitioner,*

KATHERINE KIRKPATRICK ABBOTT, Administra-  
trix of the Estate of David J. Abbott, deceased, et al.,  
*Respondents.*

---

**Transcript of Record**

On Petition for Writ of Certiorari to the United States  
Circuit Court of Appeals for the Sixth Circuit

---

**EXHIBITS**

VOLUME No. 5

ROBERT S. MARX,  
FRANK E. WOOD,  
NICHOLS, WOOD, MARX & GINTER,  
Cincinnati, Ohio,

ALFRED C. KRIEGER,  
Louisville, Ky.,

*Attorneys for Petitioner.*

LAFON ALLEN,  
W. W. CRAWFORD,  
ALLEN P. DODD,  
Louisville, Ky.,

*Attorneys for Respondents.*

---



# INDEX TO EXHIBITS

EXHIBITS			Transcript	
Number	Volume	Page	of testimony	
			Page	
155	4	1985	518	Bill of complaint in case of John C. Wickliffe, Jr., Receiver of Lou. Tr. Co. v. James B. Brown, et al (re: double liability on Lou. Tr. Co. stock)
156	4	2019	537	Judgment of Judge Lafon Allen finding Lou Tr. Co. insolvent
157	4	2022	949	Copy of letter from Federal Reserve Bank to Robert Vaughan, Oct. 24, 1929, re: denationalization
158	4	2023	958	Letter from Robert F. Vaughan to Federal Reserve Bank, Oct. 11, 1929, re: denationalization
158-1	4	2024	958	Copy of receipt from office of Secretary of State of Kentucky for fee recording articles of Bank of Kentucky, Oct. 12, 1929
158-2	4	2025	958	Copy of letter from Federal Reserve Bank to Robt. F. Vaughan, Oct. 12, 1929, re: denationalization
158-3	4	2027	958	Letter from Robert F. Vaughan to Comptroller of the Currency, Oct. 15, 1929, re: denationalization
158-4	4	2028	958	Letter from Robert F. Vaughan to Federal Reserve Bank, Oct. 16, 1929, re: denationalization
158-5	4	2029	958	Letter from Robert F. Vaughan to Charles F. Jones, Oct. 19, 1929, re: articles of incorporation and minute book of new bank
158-6	4	2030	958	Copy of letter from Robert F. Vaughan to Deputy Banking Commissioner, Kentucky, Oct. 23, 1929, re: Articles of Incorporation of Bank of Kentucky
158-7	4	2031	958	Letter from Robert F. Vaughan to Federal Reserve Bank, Oct. 29, 1929
158-8	4	2032	958	Letter from Robert F. Vaughan to National Bank of Kentucky, November 6, 1929, re: denationalization and Federal Reserve examination
158-9	4	2034	958	Letter from Robert F. Vaughan to Comptroller of Currency, November 12, 1929, re: denationalization
158-10	4	2035	958	Copy of letter from Comptroller of Currency to Robert F. Vaughan, Nov. 14, 1929, re: denationalization

# INDEX TO EXHIBITS

EXHIBITS		Transcript of testimony		
Number	Volume	Page	Page	
158-11	4	2036	958	Letter from Robert F. Vaughan to Charles F. Jones, November 18, 1929
158-12	4	2037	958	Letter from Robert F. Vaughan to Comptroller of Currency, November 18, 1929, re: denationalization
158-13	4	2038	958	Letter from Robert F. Vaughan to Comptroller of Currency, December 21, 1929, re: denationalization
158-14	4	2039	958	Copy of telegram from E. F. Quinn to Robt. F. Vaughan, December 26, 1929, re: denationalization
158-15	4	2040	958	Copy of letter from W. T. ZurSchmiede to Robert F. Vaughan, January 22, 1930, re: Bank of Kentucky
158-16	4	2041	958	Letter from Robert F. Vaughan to Banking Commissioner of Kentucky, January 25, 1930, re: Bank of Kentucky
158-17	4	2044	958	Letter from Robert F. Vaughan to Comptroller of Currency, March 25, 1930, re: denationalization
159	4	2045	960	Letter from Robert F. Vaughan to James B. Brown, June 5, 1930, enclosing invoice for services in re Bank of Kentucky and denationalization
160	4	2050	1041	Minutes of meeting of Board of Education of State of Kentucky for September 18, 1929
161	4	2054	1208	Copy of letter from T. Kennedy Helm to H. W. Cammack, attorney general, Aug. 29, 1929, re: exchange of T.P.C.'s for Banco
162	4	2059	1059	Agreement to exchange T.P.C.'s for Banco, signed T. K. Helm
163	4	2060	1103	Letter from T. K. Helm to C. F. Jones, April 30, 1930, enclosing invoice for services, in re Board of Education matter
164	4	2062	1107	Letter from T. K. Helm to Charles F. Jones, February 14, 1930, re: Board of Education
165	4	2064	1116	Photostatic copy of sales of Banco Kentucky Company stock on Chicago Stock Exchange from Oct. 8, 1929 to January 8, 1931

# INDEX TO EXHIBITS

EXHIBITS		Transcript of testimony		
Number	Volume	Page	Page	
166	4	2081	1280	Agreement to exchange T.P.C.s for Banco, signed A. J. Carroll
167	4	2081	1280	Subscription for 1,000 shares Banco, signed A. J. Carroll
168	4	2082	1280	Agreement to exchange T.P.C.s for Banco, signed A. J. Carroll, Trustee
169	4	2083	1280	Letter from A. J. Carroll to Mr. Jones, July 21, enclosing clipping from New York World re bank mergers
170	4	2085	1367	Agreement to exchange T.P.C.s for Banco, signed William S. Speed
171	4	2086	1367	Agreement to exchange T.P.C.s for Banco, signed William S. Speed, Trustee for Alice Speed Stoll
172	4	2087	1650	Form letter from Blyth & Company (Louisville office), Oct. 14, 1929, re: Banco (with footnote)
172-a	4	2088	1650	Galley proof of Blyth & Company circular on Banco with Bank corrections
172-b	4	2090	1650	Copy of letter to Charles F. Jones, Cashier, National Bank of Kentucky, October 7, 1929, enclosing galley proof of Banco circular
173	4	2091	1650	Blyth & Company circular on Banco Kentucky Company
174	4	2096	1466	Agreement to exchange T.P.C.s for Banco, signed Walter H. Girdler
175	4	2096	1466	Subscription for 1500 shares Banco, signed W. H. Girdler
176	4	2097	1481	Agreement to exchange T.P.C.s for Banco, signed George O. Boomer
177	4	2097	1481	Subscription for 1,000 shares Banco, signed George O. Boomer
178	4	2098	1481	Agreement to exchange T.P.C.s for Banco, signed George O. Boomer
179	4	2099	1507	Copy of T. K. Helm report on sale of Cincinnati and Covington banks, November 19, 1930



# INDEX TO EXHIBITS

EXHIBITS			Transcript of testimony		
Number	Volume	Page	Page		
180	4	2109	1534	Agreement to exchange T.P.C.s for Banco,	signed Horace A. Taylor
181	4	2109	1534	Subscription for 300 shares Banco, signed	Horace A. Taylor
182	4	2110	1549	Statement of acquisition of Banco Kentucky	stock by Walter Girdler
183	4	2111	1555	Statement of acquisition of Banco Kentucky	stock by George O. Boomer
184	4	2112	1586	Agreement to exchange T.P.C.s for Banco,	signed E. Leland Taylor
185	4	2113	1586	Agreement to exchange T.P.C.s for Banco,	signed E. Leland Taylor
186	4	2114	1611	Agreement to exchange T.P.C.s for Banco,	signed Fred M. Sackett
187	4	2115	1611	Agreement to exchange T.P.C.s for Banco,	signed Olive S. Sackett by W. S. Speed, attorney
188	4	2116	1611	Agreement to exchange T.P.C.s for banco	signed Fred M. Sackett by W. S. Speed, attorney
189	4	2116	1611	Subscription for 3,000 shares Banco, signed	F. M. Sackett by W. S. Speed
190	4	2117	1612	Statement of acquisition of Banco stock by	E. J. O'Brien
192	4	2118	1614	Statement of acquisition of Banco stock by	Sam H. Stone
193	4	2119	1614	Statement of acquisition of Banco stock by	Ben Metcalfe
194	4	2120	1615	Statement of acquisition of Banco stock by	Angereau Gray
195	4	2121	1626	J. C. Daniels' statement of losses on items	criticized by Examiner Wood on May 25, 1929
196	4	2137	1628	J. C. Daniels' statement of losses on loans	made by National Bank of Kentucky to pay subscriptions to Banco stock



# INDEX TO EXHIBITS

EXHIBITS			Transcript of testimony		
Number	Volume	Page	Page		
197	4	2149	1631	White, Bower & Prevo report on comparison of deposits of National Bank of Kentucky	
198	4	2152	1640	Hugh A. White's statement of percentage of Banco stock held by former T.P.C. holders on various dates	
203	4	2153	1644	Letter from H. N. Kraft, Security Bank, to James B. Brown, April 18, 1930, re: Directors qualifying shares	
204	4	2154	1645	Statement showing acquisition of Banco stock by William S. Speed	
205	4	2157	1646	Letter from Richard Bean to James B. Brown, June 20, 1929, Kentucky law re: 50% ownership of bank stock	
206	4	2158	1647	Letter from John S. Wood to Charles F. Jones, May 20, 1930, re: interlocking directorates (including bulletin)	
207	4	2163	1648	Clipping from Cincinnati Enquirer, September 26, 1929, re: acquisition of Cincinnati Banks by Banco	
208	4	2165	1648	Capital stock certificate of Lou. Natl. Bk. & Tr. Co.	
209	4	2167	1648	Chicago Stock Exchange circular on Banco Kentucky Co., October 2, 1929	
210	4	2170	1648	Chicago Stock Exchange circular on Banco Kentucky Co., April 23, 1930	
211	4	2171	1652	Certified copy of findings of fact and conclusions of law in Deming v. Schram case	
212	4	2204	1657	Sample of Banco Kentucky Co. dividend check	

JEFFERSON CIRCUIT COURT

CHANCERY BRANCH,

DIVISION:

No.

JOHN C. WICKLIFFE, JR.,

Receiver of The Louisville  
Trust Company,

PLAINTIFF,

VS.

JAMES B. BROWN,

(For remaining defendants  
see following pages)

DEFENDANTS.

District Court of the United States for  
the Western District of Kentucky  
A. M. Anderson, Receiver, Plaintiff  
vs. No. 1046 Defendants  
David J. Abbott, et al.  
Fidelity & Deposit Co. Ltd. et al. No. 155

Herman R. Ackermann  
I. B. Ades  
Simon Ades  
E. C. Adolph  
Nellie Ahrens  
Maud Alnallie  
John S. Akers  
Mrs. B. K. H. Alcorn  
Miss Bettie F. Alford  
Darden Allen  
F. P. Allen  
J. W. Allen, Jr.  
Victor W. Allen  
William B. Allen, Lafon Allen, Charles W.  
Allen & Arthur D. Allen, Trustees for  
Mrs. Ethel Allen Gage  
Henry Alstedt  
Julius Ambrosius  
Robert Ambrosius  
Allen Ament  
Amelia W. Anderson  
Amelia W. Anderson, Executrix  
Annie N. Wilson, Deceased, c/o The Louis-  
ville Trust Company  
Mrs. Florence S. Anderson  
R. Tanner Anderson  
W. Sherman Anderson  
Warren L. Anderson  
Ida S. Anderson  
Amelle B. Angermeyer  
H. J. Angermeyer  
J. Frank Ansoar  
Walter G. Appel  
Mrs. Bea F. Archer  
George Armstrong  
Calvin G. Arnold  
Northorn Arterburn  
Bernard Asman  
W. F. Astor  
F. R. Ayres  
P. A. Bade  
Marion L. Bade  
Eleanor D. Baird  
Mrs. W. J. Baird  
Minnie L. Baldwin  
Carlton Ball  
Mrs. Elizabeth Ball  
Sunshine H. Ballard  
Eva B. Bandman  
Rodman Thurman Barber  
S. Lyman Barber  
Caroline F. Barbour  
Mrs. Elizabeth Akin Barbour  
Mrs. Allamora R. Barker  
Kenneth A. Barker  
Thos. A. Barker  
Claude R. Barnes  
Mrs. Chamie W. Barr  
John W. Barr, Jr.  
Ellen R. Barret  
Thomas L. Barret  
Austin G. Bartlett, Devisee, of Ella G.  
Bartlett  
Mal Hill Bartlett Billon  
Charles P. Barton  
Mrs. Henrietta W. Barton  
James T. Basham  
R. M. Bass  
Mrs. Lora Lee Bates  
Mrs. Sue S. Bayly  
Rella B. Bean  
Mrs. Willie May Beard  
Mrs. Alice M. Beattie  
Anna Filion Beck  
Miss Emma T. Beck  
G. F. Beck  
Max Belker  
Loris K. Bell  
Rallie V. Bell  
Richard C. Belshoff  
Miss Benedict  
Archie Bensinger  
Charles Bensinger  
Milton Bensinger  
Thekla Berg  
Dora Berger  
P. C. Berger  
Rebecca D. Berger  
Dennis Berman  
Frank D. Bernheim  
Gladys R. Bernheim  
Lynn B. Bernheim  
Samuel Bernheim  
William A. Reiser, Executor of the will of  
Henry Boston, Deceased  
Mary MacDonald Bethel  
Henry S. Beyer  
Carroll C. Bigley  
James W. Bigley  
James M. B. Birdwhistell  
William C. Bittner  
Ray Black  
Mrs. Blanche H. Blake  
Myra B. Blanton  
Dr. John M. Blau

Leo Block  
Oscar E. Block  
Bernard N. Block  
O. A. Block  
William Block  
Nathan P. Bloom  
R. V. Board  
Charles Bobelson  
Edward Bobelson  
C. J. Bodemer  
Temple Bodley  
Charles H. Bohmer  
Mrs. Jeanette C. Bohn  
Thomas A. Bohn  
William O. Bonnie  
George O. Boomer  
Beane Lodge I. O. O. F.  
Lucy N. Booth  
Mrs. Anna E. Bousler  
R. K. Boswell  
Margaret S. Bowmer  
Mrs. Bell Watson Botsler  
Robert D. Bottomley  
Roberta Bottorff  
J. C. Bourbe  
Benton B. Bower  
Mrs. Leora B. Bower  
T. W. Bowmer  
Berl Boyd  
Eugene W. Braithling  
Philip V. Braun  
Miss Elizabeth Breckinridge  
Lawrence W. Breed  
Miss Mary K. Breed  
Miss Fernis M. Breed  
George B. Breidenthal  
J. A. O. Brennan  
Thomas Brennan, II  
George A. Brent  
Mrs. Fannie B. Brent  
Lillian Allhands Brewer  
Annie G. Bridges  
Emma T. Bridges  
E. W. Bright  
W. L. Briscoe, Jr.  
Elisa S. Broadus  
Margaret Brodie  
Corlaine V. Browinski  
R. V. Browinski  
Bart A. Brown  
Carl F. Brown  
Frank H. Brown  
George Brown  
Harry M. Brown  
James B. Brown  
John J. Brown  
John Welburn Brown  
P. H. Brubeck  
Mrs. Helen Floyd Bryan  
S. H. Bryant  
Elizabeth Mildred Buchanan  
Miss Anna B. Budde  
Hugh Kennedy Bullitt  
Hugh K. Bullitt, Trustee for C. M. Bullitt,  
H. H. Bullitt and A. S. Bullitt  
Fred G. Burdorf  
Joseph E. Burghard  
Mrs. Marie M. Burke  
James R. Burkholder, Jr.  
A. Roy Burks  
W. Bowmer Burke  
Mrs. Mary M. Burton  
Business Enterprise Co., Inc.  
Thomas R. Butler, Executor of the will of  
Mrs. Fannie S. Butler, Deceased  
Miss Maude Butler  
Dr. Thomas L. Butler  
Fontaine Fox Caldwell  
Hamilton F. Caldwell  
Callahan & Sons  
Mrs. Ella Brook Campbell  
Justine Tandy Campbell  
Virginia B. M. Campbell  
Mrs. Cecelia B. Cardwell  
Charles L. Caron  
Jannie B. Carothers  
Judge A. J. Carroll  
A. J. Carroll, Trustee  
Allen R. Carter  
James G. Carter  
Mrs. Lou Ella Carter  
Maria G. Carter  
Mrs. Ernestine W. Charlton  
William J. Chawt  
John W. Check  
J. A. Check  
Miss Alice Chinn  
Miss Sally Chinn  
Ella Chrysler  
Zach Church  
City Bank & Trust Co. of Hopkinsville, Ky.  
Trustee for John P. Garnett Estate  
Mrs. Ray M. Claggott  
Mary P. Clancy  
Mrs. Mary P. Clancy

Ida Helm Clark  
Lottie C. Clark  
Morris C. Clark  
Walter S. Clark  
Mrs. Mattie A. Clarke  
Fred A. Clegg  
John W. S. Clements  
Anne L. Cochran  
T. Wilson Cochran  
Wilbur B. Cogshall  
Harry N. Cohen  
Adolph Cohn  
Lewis W. Cole  
Edith E. Coleman  
Mrs. Elias R. Coleman  
Hilton Collins  
Mary R. Collins  
Mary R. Collins  
Harriet C. Collins  
Miss Nancy M. Colville  
Mary Ford Condit  
Adella L. Conrad  
Converse & Co.  
Cook Benevolent Institution  
S. W. Cosas  
Thomas Cooper  
Mrs. Nettie E. Cornell  
Francis R. Cotton  
Jane B. Courtney  
Attila Cox  
Carrie G. Cox  
Wilson D. Crabb  
Annie Craig  
H. C. Cralle  
Lee E. Cralle  
Mary E. Cralle  
Mary W. Crawford  
Matt H. Crawford  
Matt H. Crawford, Trustee, Mary W.  
Crawford  
Mrs. Della Crutcher  
Margaret Cummins  
Mrs. Mary K. Cummins  
Mamie M. Curd  
W. P. Cumneck  
L. R. Curtis  
Mrs. Mattie G. Daniel  
John P. Dant, Sr.  
Joseph B. Dant  
Mrs. Bwing P. Daugherty  
Katherine Bowman Davies  
Carrie R. Davis  
Charles A. Davis  
Harry V. Davis  
Isadore Davis  
I. Davis  
DeMolay Commandery No. 13  
Knights Templar  
Mrs. H. Edna Denson  
Mrs. Lena DeSopo  
Miss Rose DeSopo  
George B. Dieffenbach  
Richard P. Dietzman  
C. P. Dodd  
Edward A. Dodd  
John L. Dodd  
Sidney E. Dodge  
Walter J. Dorrting  
Martha A. Doherty  
William B. Doherty  
Friedella W. Dohoney  
Edward C. Doll  
Jacob Doll  
Mrs. Mary C. C. Doll  
Miss Jennie H. Donahue  
Cornelius D. Decker  
H. H. Decker  
Virginia Dotson  
William T. Dotson  
Fidelity & Columbia Trust Co., Executor of  
the will of Edwin M. Drummond, De-  
ceased  
Mrs. Margaret Strain Duane  
W. M. Duane  
Edward G. Duckwall  
United States Trust Co., Executor of the  
will of Ben H. Dudley, Deceased  
B. A. Duerson  
Virgil O. Duffin  
James H. Duffy  
James T. Duffy  
Thomas J. Duffy  
Frank I. Dugan  
W. E. Dugan  
Annie Leathers Dunran  
S. E. Dunson  
William Grinstead Duncan  
Alex Dunlap, Jr.  
Lila Lithgow Dunlap  
J. H. Durham  
Joseph H. Durham  
Dr. Joseph F. Dusch  
George M. Eady  
Alice Stone Eastwood  
Catherine Eberlein



# Exhibit 155

Pauline Eckenroth  
John C. Eckert  
Mrs. Belle J. Edelen  
Edward C. Edinger  
Cleveland J. Edmonds  
Fidelity & Columbia Trust Co., Executor of the will of George B. Edwards, Deceased  
Mrs. Haldon Hardin Edwards  
Arch N. Egges  
Thomas H. Elliott  
Edwin H. Ellis  
Richard R. Elmore  
Harry W. Embry  
Harry W. Embry  
Mrs. Kate M. English  
John G. Epping  
Agnes J. Erickson  
Wm. C. Eubank  
Mrs. Nannie McD. Eustaphie  
Charles S. Evans  
Mrs. Flora Annette Evans  
The Louisville Trust Co., Admr. of George L. Everbach  
C. Oscar Ewing  
Hattie B. Ewing, Committee for J. M. Ewing  
Nancy Hassard Ewing  
Galvin M. Fackler  
Maria E. Farmer  
Alex T. Farnsley  
B. H. Farnsley  
Edward Farrell  
Alden L. Fawcett  
Norma K. Fegenbush  
Mrs. Eva Felsenthal  
Annet H. Fenley  
Frances M. Fenley, Jr.  
Mary W. Fenley  
Mrs. Mary W. Fenley, Executrix of the will of Oscar Fenley, Deceased  
John E. Fetter  
Fidelity & Columbia Trust Company, Guardian of Clara Allen  
Fidelity & Columbia Trust Company, Guardian of Frances Allen  
Fidelity & Columbia Trust Company, Guardian for Katherine Allen  
Fidelity & Columbia Trust Company Trustee for D. Barclay, Jr. et al  
Fidelity & Columbia Trust Company, Executor of A. Hite Barret  
Fidelity & Columbia Trust Company, Agent Carry F. Barnes  
Fidelity & Columbia Trust Company, Agent for Morris S. Belknap  
Fidelity & Columbia Trust Company, Trustee for Udolph T. Bradley  
Fidelity & Columbia Trust Company, Treasurer, Church Home for Females & Infirmary for the sick or both sexes  
Fidelity & Columbia Trust Company, Trustee for Frances T. Cole  
Fidelity & Columbia Trust Company, Agent for Eliza R. Coleman  
Fidelity & Columbia Trust Company, Agent Ethel Allen Gage  
Fidelity & Columbia Trust Company, Agent for Irene H. Gathright, Trustee James R. Marshall  
Fidelity & Columbia Trust Company, Executor of will of Hattie B. Gaubert  
Fidelity & Columbia Trust Company, Agent for Abbie C. Goodloe  
Fidelity & Columbia Trust Company, Trustee under agreement of Adelia V. Lee Estate  
Fidelity & Columbia Trust Company, Agent for Mary Potter Leigh  
Fidelity & Columbia Trust Company, Trustee Belle B. Lee Estate  
Fidelity & Columbia Trust Company, Trustee Annie T. Minnigerode  
Fidelity & Columbia Trust Company, Agent Anna Ray Muir  
Fidelity & Columbia Trust Company, Trustee Orphanage of Good Shepherd  
Fidelity & Columbia Trust Company, Agent for Fannie G. Rankin  
Fidelity & Columbia Trust Company, Trustee for Margaret G. Schell  
Fidelity & Columbia Trust Company, Trustee Maudie M. Shuttleworth  
Fidelity & Columbia Trust Company, Trustee John D. Taggart, Jr.  
Fidelity & Columbia Trust Company, Trustee for Eugene A. Taylor  
Fidelity & Columbia Trust Company, Agent for Mrs. Annie G. Thompson  
Fidelity & Columbia Trust Company, Trustee for Ralph S. Thompson  
Fidelity & Columbia Trust Company, Trustee for Mary J. Verboeff  
Fidelity & Columbia Trust Company, Agent for Edward L. Warren

Fidelity & Columbia Trust Company, Trustee for Mary Lee Warren et al  
Fidelity & Columbia Trust Company, Trustee Ethel R. Wood  
Fidelity & Columbia Trust Company, Agent Henry Fink Zinno  
Fidelity & Columbia Trust Company, Trustee for Ferda Zora Moren under will of Sebastian Zora, deceased  
Lizzie Field  
Mattie Field  
Sue F. Field  
William H. Field  
Mrs. D. M. Fink  
Margaret Fix  
Mrs. Milta B. Fleming  
Gustave Flexner  
J. A. Flexner  
Lillie R. Flexner  
Morris Flexner  
Arthur Fieschaker  
Minnie Fieschaker  
John A. Floorah  
L. L. Fontaine  
Louis Franconia  
Dr. Louis Frank  
Frankfort Cemetery Company  
Franklin Printing Company  
Francesca Fransman  
George Fransman  
Harry Fransman  
Miss Louisa Fransman  
Matilda J. Frasco  
Matilda J. Frasco, Trustee  
Samuel E. Frasco  
Virginia S. Frasier  
Mrs. Elizabeth C. Frasco  
Rev. George Friedrich  
William Frommiller  
Bernard A. Fuglesta  
Dr. Gavin Fulton  
Kate A. Fulton  
William Furlong  
Maurice L. Galvin  
Annie J. Gamble  
Fidelity & Columbia Trust Company, Administrator de bonis non with will annexed, of Rose Gamble, deceased  
Thomas Hoyt Gamble  
Albert J. Gans  
Miss Ida M. Gardner  
Mrs. Laura N. Garland  
James Garnett  
Mrs. James Garnett  
Jennie W. Garnett  
Mary Gunn Garnett  
Mrs. P. A. Garretty  
James W. Garrison  
Chas. D. Gates  
Mrs. Christine P. Gates  
Mrs. E. H. Gates  
Fred W. Gates  
Mrs. Irene H. Gathright  
R. Alexander Gayle  
George M. Gayle  
J. W. Gayle  
Wilbur E. Geisler  
General Association of Baptists in Kentucky  
Mrs. Laetitia Lucas Gentry  
Clara Gerst  
Walter F. Gerst  
Mrs. Sallie E. Gilkerson  
Heleen Gill  
J. B. Gill Co.  
J. L. Gill  
Martha Gill  
Miss Ollie Ginochio  
Lula Stafford Gipperich  
Walter H. Girdler  
Mrs. Annie R. Glazebrook  
James Glazebrook  
W. A. Glazebrook  
Ethel R. Gleason  
Margie A. Gleason  
Albert C. Gnan  
Annie C. Goby  
Francis J. Goby  
Martin S. Goby  
John Warren Godfrey  
Fred Gohmann  
John E. Goldbach  
Willie F. Goldthwaite  
Hallie Dudley Goodloe  
Wilhelmina Goodloe  
Fleet H. Goodridge  
Mrs. E. B. Gorley  
Albert C. Gorman and Aline Gorman as Joint Tenants with Right of Survivorship and not as Tenants in Common  
Mrs. Anna C. Goas  
Edward S. Graff  
W. Pruitt Graham, Curator  
Henry E. Grant  
Maria L. Grant  
Mrs. Nell E. Grant

Dr. Raymond Grant  
Eunice Tyler Grasch  
Louisa A. Graves  
Downey M. Gray  
Mary T. Gray  
McDonald Gray  
Joseph E. Grayhouse  
Ben J. Johnson, Admr. of the Estate of Annie Annis Green, deceased  
Dora Green or Elizabeth Green or The Survivor of Either  
Lavelle B. Green  
Miss Susan T. Green  
Thomas Green  
M. F. Greenstein  
J. Marcus Greer  
Howard C. Griswold  
Mary W. Guertant  
Mrs. Karoline E. Gund  
Mrs. Amy Guntermann  
George Gunther  
Mrs. Margaret O. Gunther  
George W. Haas  
W. A. Haas  
Albert J. Hackman  
Herbert Hart Hagan  
John G. Hager, Jr., Admr. of John G. Hager, deceased  
Mrs. Charles H. Hagerty  
Annie A. Halleck  
C. L. Hamilton  
Henry B. Hammond  
Bernard Handmaker  
Sidney D. Handmaker  
Granville S. Hanes  
Mrs. Myrta H. Hanly  
Mrs. Neville D. Hannah  
Mrs. Mary A. Hannan  
James P. Harbeson  
Mrs. Ophelia Hardin  
Augusta Willey Harris  
Laura L. Harris  
Miss Louise I. Harris  
John H. Harrison  
William B. Harrison  
Isaac S. Hartley  
William F. Hartmets  
William F. Haas  
Mrs. Anna B. Hastings  
George Bright Hawes  
George Bright Hawes, Trustee of Miss Gerorgetta S. Hawes  
Hattie S. Hawes  
William H. Hawes  
James J. Hayes  
Mrs. Etta Hast Hays  
George D. Heaton  
Amalia Hebel, Life Tenant Chas. M. S. Hebel Estate  
Mollie Hebel  
Mrs. Thelma Heck  
E. Lee Hefflin  
William S. Heidenberg  
Louis Heil and Hedwig Heil  
E. J. Heimerdinger  
Frieda W. Helier  
Clarence J. Hellman  
Mrs. Adelaide Stoll Helm  
T. K. Helm  
Dr. M. Joseph Henry  
Violet F. Henry  
Mrs. Lillian N. Henshaw  
Mrs. Louise C. Henshorne  
Louise B. Herrington  
Mrs. Alex Hertzman  
Irvine and Aaron Hertzman  
Rachel Hertzman  
Anna Vogt Heuser  
Miss Evelyn V. Heuser  
G. A. Heuser  
Henry B. Heuser  
William A. Heuser  
E. A. Hewett  
Mrs. Ida F. Hewett  
Margaret Fink Hewett  
Alice W. Heyman  
Charles W. Hibbitt  
Robert F. Hibbitt  
Baylor O. Hickman  
Mrs. Louise J. Hickman  
Beth Higgins  
John Higgins  
Samuel L. Hikes  
Verna Ray Hikes  
F. P. Hill  
Mrs. Rose R. Hillerich  
Edward H. Hilliard  
Fred J. Hinkelbath  
John W. Burt, Jr., and Allen R. Hite, Trustees of Estate of W. C. Hite  
Harry L. Hoagland  
Lucy Gilmer Hobbs  
Evelyn Hobson  
Joseph P. Hodapp  
Mrs. Mary T. Hodges



Clyde C. Hodges  
Louis F. Hoehle  
Ida Hoertz  
Margaret Hoertz  
Flora Hofstatter  
Miss Mary H. Hogan  
H. H. Hoheman  
Miss Fannie Holladay  
Wm. G. Holladay  
J. Claude Holman  
Gertrude Holman  
Elizabeth Holt  
Jane P. Hopper, Executrix of Estate of  
Mrs. Annie S. Hopper  
Jacob H. Horn  
W. A. Hornehan  
James J. Hougland  
Mrs. Anna Augusta Samm Howard  
Ben C. Howard  
Mrs. Minnie Howard  
Edyth W. Hovory  
Frank Huber  
Joseph Huber  
Walter E. Huffaker  
Harry H. Hummel  
Lovell M. Humphrey  
Howard Hunter  
Willard C. Hunter and Mabel Hunter  
Elizabeth L. Humman  
Mary L. Hutchesson  
Caroline C. Hutchings  
Kentucky Title Trust Company, Administrator de bonis non with will annexed of Wm. E. Hutchings, deceased  
Miss Winfred Hutchings  
Ben Louis Strauss Hutchins  
J. Bernhard Hutchins  
Joseph B. Hutchins  
John W. Hutchison  
Miss Margaret S. Hutchison  
J. Wiley Irvine  
Lee D. Irving  
Albert Isacon  
Edna Jacke  
L. C. Jackson  
Walter F. Jacobs  
Mrs. Margaret Vance Jarvis  
M. Eunice Joan  
Mrs. Alma C. Irvine  
Mrs. W. M. Irvine  
Myers S. Jean  
Chad D. Jefferson  
Mrs. Elise Bennett Jefferson  
Hal T. Jefferson  
Julia S. Jefferson  
J. William Jefferson  
Lewis S. Jefferson  
Mrs. Nellie G. Jefferson  
Carrie U. Jeanne  
P. L. Jeanne  
Joseph Jenks  
Mrs. Mary O. Johnson  
Alice L. Jones  
Anna M. Jones  
Mrs. Louella W. Jones  
Saunders P. Jones  
Samuel D. Jones  
Dorothy L. Joseph  
Oscar G. Joseph  
Mrs. Nancy Otter Joyce  
J. J. Kavanaugh  
Charles A. Kaye  
Eugenia Hall Keesey  
Walker B. Keightley  
D. Y. Keith  
Louisville Trust Company, Executor of will of Milburn P. Kelley, deceased  
George E. Kelley  
Helen M. Kennits  
Theodore Kennits  
W. L. Kennett  
Kentucky State Life Ins. Co.  
Camella S. Kern  
Joseph K. Kenneth  
Mrs. Lucy M. Kidd  
Mrs. Edna Kigel  
James L. Kilbourn  
Mrs. Ida Kilvington  
Mrs. Marie H. Kimball  
Mrs. Florence C. Kinnard  
George T. Kinnearney  
James W. Kinnearney  
Nora Kirsh  
Marie Greenwood Kirchner  
E. Bennett Kirwan  
Mrs. Josephine S. Kirwan  
J. William Klapheke  
G. L. Knight  
Sallie J. Knott  
Charles Kohert  
Sam J. Kohn  
Walter F. Kohn  
Fred A. Krutch  
Belle Gordon B. Krebs  
Charles C. Krebs

Eleanor G. Kramer  
George Krim  
John J. Kuna  
W. F. Edwin Kuna  
Mrs. Amelia Kuttner  
Edmund S. LaFollette and Mary LaFollette as Joint Tenants with Right of Survivorship and Not at Tenants in Common  
Helena Land  
Miss Caroline E. Lang  
Richard D. Langan  
George W. Langford  
Mary Gilbert Langford  
Frank H. Latham  
John W. Latham  
Mary R. Latham  
A. E. Latta  
Fidelity & Columbia Trust Co., Executor of will of Isabel Ray LaVielle, deceased  
Miss Christine Layer  
Charles F. Leathers  
John Allen Leathers  
Edward E. Lee  
Mrs. Estelle Lee  
Carolyn A. Leach  
Jennie E. Leedom  
Walter V. Leedom  
Frank LeFevre  
Fidelity & Columbia Trust Co., Executor of the will of Louis Leib, deceased  
R. J. Lemonds  
George F. Leonard  
Harry N. Levy  
Fred Levy  
James S. Levy  
L. M. Levy  
Markham Levy  
Miss Ada S. Lewis  
Emmerida E. Lewis  
Jacob B. Lewman  
Mary V. Lewman  
Mrs. Emma Lieber  
Fred H. Lieber  
Miss Genevieve Lindsey  
H. Watson Lindsay  
Julia R. Lindsay  
John B. Lindsay, Jr.  
Miss Lillian Lindsay  
Miss Maria Lindsay  
Alvin Linker  
Barnet Linker  
Harry Linker  
Max M. Lipshi  
H. T. Lively  
Wells C. Logan  
Edith E. Longest  
Fidelity & Columbia Trust Co., Executor of the will of George J. Long, deceased  
L. H. Long  
Nellie J. Long  
Arthur Loomis  
Louisville Cement Company  
Kentucky Title Trust Co., Successor to The Louisville Trust Company, Trustee  
Mrs. Amelia W. Anderson  
Kentucky Title Trust Co., Successor to The Louisville Trust Company, Trustee  
Mary M. Barclay  
Kentucky Title Trust Co., Successor to The Louisville Trust Co., Trustee under will Mary Barkley Brown for Sally R. Barkley and Wm. Barkley.  
Kentucky Title Trust Co., Successor to The Louisville Trust Co., Trustee under will Mary Barkley Brown for William Barkley.  
The Louisville Trust Company, Trustee for Laura E. Bashaw.  
The Louisville Trust Company, Trustee Lucy Whipples for Dorothy Beard  
Fidelity & Columbia Trust Co., Successor to The Louisville Trust Co., Trustee under will Clara E. Bullitt.  
Fidelity & Columbia Trust Co., Successor to The Louisville Trust Co., Trustee Anna E. Burton.  
Kentucky Title Trust Co., Successor to The Louisville Trust Co., Trustee under Agreement for Kate W. Crawford.  
Liberty Bank & Trust Co., Successor to The Louisville Trust Co., Trustee under will George W. Detchen for Minnie Detchen.  
Liberty Bank & Trust Co., Successor to The Louisville Trust Co., Trustee under will Louise S. Detchen for Minnie Detchen.  
Liberty Bank & Trust Co., Successor to The Louisville Trust Co., Trustee Minnie Detchen.  
Fidelity & Columbia Trust Co., Successor to The Louisville Trust Co., Trustee under will W. W. Drummond.  
Kentucky Title Trust Co., Successor to The Louisville Trust Co., Trustee under will B. F. Guthrie

United States Trust Co., Successor to The Louisville Trust Co., Trustee under will Joseph Hahn, Sr.  
The Louisville Trust Co., Agent Isabel Haldeman and Isabel Haldeman.  
Kentucky Title Trust Co., Successor to The Louisville Trust Company, Executor Jennie C. Hanks.  
The Louisville Trust Co., Trustee Estate Theodore Harris.  
The Louisville Trust Co., Trustee William Hastings.  
Fidelity & Columbia Trust Co., Successor to The Louisville Trust Co., Trustee under will Frank Heck for Mary B. Heck.  
Kentucky Title Trust Co., Successor to The Louisville Trust Co., Trustee for Francis Griffith Hogan.  
Fidelity & Columbia Trust Co., Successor to The Louisville Trust Co., Agent W. G. Holladay.  
The Louisville Trust Co., Trustee Rose Anna Hughes.  
Fidelity & Columbia Trust Co., Successor to The Louisville Trust Co., Guardian for Beverly Jones.  
Kentucky Title Trust Co., Successor to The Louisville Trust Co., and Stuart E. Duncan, Trustee under will Kate A. Leathers for Stuart E. Leathers.  
The Louisville Trust Co., Trustee Stella A. McKinney.  
Fidelity & Columbia Trust Co., Successor to The Louisville Trust Co., Executor Frank A. Meana.  
Fidelity & Columbia Trust Co., Successor to The Louisville Trust Co., Trustee Lucy Elizabeth Moorman under will C. P. Moorman, deceased.  
Central Trust Co., of Owensboro, Ky., Successor to The Louisville Trust Co., Trustee under will C. L. Morehead.  
Central Trust Co., of Owensboro, Ky., Successor to The Louisville Trust Co., Trustee for C. J. Morehead, Fannie Morgan and Clara Blocher.  
Kentucky Title Trust Co., Successor to The Louisville Trust Co., Trustee under will Caldwell Norton.  
Fidelity & Columbia Trust Co., Successor to The Louisville Trust Co., Trustee Mary Partle.  
The Louisville Trust Co., Trustee Ella L. Powers.  
Kentucky Title Trust Co., Successor to The Louisville Trust Co., Trustee under will Warren A. Smith for Katie Smith.  
The Louisville Trust Co., Trustee under will of Henry Strator.  
The Louisville Trust Co., Trustee under will Frank P. Strass for Harry C. Strass.  
The Louisville Trust Co., Trustee under will Frank P. Strass for Ruth Naomi Strass.  
Mary C. Wafley and Elizabeth Cloud Florence Y. Love and Amantius B. Love under terms of will of James Y. Love probated July 13, 1878.  
Alberta Lucas  
May Belle Ludwig  
J. S. Lutz  
Birdie Kelly Lynch  
Staghton E. Lynd  
H. Guy Lyon  
Mrs. Kathleen Kelly Lyon  
S. Clay Lyons  
George H. McAlister  
Florence McClelland  
John H. McClure  
Richard L. McCready  
Ida M. McCulloch  
Manie C. McCulloch  
Charles H. McDonald  
Donald McDonald, Jr.  
Mrs. Elise B. McDowell  
Joe McDowell  
James P. McGee  
James H. McGiboney  
Stephenson W. McGill  
William J. McGonigale  
Marie D. McGoodwin  
James S. McKenna  
Stafford E. McKenna  
Evelyn B. McKenna  
Mrs. Rose H. McKenna  
Fidelity & Columbia Trust Co., Administrator with the will annexed of R. W. McKery.  
Thomas McKenna  
Joseph F. McWilliams  
Mrs. Teresa K. MacDonald  
Ruby Macklin  
Mrs. Rose G. MacNeal

# Exhibit 155

Mary O. Malone  
Irvin Mann  
Mrs. Lucy J. Mann  
William Mann  
Allie H. Manning  
M. Marshall  
Lyman C. Martin  
Alexander B. Massey  
Thomas J. Mattingly  
Mrs. Hannah Maurer  
C. W. May  
J. E. Mayle  
Elison C. Means  
William Mehler  
Mrs. Florence S. Melton  
C. C. Mengel  
Clarence R. Mengel  
Edwin Potter Mengel  
Robert L. Mercke  
Stella Evans Mercke  
Ben J. Metcalf  
Louis F. Metz  
Mary Michel  
Max Miel  
Mrs. Florence L. Miles  
Mrs. Alice Miller  
Mrs. Alice L. Miller  
Ben M. Miller  
Mrs. Ben M. Miller  
Emil F. Miller  
Frank Miller  
Frank H. Miller  
Gordon Miller  
Harold W. Miller  
J. S. Miller  
J. William Miller  
Mrs. Lottie C. Miller  
Mary Floyd Miller  
Neville Miller  
Perry B. Miller  
J. Fred Miles  
L. L. Miles  
Mrs. Jennie E. C. Mills  
T. J. Minary  
James B. Miner  
Charles W. Minrath  
Robert B. Minton  
Z. H. Mitchell, Henry J. Mitchell, I. T.  
Fugate, Trustees, Estate of Robert  
Mitchell  
Miss Theresa Moellman  
J. H. Mogan  
Catherine D. Mooney  
Mrs. Emma L. Moore  
The Charles P. Moorman Home for Women  
Mrs. Marion Moorman  
Percy Zorn Moren  
John J. Moran  
Miss Margaret Moriarty  
Andrew H. Morris, Jr.  
Miss Ellen W. Morrison  
Mrs. Mary H. Morris  
David C. Morton  
E. D. Morton  
Edward D. Morton  
Jane L. Morton  
Rogers C. B. Morton  
Thurston Ballard Morton  
Forrest L. Moseley  
Marie B. Moses  
Mrs. Minnie A. Mosher  
Timothy I. Mosebarger  
Miss Gretchen Mueller  
Annie B. Muir  
D. X. Murphy  
D. X. Murphy & Bro.  
Miss Della G. Murphy  
J. C. Murphy  
Mrs. Mary Sue Murphy  
Mrs. Elizabeth J. Myer  
Fidelity & Columbia Trust Co., Guardian of  
Sarah Sebastian, Jr.  
Kentucky Title Trust Co., Successor to  
National Bank of Kentucky, Trustee for  
Percy Zorn Moren.  
Lamar W. Neblett  
H. H. Neel  
Vellie Cooke Nelson  
Eugene F. Nelson  
Mrs. Cleo Nettelroth  
Christopher L. Neu  
Herman D. Newcomb  
Laura M. Newell  
Wilbur I. Newhouse  
Miss Martha C. Newman  
Mrs. Martha Frances Newman  
Annie F. Nicholson  
Walter J. Nisbet  
G. Douglas Nock  
Alphonso L. Noe  
Mrs. Hattie Lee Noe  
Mrs. Ella T. Norton  
Miss Mary Norton  
E. J. O'Brien, Jr.  
Richard Whelan, Edward J. O'Brien, James

B. O'Brien, executors of E. J. O'Brien,  
Sr., deceased.  
Arthur H. Ochs  
Rt. Rev. John A. Floerch, Successor to  
Rt. Rev. D. O'Donoghue, and J. P.  
Crohen, George W. Schuhman and C.  
J. O'Connell, Treasurers under will of  
J. D. O'Leary, deceased.  
Jacob B. Ohligschlager  
Mrs. Clara Oliver  
Matthias R. Oliver  
Henry D. Ormsby  
Robert W. Osborn  
Charles J. Osborne  
Mrs. Nettie C. Osterman  
H. E. Ottenheimer  
J. A. Paradis  
Annie Mary Parker  
George H. Parker  
J. C. Parker  
Minnie White Parker  
Miss Edna Parrott  
Parris Rest  
Mrs. Jannie M. Patterson  
Walter K. Patterson  
Mrs. Lena Paul  
Mrs. Rena W. Paul  
Anna S. Payne  
George L. Peabody  
James R. Peabody, Jr.  
Dr. Charles S. Peary  
Mary E. Pell  
W. O. Penick  
Roy T. of Hallie Pernell as Joint Tenants  
with Right of Survivorship but not  
as Tenants in Common  
Arthur Peter  
Miss Mary A. Pettet and Miss Margaret  
Pettet as Joint Tenants with Right of  
Survivorship  
Henry C. Pfingst  
Richard F. Platt  
Fidelity & Columbia Columbia Trust Co.,  
Committee for John B. Pirtle, Incompe-  
tent.  
Miss Juliet Nold Pirtle  
Miss Lena Plouvier  
Genevieve D. Pogue  
Cleo Seifrit Polloi  
William O. Poteet  
Josephine C. Potter  
Mrs. Alice Pound  
Mrs. Elsie G. Powell  
Miss Annie B. Poynter  
Charles H. Pratt  
Charles B. Price  
John W. Price, Jr., and Charles B. Price,  
Executors of the Estate of John W.  
Price, deceased.  
Mrs. Mary Belle Price  
John W. Pruett  
Mrs. Juanita Kline Pruett  
Mrs. Cora R. Quinn  
W. Embury Quinn  
C. K. Ramp  
W. M. Randall  
Mrs. Fannie G. Rankin  
Mrs. Rose Marie Raque  
Joseph Rauch  
Marie Ray  
Harry P. Reager  
Mrs. Susan P. Redd  
G. Lee Redmon  
E. W. Reed  
Frances Jones Reed  
G. R. Reed  
Mary E. Reed  
Mrs. Kate W. Reid  
Dr. Alexander M. Reitzel  
Adolph Reutlinger  
Richard S. Rhynolds  
Miss Annie A. Richardson  
John Riehl  
William Ritcher  
D. Yandell Roberts  
Ben Robertson  
Ella B. Robertson  
Eugene B. Robertson  
Charles P. Robinson  
Charles P. Rockwood  
Marie L. Rogers  
Roman Catholic Bishop of Louisville, Incor-  
porated  
Mrs. Marie J. Remiser  
Rommell Brothers  
August Ropke  
Frank Ropke  
D. B. G. Rose  
Den Rosenbaum  
Harry Rosenbaum  
Isaac Rosenbaum & Sons  
Jesse Rosenbaum  
Leon Rosenbaum  
Sam Rosenbaum  
Mrs. Caroline Rosenberger

Erma M. Rosenblum  
H. Rosenblum  
Miss Anna C. Roth  
Emanuel A. Rothschild  
Ellis G. Rudolph  
R. C. Ruff  
W. H. Ruff  
George W. Russell  
Harry W. Russell or Rebecca Russell  
Mrs. Lillian S. Russell  
Mrs. Ruby Russell  
R. Ruthenberg  
Arthur M. Rutledge  
Rosalee W. Rutledge  
W. Frank Ryan  
Mrs. Bert B. Sachs  
D. A. Sachs, Jr.  
Mrs. Esther S. Sachs  
Fred M. Sackett  
Mrs. Olive S. Sackett  
St. Andrew's Church  
Mrs. Kate L. Sanders  
Mrs. Minnie Graves Sanders  
Harry Church Sargent  
U. V. Sargent  
Joseph J. Sasz  
Susan Barr Satterwhite  
Mary L. Sayre  
Rosa Dudley Searce  
Mary W. Schachner  
Mrs. Searphine M. Schaefer  
Mrs. Margaret Schanzbacher  
Albert Schell, Jr.  
John J. Schlicker  
Lorena Schlachter  
Mrs. Carrie F. Schlegel  
Louise Schmalzried  
Annie E. Schmid  
Martin L. Schmidt  
Mrs. Helen C. Schmitt  
C. J. Schneider  
Edw. Schneider, Jr.  
Samuel J. Schneider  
William Schnell  
L. F. Scholl  
Miss Anna Schollan  
Mrs. Flora B. Schoppenhorst  
William J. Schopp  
Joseph J. Schrecker  
Louis Schuster  
Eugene Schwab  
Joseph Schwab  
Julius J. Schwab  
Mrs. Mary Schwarz  
Mrs. Fannie G. Schweitzer  
Mrs. Fannie G. Scruggs  
Horace H. Seay  
Security Trust Company, Trustee for Susan  
S. Townsend under Agreement dated  
February 15, 1902.  
Otto Seelbach  
Alfred Selligman  
Bernard Selligman  
Mrs. Florence M. Selligman  
Mrs. Jennie K. Selligman  
Joseph Selligman  
Semonin Goodman, Incorporated  
Charles B. Sempie  
George C. Sempie  
Lottie D. Sempie  
Caroline C. Settle  
John H. Settle  
Nannie E. Settle  
Max Shapiro & Sons  
Cornell D. Shea  
Miss Elizabeth M. Shea  
Jesse W. Shea  
Eva Macklin Shedd  
Miss Mary F. Sheehan  
The Shelby County Trust Company, Trust-  
tee for Bertie M. Cox.  
Mary H. Shelow  
Mrs. Pearl G. Sheppard  
Bertha C. Sheridan  
Mary F. Simpson  
Mary G. Short  
Thomas L. Butler, Administrator de bonis  
non, will annexed, William Short, de-  
ceased.  
C. R. Shrader  
H. U. Shrader  
Adam T. Siler  
H. S. Simon  
Mrs. Florence C. Simpson  
J. H. Simpson  
Dr. Virgil Simpson  
John J. Skaggs, Jr.  
Mrs. Mary Olinmour Skillman  
O. T. Skillman  
Ethel R. Skinner  
Francis Skinner  
Reburn H. Slack  
Thomas C. Small  
Mary E. Smith, Executrix of the Estate of  
D. H. Smith, deceased.



Fred W. Smith  
 Mrs. Julia G. Smith  
 Mrs. Katie Smith  
 Mrs. Lenore C. Smith  
 Nellie Cook Smith, Executrix  
 R. Harry Smith  
 William B. Smith  
 Mrs. Emma Sargent Smithers  
 Isabella Smyser  
 Thomas S. Speed  
 Theo. C. Shively  
 Hiram T. Snyder  
 Mrs. Mary F. Snyder  
 Mondel Snyder  
 Joe Spalding  
 Peter E. Spalding  
 Peter E. Spalding, Jr.  
 Henry P. Spatz  
 William S. Speed  
 William S. Speed, Trustee for Alice Speed  
 Stoll  
 William S. Speed  
 Dr. Edward Spalding  
 L. G. Spillman  
 Samuel Sprowles  
 Mrs. Olive Spurling  
 Jennie M. Staadeker  
 Jennie M. Staadeker, Executrix, Leon  
 Staadeker, deceased.  
 Charles B. Stansbury  
 Helen B. Stark  
 Isaac F. Starke  
 Millicent Starke  
 Mrs. Emma G. Starr  
 State Bank & Trust Co. of Harrodsburg,  
 Committee of James A. Stout.  
 Lillian Elizabeth Stage  
 William A. Steiden  
 Joseph G. Steidle  
 Frank Steier  
 William Steier  
 Mrs. Hannah Steinau  
 Joseph C. Steltenpohl  
 W. L. Stephan  
 Margaret M. Stewart  
 Ida Stillwell  
 Jarrett Stiles  
 Amelia Stockhoff  
 Lucy Ann Stockhoff  
 Mrs. Alice Speed Stoll  
 Sam H. Stone  
 Stanley W. Stopher  
 Wallace L. Stopher  
 Harvey Stout  
 Morris Stout  
 Warden Stout  
 Bertram H. Straus  
 Harry A. Straler  
 Mrs. Fannie B. Straus  
 Fannie B. Straus, Samuel L. Straus and  
 Ludwig Frank, Trustees under the will  
 of Ben Straus for Samuel L. Straus.  
 Mary G. Street  
 Fannie Henly Streng  
 Jesse F. Streng  
 Eleanor Carter Strickler  
 Lane P. Stucky  
 Lane P. Stucky, Beneficiary of Estate of  
 T. Hunt Stucky.  
 Luella M. Sutton, Executrix of will of Frank  
 Sutton, deceased.  
 George Cary Tabb  
 J. Preston Tabb  
 Jeanette P. Tabb  
 William F. Tafel  
 Ann Caywood Talbott  
 Mrs. Elizabeth Knox Tarkington  
 W. H. Tarvin  
 E. C. Taylor  
 Edmund Watson Taylor  
 E. Leland Taylor  
 Francis B. Taylor  
 Horace A. Taylor  
 Miss Mildred Taylor  
 Horace A. Taylor and T. P. Taylor, devisees  
 of T. P. Taylor, deceased.  
 Henry Thomann  
 Miss Anne Thomas  
 Fidelity & Columbia Trust Co., Adminis-  
 trator de bonis non with will annexed  
 of Emily H. Thomas, deceased.  
 Mrs. Mary B. Thomas  
 John C. Thompson, Administrator Nannie  
 G. Thompson  
 Mrs. Minerva Thompson  
 Robert P. Thompson  
 Mrs. Sarah C. Thompson  
 William S. Threlkeld  
 C. O. Tice  
 Elsie Tobe  
 Bosworth M. Todd  
 Mrs. Marshall Paul Todd  
 Edward J. Tracy  
 Mrs. Laura Davies Trammell  
 Thomas Trammell

John H. Treat, Jr.  
 H. L. Trimble  
 Mrs. Ida White Trimble  
 Robert M. Trimble, Jr.  
 Seiden Y. Trimble  
 H. B. Troxler  
 Miss Carrie Trueheart  
 Harriett Temple Truman  
 Jeffe W. Tuley  
 Florence O'Bannon Turner  
 Marshall Turner  
 Virginia W. Tyler  
 William Ummethun  
 United States Trust Company and David  
 C. Morton, Trustees under the will of  
 Mary B. Morton.  
 Charles Van Overbeke  
 Jennie Van Overbeke  
 Benj. Vaughn  
 Robert F. Vaughn  
 Sanford Vaughn  
 C. Ed. Veeneman  
 Mrs. Emma Veeneman  
 Carolyn Verhoeff  
 Mary Verhoeff  
 Albert L. Vignini  
 J. A. Vignini  
 Catherine E. Visaman  
 Louis Visaman  
 Albert R. Vogel  
 Emile Van Overbeke  
 Clarence W. Vogt  
 F. Sherman Vogt  
 Henry Vogt  
 Harry A. Vois  
 Harry A. Vois, Jr.  
 Mrs. Lucien Waggoner  
 Lucien Waggoner, Jr.  
 Mrs. Anna D. Waking  
 Edward H. Waking  
 Mrs. Elizabeth K. Waking  
 Mrs. Lillie Waking  
 Robert C. Waking  
 William Waking  
 Henry P. Wahl  
 Joseph T. Wahl  
 Dunlap Wakefield  
 Isaac H. Wakefield  
 Dr. J. J. Wakefield  
 Max Waldman  
 H. Wallace  
 J. K. Waller  
 Mrs. Minna Waltenberger  
 Lawrence Walter  
 C. L. Walters, Jr.  
 Sadie G. Walters during her life under the  
 will of her Mother, Emma G. Hacker.  
 Henry H. Waltring  
 Mrs. Blanche C. Ware  
 R. F. Warfield  
 Clinton G. Warren  
 Fidelity & Columbia Trust Co., Executor of  
 will of Edward L. Warren, deceased.  
 Emma Warren  
 Ben F. Washer  
 Morris Wasserman  
 R. E. Watken  
 J. W. Watkins  
 Mrs. Angie P. Waugh  
 Mrs. Katherine B. Weakley  
 George A. Weatherford  
 Mamie L. Weaver  
 Carolyn Weber  
 George E. Wedding  
 Elmer H. Wedekind  
 Mrs. Louise D. Wedekind  
 Ruth Wedekind  
 Henry Wehmhoff  
 George W. and Ida E. Wetgarden, Joint  
 Tenants  
 Edwin J. Wetzman  
 E. Weinstein  
 George A. Weiss  
 B. F. Wempe  
 O. A. Weech  
 Mrs. Anna E. Weszel  
 Frank S. West  
 Whayne Company  
 Miss Dora Wheat  
 Dora Wheat or Mary Wheat or Survivor of  
 either  
 Miss Mary Wheat  
 Blakmore Wheeler  
 Mrs. Georgia B. Wheeler  
 Minnie W. Wheeler  
 W. C. Wheeler  
 Richard J. Whelan  
 Clara W. White  
 Clara W. White, Trustee  
 Clarence White  
 Mrs. Ella E. White  
 Etta H. White  
 Lewis H. White  
 Mattie White  
 Thomas E. Whitehead

Mrs. Janet H. Whitcomb  
 A. G. Whitley  
 Mabel R. Whitley  
 H. J. or C. J. Whittier, as Joint Tenants  
 with Remainder to Survivor  
 John J. Wickstead  
 Miss Mary L. Wight  
 Benedict J. Wight  
 Laura M. Willey  
 Caroline M. Williams  
 Richard R. Williams  
 Thomas N. Willis  
 Claude R. Barnes, Executor of Amelia  
 Barnes Wilson, Deceased  
 Clarence R. Wilson  
 George C. Wilson  
 Henry L. Wilson  
 Hattie A. Wimp for Life with Remainder as  
 Under Will of Julia V. Thomas  
 Mrs. Lilly E. Windell  
 Kentucky Title Trust Co., Executor of Will  
 of Mary E. Winston, Deceased  
 Clara May Wirth  
 Mrs. Emma C. Wirth  
 Louis K. Wirth  
 Mrs. Mary Wirth  
 Mrs. Balle S. Withers  
 Mrs. Frankie L. Witherspoon  
 Laura G. Wittgenstein  
 Flora Wolbert  
 Dr. Claude T. Wolfe  
 George M. Wolff  
 A. W. Wolpert  
 Annie B. Wood  
 Ellen B. Wood  
 George B. Wood  
 Lizzie B. Wood  
 Nannie A. Wood  
 Walter E. Wood  
 Alex M. Woodruff  
 George E. Woodruff  
 Woodson Kratch Monument Co.  
 Isaac T. Woodson  
 Clementine V. Woodward  
 Rowan B. Wornall  
 Charles C. Wren  
 Wright & Taylor  
 John E. Wright  
 Clara H. Wulf  
 Mrs. Amelia Wunash  
 Virginia Quarrier Wyant  
 Sam W. Eakew, Executor  
 Estill Lewis Yager, Deceased  
 Mrs. Eliza S. Young  
 Hugh W. Young  
 Mrs. Irene Taggart Young  
 Mildred E. Young  
 Utley B. Young  
 Elmer Zarbohl  
 Belle W. Zellner  
 A. C. Zoeller  
 Mrs. Helen A. Zoll  
 Mrs. Marie A. Zoll  
 Mrs. Edna Temple Zorn  
 W. T. Zurschmiede  
 Carry F. Baynes  
 Morris S. Belknap  
 Elizabeth B. Coleman  
 Ethel Allen Gage  
 Irene H. Gathright  
 Abbie C. Goodloe  
 Mary Potter Leigh  
 Anna Ray Muir  
 Fannie B. Rankin  
 Mrs. Annie G. Thompson  
 Edward L. Warren  
 Henry Fink Zinne  
 W. G. Holladay  
 M. J. Adams  
 Frances H. Y. Allen  
 Winthrop Allen  
 Bush W. Allen  
 Wm. E. Allen  
 Montgomery Merritt Alves  
 Clifford L. Alderson  
 Fidelity & Columbia Trust Co., Trustees  
 under the will of Willard O. Anderson,  
 Deceased  
 Mrs. Minnie W. Atchison  
 Ritchey J. Baird  
 Joseph Bolligman, Executor of Milton Bark-  
 house, Deceased  
 Fidelity & Columbia Trust Co., Executor of  
 the will of A. H. Barret, Deceased  
 Thos. J. Wood, Executor of the will of Alex  
 G. Barret, Deceased  
 Elizabeth M. Barret  
 Abe Baze  
 Ethel N. Bayless  
 Richard Bean  
 Mrs. Eleanor Beard  
 James W. Beattie  
 Mrs. Ethel Beeson  
 Juliet E. Belknap

Wm. B. Balknap, A. D. Allen & J. H. Scales,  
Trustees for Mary B. Gray  
Wm. B. Balknap, A. D. Allen and J. H.  
Scales, Trustees for Alice B. Hawkes  
Arthur B. Bensinger  
Florence M. Black, Devisee of Wm. Black,  
Deceased  
Mary R. Blain & Lucia C. H. Blain, Devi-  
sees of Randolph H. Blain, Deceased  
C. J. Bodemer  
Mrs. Neely H. Booker  
David Borden  
Bertha S. Bottom  
Dorothy C. Boyce  
Lucie Clark Boyce  
Frank Bray  
Grace Breed  
Miss Lilla N. Breed  
Winthrop N. Breed  
Bettie Bridges  
Harry Bridges  
Harry King Brooking  
Davis Brown  
Miss Mary Browning  
Sallie W. Bruce, Executrix Heim Bruce,  
Deceased  
Edward G. Budde  
Fidelity & Columbia Trust Co., Executor of  
the will of Annie L. Bullitt  
Gabell Bullock  
Mrs. Nellie Burke  
Cynthia S. Burnam  
Everett E. Butler  
Mary L. Byrne  
O. O. Byron  
R. L. Callahan  
Lesa A. Carpenter  
Children's Free Hospital  
J. Matt Chilton  
Ada T. Clark  
George M. Clark  
Robt. R. Cleland  
Maurice S. Cohn  
Lewis W. Cole  
John D. Coleman  
Jacob D. Coleman  
John V. Collis  
Mrs. Louise Conrad & Miss Richa Hartmets  
of Survivor of either  
Mrs. Emma Stiles Cook  
Denver B. Cornett  
Anna G. Cowan  
Beattie Pollard Cox, Executrix of the will of  
Millard F. Cox, Deceased  
Horace C. Dale  
Frank L. Dant, Guardian of William Wal-  
lace Cardwell  
Fores Dennis  
Leora B. Dennis  
Allen P. Dodd  
Charles H. Doll  
B. A. Duerksen  
Harry Dumoulin  
Joe. P. Dumoulin  
Wm. G. Duncan  
Dunbar S. Dunkerson, Guardian for Pe-  
tricia S. Dunkerson  
Hugh H. Ellis  
Merritt L. Emrich  
Henry V. Essert  
George E. Ewald  
C. O. Ewing, 2nd  
Wm. E. Fells  
Mrs. Anna May Fenslee Farnaley  
Fidelity & Columbia Trust Co., Trustee Ida  
Shallcross Anderson  
Fidelity & Columbia Trust Co., Trustee  
Theo. L. Barret  
Fidelity & Columbia Trust Co., Trustee  
Mary S. Beasley under will of J. Sidney  
Hewitt  
Fidelity & Columbia Trust Co., Trustee  
Mary S. Beasley under will of R. C.  
Hewitt  
Fidelity & Columbia Trust Co., Trust Mar-  
tha P. Blacker and Belle B. Thomson.  
Fidelity & Columbia Trust Co., Trustee  
David G. Becken  
Fidelity & Columbia Trust Co., Trustee  
Virgie Barret Bridges  
Fidelity & Columbia Trust Co., Trustee  
Pearl R. Barford  
Fidelity & Columbia Trust Co., Trustee  
Pearl R. Barford under will of H. M.  
Barford  
Fidelity & Columbia Trust Co., Trustee  
Ellen M. Bush  
Fidelity & Columbia Trust Co., Trustee  
Mrs. Lily T. Butler  
Fidelity & Columbia Trust Co., Trustee  
Mary P. Chaney  
Fidelity & Columbia Trust Co., Trustee  
Ellen P. R. Coleman  
Fidelity & Columbia Trust Co., Agent Hen-  
rietta T. Compton  
Fidelity & Columbia Trust Co., Agent Car-  
rie Cooper  
Fidelity & Columbia Trust Co., Trustee  
under will of Howard Cornelius  
Fidelity & Columbia Trust Co., Trustee  
under will of Andrew Cowan  
Fidelity & Columbia Trust Co., Trustee  
Ida F. Curry  
Fidelity & Columbia Trust Co., Trustee  
Alma B. Davis  
Fidelity & Columbia Trust Co., Trustee  
under agreement Emma C. Dick  
Fidelity & Columbia Trust Co., Trustee  
Margaret Russell Dillon  
Fidelity & Columbia Trust Co., Trustee  
Elizabeth B. Dowling  
Fidelity & Columbia Trust Co., Trustee  
Helen J. Ewald  
Fidelity & Columbia Trust Co., Agent L.  
Phillip Ewald  
Fidelity & Columbia Trust Co., Trustee  
Phillip Louis Ewald  
Fidelity & Columbia Trust Co., Trustee  
Sterling D. Ewald  
Fidelity & Columbia Trust Co., Trustee  
Ida B. Flesner  
Fidelity & Columbia Trust Co., Agent Han-  
nah B. Forman  
Fidelity & Columbia Trust Co., Trustee  
Mrs. Alta Fulton  
Fidelity & Columbia Trust Co., Agent Beattie  
G. Glover  
Fidelity & Columbia Trust Co., Agent M.  
Alice Hays  
Fidelity & Columbia Trust Co., Trustee  
E. Hesse Estate  
Fidelity & Columbia Trust Co., Guardian  
Annie Marie Hite  
Fidelity & Columbia Trust Co., Trustee  
John C. Hooe  
Fidelity & Columbia Trust Co., Trustee  
Annie T. Laggett  
Fidelity & Columbia Trust Co., Trustee  
Isabella T. Lloyd  
Fidelity & Columbia Trust Co., Agent  
Ellen C. Milton  
Fidelity & Columbia Trust Co., Trustee  
Lily E. Moorman  
Fidelity & Columbia Trust Co., Trustee  
Morris G. Munn  
Fidelity & Columbia Trust Co., Trustee  
Annie Mary Parker  
Fidelity & Columbia Trust Co., Trustee  
under will of Corintha G. Platt  
Fidelity & Columbia Trust Co., Trustee  
Louise E. Rehm  
Alma N. Richardson  
Fidelity & Columbia Trust Co., Trustee  
Fidelity & Columbia Trust Co., Trustee  
Kate J. Potter  
Fidelity & Columbia Trust Co., Trustee  
Kate J. Potter  
Fidelity & Columbia Trust Co., Trustee  
Florence D. Seaman under will of  
Fannie M. DePaw  
Fidelity & Columbia Trust Co., Trustee  
under will of Lillian M. Sample  
Fidelity & Columbia Trust Co., Trustee  
Ellen P. Sholtmann  
Fidelity & Columbia Trust Co., Agent Ellen  
Parker Sholtmann  
Fidelity & Columbia Trust Co., Trustee  
Annie C. Stewart  
Fidelity & Columbia Trust Co., Trustee  
W. Russell Teggart  
Fidelity & Columbia Trust Co., Trustee  
W. B. Thomas  
Fidelity & Columbia Trust Co., Trustee  
J. J. Wakefield  
Fidelity & Columbia Trust Co., Trustee  
Ella M. Warren  
Fidelity & Columbia Trust Co. and Edward  
L. Warren, Executors and Trustees  
under will of Ella M. Warren  
Fidelity & Columbia Trust Co., Trustee  
for Mrs. Kate Ray Wilson  
Fidelity & Columbia Trust Co., Trustee  
Ellen D. Winter  
Fidelity & Columbia Trust Co., Committee  
Sidney Wolfe  
Fidelity & Columbia Trust Co., Trustee  
Elizabeth C. Wood Estate  
Fidelity & Columbia Trust Co., Trustee  
Jacob Zimmerman and Carl F. Zim-  
merman  
Fidelity & Columbia Trust Co., Trustee  
Jacob Zimmerman and Lee G. Zim-  
merman  
Mary W. Fooley  
Mrs. Charlotte P. Finzer  
Mrs. Elizabeth T. Fory  
Ludwig Frank  
A. R. Fursbach  
Mrs. Elvira E. Gaylord  
Anthony Garst  
Emma P. Graham  
Anne Wood Grant  
Oswley Grant  
Louise B. Graves  
Angerens Gray  
Horriense Horton Gray, Executrix D. W.  
Gray, deceased  
Henry O. Gray  
S. J. Greenstein  
Henry J. Groive  
Bailey Grinstead  
Sidney Grossman  
Laura L. Gwathmey  
Bruce Haldeman  
Mrs. P. T. Hale  
Maude E. Hamilton  
Henrietta D. Harvey  
Annie I. and Mammie Hay  
E. W. Hays & Co.  
Virgil A. Heck  
Paul Hefflin  
T. K. Helm  
J. Duford Hendrick  
Mrs. M. Estelle Herr  
Dr. Henry C. Herrmann  
Mrs. Joan Heister  
Julia B. Heyburn  
William Heyburn, Trustee under 5th clause  
of will of Henry W. Barrett, deceased.  
R. Baylor Hickman  
C. C. Hhett  
Mrs. Mary Hillenmeyer  
Miss Alice L. Hilliard  
Dennis J. Hines  
Sophy R. Hirsch  
Thatcher Hovits  
Herbert Hart Hogan  
Phil Hollenbach  
T. Bates Hudson and his successor Trustee  
for Matthe Hudson under will of J.  
Price Hudson, deceased  
George B. Hunt  
Inter-Southern Life Ins. Co.  
Mrs. W. M. Irvine  
J. E. Jansen  
Henry M. Johnson  
Joseph E. Johnson  
Charles F. Jones  
Saunders F. Jones, Jr.  
Alfred S. Joseph  
Sara Louise Kaufman  
Mrs. Rosa Keller  
James Kennedy, Administrator for Paul  
Thompson  
Kentucky Title Savings Bank & Trust Co.,  
Trustee under will of William R. Ray  
Mrs. Emma Kessler  
Oswald J. Kirchner  
John L. Klapheke  
Liberty Bank & Trust Co., Administrator de  
bonis non with will annexed Wm. H.  
Koppelman  
Kentucky Title Trust Co., Trustee under  
will of Paul Kratz, deceased  
Liberty Bank & Trust Co., Trustee will of  
Mrs. Mary Krumer, deceased  
Liberty Bank & Trust Co., Administrator  
de bonis non with will annexed Brad-  
nard Lamm, deceased  
The Louisville Trust Co., Trustee under  
will of J. Lewis Letterie, deceased  
Sol Levy  
Mrs. J. Lewis Letterie  
Marion W. Lewis, Jr.  
Isabelle Lindsay  
J. W. Lindsey  
Thomas N. Lindsey  
Dulaney Logan  
Mrs. Bettie Long  
Louisville Trust Co., Trustee, City.  
The Louisville Trust Co., Guardian of B.  
W. & S. E. Baird  
Kentucky Title Trust Co., Successor to The  
Louisville Trust Co., Trustee Caroline  
C. Hutchings  
The Louisville Trust Co., Trustee Joseph  
W. Irwin  
Fidelity & Columbia Trust Co., Successor  
to The Louisville Trust Co., Trustee  
under will of J. B. Wilder  
C. U. McElroy Est.  
John B. McElroy  
John B. McFerran, Jr.  
Watson B. McFerran  
A. B. Madison  
Miss Margaret L. Mahoney  
Charles N. Manning  
E. Marshall  
Burgess B. Mason  
Wm. K. Maule  
Harry L. Means  
C. E. Merriam  
Brenton L. Mettler  
James P. Miller  
Shackelford-Miller, Jr.



Will E. Miller  
 Miss Ruth M. Mitchell  
 Robert Montgomery  
 Lily B. Moorman  
 Margaret Moriarty  
 William T. Moriarty  
 J. Morton Morris, Executor Fannie C. Morris  
 William E. Morrow  
 Harry R. Moeson  
 Mrs. Lula M. Mueller, Trustee under will Hans Mueller, deceased.  
 Wm. O. Munn  
 T. M. Murphy  
 Gertrude Dudley Musson  
 Kentucky Title Trust Co., Successor Trustee for Dr. Louis Frank.  
 Mrs. Jessie S. Norton  
 Charles A. Nugent  
 Lee Oberdorfer  
 Eudre R. Ogden  
 Jessie Belle Otter  
 Edgar M. Parsons  
 W. A. Perry  
 Robert L. Phillips  
 Mrs. Genevieve D. Pogue, Executrix Phillip S. Pogue, deceased.  
 Miss Mabel Hardy Politt  
 W. Logan Porter  
 H. H. Poutch  
 A. F. Ramser  
 George Ramser  
 Miss Frances O. Read  
 W. M. Reed  
 Fred A. Reese, heir of Anna Amelia Reese, deceased.  
 Laura D. Richardson  
 Harry Rietas  
 William O. Robertson  
 Kentucky Title Trust Co., Successor to the Louisville Trust Co., Executor A. H. Robinson, deceased.  
 Jacob Rosenheim  
 Robert L. Ross  
 Miss Delphine Rothchild  
 Flora Rothchild  
 Arthur M. Rutledge  
 Mrs. Jennie S. Salomon  
 Margaret L. Scanlon  
 Edna C. Schmidt  
 Mrs. Gilbert Schneider  
 Gilbert Schneider  
 Theo. Schulthess  
 Edwin F. Schwab  
 Stephen H. Shallerous  
 Harry T. Shanks  
 D. G. Shannon

Jesse W. Shea, Administrator of Estate of Thomas Shea  
 Carrye K. Sloan  
 Mrs. Amy A. Smith  
 O. Ben Smith  
 Mildred Lee Smith  
 Saldee Kempshall Smith  
 Margaret G. Sneed  
 Fillmore L. Spelden  
 Julius L. Spielberger  
 Millicent Starks, Guardian of B. M. Starks, Jr., Josephine Starks and Elizabeth Starks.  
 Fred B. Stewart  
 John Stites  
 Lewis S. Strong  
 Louis Ochs and Nannie Burger  
 Devisees of Mattie L. Tachau, Estate Harry G. Talamini.  
 Fidelity & Columbia Trust Co., Guardians of Sourisa Taylor and Mary Jane Taylor, devisees of Chas. F. Taylor.  
 Mrs. E. A. Taylor  
 Edith S. Taylor  
 T. Guthrie Taylor, Administrator J. C. Taylor, deceased.  
 C. W. Hay, Executor J. Swigert Taylor, deceased.  
 Major Taylor  
 Caroline F. Tevis  
 Ben J. Johnson, Administrator de bonis non with will annexed, A. V. Thompson, deceased.  
 I. H. Thurman  
 John White Trimble  
 United States Trust Co.  
 United States Trust Co., Trustee Anna G. Cowan.  
 Fidelity & Columbia Trust Co., Trustee Elizabeth C. Wood Estate.  
 United States Trust Co., Trustee Ballard Library.  
 United States Trust Co., Trustee Allen Breed.  
 United States Trust Co., Trustee under will Mary P. Gill.  
 United States Trust Co., Trustee Caroline A. Reese.  
 Miss Emma Uri  
 A. C. VanWinkle  
 Elizabeth Vaughn  
 Edward H. Vignini  
 George J. Vignini  
 Marie O. Vignini  
 Joseph C. Wagner  
 William Wagner

Cary L. Warren  
 Freeman S. Webb  
 Mrs. Belle M. Well  
 Alice A. White  
 Rowland Whitney  
 Mrs. Isabella Talbot Wilder  
 Mrs. Elizabeth L. Williams  
 Mrs. Kate R. Wilson  
 Karl F. Wirth  
 Edgar G. Wells  
 Frieda S. Weygold  
 Richard M. Williams  
 Elizabeth Willmore  
 Margaret Willmore  
 Joseph C. Wolf  
 C. V. Woods  
 Joel H. Wright  
 Rudolph Zimmerman  
 Henrietta T. Compton  
 Carrie Cooper  
 L. Phillip Ewald  
 Hannah B. Forman  
 Beattie G. Glover  
 M. Alice Hays  
 Ellen C. Milton  
 Eliza Parker Sheltman  
 Isaac Hilliard, Edw. H. Hilliard, A. J. Howard, Marion H. Cardwell, Andrew P. Geis, Robert J. Theobald and Harold Walton, Partners doing business as J. J. B. Hilliard & Son.  
 B. C. Lyons, B. C. Lyons, W. L. Lyons, W. G. Lyons and J. M. Warden, Partners doing business as W. L. Lyons & Co.  
 Fred L. Almstedt, Henry Almstedt, Arthur H. Almstedt and Richard H. Almstedt, Partners doing business as Almstedt Bros.  
 Henning Chambers, Harrison Hunter, O. J. Turner and Louis Van Overbeke, Partners doing business as Henning Chambers & Company.  
 James C. Willson, Robert J. McBride, Henry T. Short, R. Alexander Robinson and Otto C. Ruth, Jr., Partners doing business as James C. Willson & Company.  
 W. Bowman Clark and Alvin P. Bohmer, Partners doing business as John L. Dunlap & Company.  
 Milton S. Trost, Julian S. Stein, C. Prevost Boyce, Henry C. Evans and W. T. Childs, Partners doing business as Stein Brothers & Boyce.  
 A. E. Latta doing business as Wakefield & Company.  
 D. X. Murphy and J. C. Murphy, Partners doing business as D. X. Murphy & Bros.

# Exhibit 55

## PETITION IN EQUITY

The plaintiff, John C. Wickliffe, Jr., states that pursuant to the appointment and qualification as hereinafter set out, he is now Receiver of The Louisville Trust Company in place and stead of the Fidelity and Columbia Trust Company which was originally appointed Receiver of The Louisville Trust Company as hereinafter set out.

Plaintiff states that at all the times hereinafter mentioned The Louisville Trust Company was and now is a duly incorporated trust company organized and existing under and by virtue of the laws of the Commonwealth of Kentucky; that on the 17th day of November, 1930, The Louisville Trust Company was closed by action of its Board of Directors, and on said day, O. S. Denny, the Banking Commissioner of the Commonwealth of Kentucky, took charge of said closed trust company and of all its property and effects, pursuant to the provisions of law in such case made and provided; that thereupon, and while said closed trust company and all its property and effects still remained in the charge of said Banking Commissioner, said Banking Commissioner caused a petition, No. 305,173, in the name of the Commonwealth of Kentucky, by said O. S. Denny, Banking Commissioner as relator, against The Louisville Trust Company, to be filed in the Chancery Branch of the Jefferson Circuit Court, that being the Circuit Court of the county where said Louisville Trust Company was and is located, and that in and by said petition said relator applied to said Court for the appointment of a Receiver of The Louisville Trust Company according to the provisions of Section 165a-18, Kentucky Statutes; that notice of said application was duly served upon The Louisville Trust Company and thereupon said Court, upon proper hearing of the parties, determined that the appointment of a Receiver of The Louisville Trust Company in said proceeding was justified, and said Court did, on the 31st day of November, 1930, duly appoint the Fidelity and Columbia Trust Company of Louisville, Kentucky, a trust company duly organized and existing under the laws of the Commonwealth of Kentucky, Receiver of The Louisville Trust Company and fix the amount of bond to be given by it; that on said day, said Fidelity and Columbia Trust Company accepted said appointment and duly qualified as Receiver of The Louisville Trust Company in said proceeding by executing the bond fixed as aforesaid and by its proper officer taking the oath prescribed by law, and that by virtue of aforesaid appointment and qualification said Fidelity and Columbia Trust Company then became Receiver of The Louisville Trust Company and that its said receivership continued until this plaintiff was appointed in its place as hereinafter set out.

Plaintiff states that The Louisville Trust Company was insolvent at the time of the appointment of said Fidelity and Columbia Trust Company as its Receiver and at the time of the entry of the judgment, hereinafter referred to, on the 3rd day of July, 1931, and that at the time of the entry of the aforesaid judgment, said Receiver had in its hands for administration and settlement the estate of it, to bring such actions and take such proceedings as might be necessary and proper to enforce the liability imposed upon stockholders of The Louisville Trust Company and all persons and estates subject to such stockholders' liability as fixed and provided by Section 547, Chapter 22, Kentucky Statutes, and such other statutes as impose the liabilities of stockholders in banks and trust companies organized under the laws of the State of Kentucky.

Plaintiff states that in aforesaid action No. 305,173, in which said Fidelity and Columbia Trust Company was appointed Receiver of The Louisville Trust Company, such further proceedings were had with the result that the Court did, by judgment entered on the 3rd day of July, 1931, duly decree and determine that The Louisville Trust Company was, on November 17, 1930, and continued to be at the time of the entry of said judgment, insolvent to such an extent that the amount of its indebtedness, over and above the total value of its assets, exceeded the sum of \$1,750,000.00, the aggregate amount of the par value of the shares of capital stock of The Louisville Trust Company outstanding in the hands of its stockholders at the time of the closing of the company as aforesaid, and that it was necessary under and for the proper administration of the assets and settlement of the estate of The Louisville Trust Company in the hands of its Receiver, that an assessment of the maximum liability imposed by law upon the stockholders of The Louisville Trust Company, and that said Receiver bring such actions and take such proceedings as might be necessary and proper to enforce the maximum liability as aforesaid of all persons and estates subject thereto; that, in and by the aforesaid judgment, said Fidelity and Columbia Trust Company, in its capacity as Receiver of The Louisville Trust Company, was duly ordered and directed to assess the maximum liability imposed by statute, Louisville Trust Company and against all its former stockholders still subject to such assessment, including all other persons and estates subject to assessment on account of such stockholders' liability, and, in and by the aforesaid judgment, said Fidelity and Columbia Trust take such proceedings as might be necessary and proper to collect such and all of said assessments and to enforce the maximum liability of all stockholders, other persons and estates subject to said liability, under the statutes in such case made and provided. An attested copy of said judgment is filed herewith as part hereof marked Exhibit "A".

Plaintiff states that said judgment was duly entered and has not been set aside, or modified or appealed from, and is now in full force and effect, but that this plaintiff in his capacity as Receiver of The Louisville Trust Company, in the place and stead of said Fidelity and Columbia Trust Company, under appointment as hereinafter set out, is now charged with the duty of carrying out the directions and orders which aforesaid judgment imposed upon said former Receiver.

Plaintiff states that The Louisville Trust Company was insolvent at the time of the appointment and qualification of said Fidelity and Columbia Trust Company as its Receiver as aforesaid; and that at the time of the entry of the aforesaid judgment, which directed the assessment and collection of the maximum liability imposed by law upon the stockholders of The Louisville Trust Company and all persons and estates subject to such stockholders' liability, said Fidelity and Columbia Trust Company, in its capacity as Receiver of The Louisville Trust Company, had in its hands for administration and settlement the estate of said insolvent Trust Company; that after the entry of the aforesaid judgment relating to the liability of stockholders, reorganization and reopening of The Louisville Trust Company was effected in manner as follows:

That at the time of the closing of The Louisville Trust Company as aforesaid, and at the time of its reorganization as hereinafter set out, The Louisville Trust Company was indebted to depositors in an aggregate sum in excess of \$11,500,000.00; that depositors holding valid and subsisting claims against The Louisville Trust Company in an aggregate amount in excess of \$9,500,000.00, out of aforesaid total deposit liability of The Louisville Trust Company, subscribed and agreed to and carried out a plan for the reorganization of The Louisville Trust Company under which said depositor-creditors holding claims in an aggregate sum in excess of \$9,500,000.00 subscribed and applied fifteen per cent of their said claims in order to furnish the new capital and surplus necessary for the reorganization and reopening of The Louisville Trust Company, and said depositor-creditors further agreed that only twenty per cent of their respective claims should be subject to immediate withdrawal, and that five per cent of their said claims should be subject to withdrawal only after four months from the reopening of The Louisville Trust Company, five per cent of their said claims should be subject to withdrawal only after eight months from the reopening of The Louisville Trust Company, and five per cent of their said claims should be subject to withdrawal only after twelve months from the reopening of The Louisville Trust Company, and that twenty per cent of their respective claims should remain on deposit with The Louisville Trust Company, and that twenty per cent of their said interest at the rate of three per cent per annum, and to be demandable by said depositor-creditors if and when responded, and to bear from the reopening of The Louisville Trust Company; and that said depositor-creditors holding claims in an aggregate sum in excess of \$9,500,000.00 agreed and bound themselves that the remaining thirty per cent of their respective claims, aggregating more than \$2,940,000.00 in amount, should be withdrawn from all participation in the assets of The Louisville Trust Company if and when said company should be reopened; and that by so withdrawing said portion, aggregating more than \$2,940,000.00, of their claims against The Louisville Trust Company from participation in the assets of The Louisville Trust Company if and when reopened, said depositor-creditors who agreed to distributive interest in the assets of The Louisville Trust Company that would have belonged to said relinquishing depositor-creditors if they had not so withdrawn said portion of their deposit claims, that said withdrawal of aforesaid portion of their respective claims by said depositor-creditors reduced the liabilities of The Louisville Trust Company payable out of its assets in an amount in excess of \$2,940,000.00, and such reduction in the liabilities to be paid by The Louisville Trust Company if and when reopened made possible the reorganization plan that was carried out; that in consideration for such withdrawal of said portion of their claims, said depositor-creditors reserved and secured the right to cash and obtain payment of such withdrawn portion of their claims, aggregating more than \$2,940,000.00, out of a so-called Depositors' Refunding Account and certain assets and choses in action to be included and embraced therein.

That in and by aforesaid reorganization plan it was arranged and provided that all amounts that should be collected by the Receiver of The Louisville Trust Company from present or former stockholders of The Louisville Trust Company and from persons and estates subject to liability, on account of the maximum liability imposed by statute upon the stockholders of said company and persons liable as stockholders, should be paid into said Depositors' Refunding Account for the use and benefit of the aforesaid relinquishing depositor-creditors.

That the aforesaid reorganization plan was duly approved by the Court in action No. 305,173, styled Commonwealth of Kentucky, On relation of C. S. Wilson, Banking Commissioner, vs. The Louisville Trust Company, and the said receivership of The Louisville Trust Company was partially terminated on the 30th day of August, 1931, insofar as was necessary to enable the Receiver of The Fidelity and Columbia Trust Company to restore to The Louisville Trust Company all its assets then in the hands of its Receiver, but that said collection of the maximum statutory liability as aforesaid.



## Exhibit 155

That on the 10th day of September, 1921, by orders duly entered in aforesaid action No. 295,178; said Fidelity and Columbia Trust Company was permitted to resign its office as Receiver of The Louisville Trust Company insofar as such receivership was still in effect for the purpose of enforcing the collection of the maximum statutory liability of stockholders as hereinbefore set out, and this plaintiff was then appointed Receiver of The Louisville Trust Company in the place and stead of the Fidelity and Columbia Trust Company, and under such order of appointment the power and duty was imposed upon this plaintiff to take such steps and proceedings as should be necessary to enforce the collection of the maximum statutory liability of stockholders and persons subject to such stockholders' liability as set out in aforesaid judgment, copy of which is filed herewith as part hereof marked Exhibit "A"; that pursuant to said appointment this plaintiff forthwith on said date qualified as such Receiver by executing bond and taking the oath as prescribed by law, and that this plaintiff continuously since has been and now is Receiver of The Louisville Trust Company for the purposes as aforesaid and this suit is now filed by plaintiff pursuant to the authority and direction imposed upon him as aforesaid.

Plaintiff states that the defendants herein were each and all stockholders of The Louisville Trust Company and beneficial owners of shares of the capital stock of The Louisville Trust Company within the period of one day less than two years next before the commencement of this action against them and each of them and that the defendants herein named were holders and owners of the shares of capital stock of The Louisville Trust Company within the period aforesaid in the respective amounts and as of the respective dates as hereinafter set out, and that such respective ownership of shares of capital stock of The Louisville Trust Company was represented and shown by Trustees' Participation Certificate-shares issued, outstanding, held and owned in the manner as hereinafter set out.

Plaintiff states that heretofore and prior to the 1st day of September, 1922, the owners of 17,335 shares of the capital stock of The Louisville Trust Company of the par value of \$100.00 each, and the owners of 29,230 shares of the capital stock of The National Bank of Kentucky of the par value of \$100.00 each, assigned and transferred their respective shares of said trust company stock and of said bank stock unto certain trustees provided for in an agreement in writing styled "Trust Agreement with reference to stock in The National Bank of Kentucky of Louisville and The Louisville Trust Company," dated April 22, 1927, to be held by said trustees and their successors in trust as one indivisible trust estate in the manner and for the purposes as set out in said trust agreement; that said trust agreement is in words and figures as follows:

### "TRUST AGREEMENT"

with reference to stock in

THE NATIONAL BANK OF KENTUCKY  
Of Louisville

and

THE LOUISVILLE TRUST COMPANY

Dated April 22, 1927.

THIS AGREEMENT, entered into this 22d day of April, 1927, between:

Such owners and holders of capital stock in The National Bank of Kentucky, of Louisville, Kentucky, as now unite and such other owners and holders of that stock as may hereafter unite in this agreement by signing it or a counterpart thereof, or by depositing their stock as hereinafter provided, hereinafter referred to as "Bank Stockholders," as parties of the first part; and

Such owners and holders of the capital stock of The Louisville Trust Company, of Louisville, Kentucky, as now unite and such other owners and holders of that stock as may hereafter unite in this agreement by signing it or a counterpart thereof, or by depositing their stock as hereinafter provided, hereinafter referred to as "Trust Company Stockholders," as parties of the second part; and

Henry Vogt, Thomas J. Minary, Stuart E. Dunham, Allen P. Dodd, Edwin M. Drummond and Chas. H. Bohmer, hereinafter referred to as "Trustees," as parties of the third part;

### WITNESSETH.

The undersigned owners and holders of the shares of capital stock of The National Bank of Kentucky of Louisville (organized under the National Banking Act), and owners and holders of the capital stock of The Louisville Trust Company (organized as a Trust Company under the laws of Kentucky), looking to the best interests of the respective institutions as separate corporate entities, and of each stockholder therein, have determined that such interests require closer co-operation of such Bank and Trust Company to be of greater service to their respective patrons, and in order to secure such co-operation have agreed upon the plan hereinafter set forth for creating a trust estate to consist of their respective shares of stock in the Bank and in the Trust Company.

### I. APPORTIONMENT OF INTERESTS BETWEEN THE TWO SETS OF STOCKHOLDERS.

At this time the Bank has a capital, surplus and undivided profits of more than \$6,500,000, which will be represented by 40,000 shares of its stock when a contemplated stock dividend of 60% has been declared; and The Trust Company has a capital, surplus and undivided profits of more than \$1,700,000, represented by 10,000 shares of its stock.

The combined net assets of the two institutions aggregate more than \$8,500,000.

In apportionment between the Bank Stockholders and the Trust Company Stockholders the participation shares hereinafter provided for, which represent interests in the Trust Estate, presently consisting of the stocks of said two institutions deposited hereunder, such apportionment shall be made upon the following basis:

The total assets and business of the Bank shall be treated as worth 80% of the combined assets of the Bank and of the Trust Company, and the total assets and business of the Trust Company shall be treated as worth 20% of the combined assets and business of the Bank and of the Trust Company.

The rights of such stockholders in said respective institutions as become a party to this agreement shall be determined on said basis, namely, each shareholder shall receive one participation certificate for each share of stock.

### II.

#### ADVISORY COMMITTEE.

There is hereby created an Advisory Committee, with such powers as are herein conferred, and which shall at all times be composed of the individuals who are the then directors of The Louisville Trust Company and of The National Bank of Kentucky.

In any action to be taken by the Trustees only on the approval of the Advisory Committee, such approval shall be evidenced by a writing filed with the Trustees, and signed by a majority of the members from each institution on said Committee.

Said Committee shall have the right by a resolution adopted by them, respectively, to add to their number not more than three of the higher executive officers of each institution who are not already members of the Committee, and such action upon the part of the Advisory Committee if taken, shall be evidenced by a writing filed with the Trustees.

### III.

#### TERMS OF TRUST.

The Trustees shall hold all assets which shall come into their hands hereunder as one indivisible Trust Estate, the proportionate interest of each shareholder therein being represented by the certificates issued by such Trustees, and the Trustees agree to hold such estate upon the following trust, terms and conditions:

(1) They shall reassign to each person who shall be at the time of signing this agreement a member of the board of directors of The National Bank of Kentucky, or of The Louisville Trust Company, who desires and is eligible a sufficient number of shares of stock in such Bank and Trust Company to qualify him as a director in both institutions.

(2) The Trustees may, out of the shares owned by them, sell and assign absolutely to any holder of a Trustees' Participation Certificate desiring to be elected a director of either of said institutions, the number of shares of stock necessary to legally qualify him for such office. These provisions shall apply to any other stock acquired by the Trustees.

(3) Upon request made in writing not more than 90 nor less than 15 days before any annual election of directors, by the holders of sufficient in amount of Trustees' Participation Certificates to insure his election they shall sell and transfer to a designated person the number of shares of stock necessary to legally qualify him for such office, upon his surrender of sufficient Trustees' Participation Certificates therefor.

(4) In addition to the Trust Estate herein presently provided for, the Trustees shall have power to purchase all or part of the stock of any other corporation of similar character and engaged in a like or similar business, but only with the written consent of the Advisory Committee, as to terms, price and conditions of purchase, and any stock so purchased by the Trustees shall become and be a part of the Trust Estate above referred to, and the Trustees may issue Trustees' Participation Certificates in sufficient amount to pay for the stock so purchased by them. The Trustees may issue such new certificates direct to the holders of the stock so purchased by the Trustees in exchange or payment therefor, in whole or in part, or may sell such Trustees' Participation Certificates to the then holders of such Trustees' Participation Certificates in proportion to their then holding thereof, which right of purchase by such holders shall be assignable. If all the certificates so offered to existing certificate holders be not taken and paid for by them or their assigns within the time fixed by the Trustees in their offering of the same, it shall be the duty of the Trustees to offer for sale such certificates not taken or paid for to any other person or persons.

(5) No certificate shall be issued by the Trustees calling for a fraction of a share, but scrip may be issued for fractions of shares to those entitled thereto, and when scrip representing as much as one full share shall be presented to the Trustees, they shall issue a certificate in lieu of such scrip for as many full shares as said scrip shall call for in the aggregate. No dividends shall be paid on scrip, but when such scrip shall have been converted into Trustees' Participation Certificates, the rights represented thereby shall be entitled to receive their full share of dividends thereafter declared and therefore declared during a period not to exceed five years before such conversion.

(6) The Trustees, with the written consent of the Advisory Committee, may sell any stock acquired under Subsection (4) of Article III and the proceeds of sale when collected shall be disposed of by the Trustees with the written consent of the Advisory Committee by:

(a) Either dividing the same among the holders of Trustees' Participation Certificates, in which event the Trustees shall have the right to correspondingly reduce pro rata the number of shares represented by outstanding Trustees' Participation Certificates; or

(b) Using the same in the purchase of stock of corporations engaging in like or similar business; or

(c) Adding to the capital or surplus or capital and surplus of any of the corporations the stock of which is owned by the Trustees.

(7) The Trustees, with the written consent of the Advisory Committee:

(a) Shall have the right to consent to and take any legal action appropriate for an increase or decrease in the capital stock of any corporation, the stock of which may become a part of the Trust Estate;

(b) In case of an increase in the capital stock of any such corporation, the proper proportionate part thereof to which the Trustees may be entitled, may be purchased by them and same shall be added to and become a part of the indivisible Trust Estate held by the Trustees under the same terms and conditions as are herein provided for the stock acquired hereunder;

(c) The Trustees shall thereupon issue additional Trustees' Participation Certificates equal to the par value of such increase of capital stock so purchased by them and shall offer the same pro rata to the then holders of outstanding Trustees' Participation Certificates at a price which will reimburse the Trustees for the purchase price so paid by them.

If any holder of Trustees' Participation Certificates declines or fails within a reasonable time to be fixed by the Trustees, to take his pro rata of such increased issue of certificates, and which right of purchase by such holder shall be assignable, the Trustees shall have the right to sell such certificates to any purchaser for a price not less than that at which the Trustees acquired such stock.

(d) In case either the Bank or Trust Company, or any other institution in which the Trustees shall own stock, shall reduce its outstanding capital stock in the manner provided by law so as to effect a distribution of corporate assets among stockholders, the Trustees shall dispose of said fund as a distribution pro rata among the holders of Trustees' Participation Certificates or, under the written direction of the Advisory Committee, for the benefit of the trust estate, in any other lawful manner.

(8) The Trustees shall have the right, and it shall be their duty subject only to the limitations herein prescribed, to exercise in the interest of the holders of Trustees' Participation Certificates, all of the powers of management and control over any corporation in which they shall hold stock, incident to the ownership of stock held by them hereunder, and their power shall include all rights as stockholders in connection with any corporate purposes or functions whatever and shall authorize them to exercise all voting rights and rights of ownership over such stock in the election of directors or in the discharge of any other corporate functions.

Provided, however, that the owner of record on the books of the Trustees of any Trustees' Participation Certificate, shall have the right by written directions to the Trustees given at least 5 days before the vote is to be cast, to instruct them how they shall vote in the corporate meetings such proportionate number of shares therein owned by the Trustees as the number of shares represented by the Trustees' Participation Certificate owned by such person giving such directions may bear to the total number of shares represented by outstanding Trustees' Participation Certificates and the Trustees shall vote such proportion of stock in accordance with such instruction.

(9) Dividends received by the Trustees on any stock held by them shall be distributed among the holders of Trustees' Participation Certificates; provided, however, that upon the written direction of the Advisory Committee, the Trustees may use any extra dividends, or part thereof, received by the Trustees, for the benefit of the trust estate, in any lawful manner.

(10) The Trustees shall deposit in the Bank or the Trust Company all funds which they receive with directions to such Bank or Trust Company as to the distribution thereof, as hereinabove authorized and the making of such deposits and the giving of such directions shall relieve the Trustees of all further responsibility for such dividends. The Trustees shall out of the funds coming into their hands pay the expenses of the organization and conduct of the Trust.

(11) The enumeration of powers herein shall not be held to be exclusive of other powers herein referred to or incidental to the powers herein mentioned.

#### IV.

##### MEETING OF TRUSTEES' PARTICIPATION CERTIFICATE HOLDERS.

(1) Annual meetings of the registered holders of the Trustees' Participation Certificates shall be held at the office of the Trust Company at Louisville, Kentucky, at 2:30 P. M. on the first Monday in January of each year, unless that be the first day of the year, and in which event it shall be held at the same place and hour upon the following day, at which time and place the Trustees or one or more of them, shall attend, and to which meeting the chief executive officers of all corporations in which the Trustees hold stock shall be requested to attend so as to give information as to the condition and progress of such corporation and to make to such meeting any report that may be proper.

(2) Such meeting may be adjourned to any other time and place in Louisville, Kentucky.

(3) The time and place of such annual meeting may be changed at any annual meeting by a vote of persons holding a majority in amount of the Trustees' Participation Certificates present at the meeting in person or by proxy.

(4) Special meetings of the holders of the Trustees' Participation Certificates may be called by the Trustees at any time and place in the city of Louisville and such meetings shall be called upon the written request of the holders of one-third in amount of the Trustees' Participation Certificates.

(5) Notice of all meetings shall be given by the Trustees in writing mailed to the registered address of each certificate holder at least ten days before the meeting, and such notice shall state the purpose for which any special meeting is called; provided, however, that if a special or annual meeting is to consider the termination, dissolution or modification of this Trust, then at least 30 days' notice thereof shall be given in like manner.

(6) The right to vote at any meeting of Participation Certificate Holders shall be restricted to holders of such certificates of record at least 15 days before the annual meeting, and in case of a special meeting 15 days before the notice of such meeting is required to be mailed. Holders of Trustees' Participation Certificates may vote either in person or by proxy, and if by proxy by a writing attested by one person.

#### V.

##### APPOINTMENT OF TRUSTEES AND THEIR ORGANIZATION.

(1) Henry Vogt, Thomas J. Minary, Stuart E. Duncan, Allen P. Dodd, Edwin M. Drummond, and Chas. H. Bohmer are hereby chosen as Trustees under this agreement, and they and their successors shall have all the rights, powers and duties herein set forth.

Henry Vogt, Thomas J. Minary and Stuart E. Duncan, named above, are agreed upon as the Trustees representing the Stock-



holders of The Bank, and Allen P. Dodd, Edwin M. Drummond, and Chas. H. Behmer, named above, are agreed upon as the Trustees representing the Stockholders of The Trust Company. Provided: That, upon the written direction of the Advisory Committee, a corporation or corporations duly authorized to act in a fiduciary capacity, may be designated as Trustee or Trustees in lieu of the individual Trustees herein provided for. In the event of such change the individual Trustees shall cease to act and the corporation or corporations, so designated, shall alone have and exercise all the powers herein conferred on the Trustees.

(2) Those persons upon the Advisory Committee who shall at the time constitute the Board of Directors of the Bank may at any time by a writing signed by a majority of them and filed with the Trustees, remove any of the Trustees designated by the Bank stockholders, and in like manner those persons upon the Advisory Committee who shall at the time constitute the Board of Directors of The Trust Company may at any time by a writing signed by a majority of them and filed with the Trustees, remove any of the Trustees designated by The Trust Company stockholders; provided, however, that such power shall not be exercised within sixty days prior to the annual meetings of the stockholders of the Bank or the Trust Company.

(3) Upon the death, removal, resignation or refusal to act of any Trustee, or if from any cause there shall be vacancy in the position of any Trustee, the successor of such Trustee shall be elected and certified to the acting Trustees by a writing signed by a majority of those persons who shall at the time constitute the Advisory Committee for the respective institutions, as the case may be, to the end that there shall always be three Trustees appointed on behalf of the Bank Stockholders and three Trustees appointed on behalf of the Trust Company Stockholders unless a corporate Trustee or Trustees be appointed as above provided.

This power to fill vacancies shall not only apply to the original Trustees herein named, but to any successor, and shall be exercised as and when any vacancy may hereafter occur in the position of any Trustee herein designated as the representative Trustee of the stockholders of either the Bank or the Trust Company.

(4) The term "Trustee" whenever used in this agreement shall include successors duly appointed or elected and all estate hereby vested in and all the rights herein given to and the powers herein conferred upon any Trustee shall be vested in, given to and exercised by his successor duly appointed or elected, and such successor shall be substituted in all respects in place of the former Trustee without the necessity of any formal assignment or transfer to him.

(5) The majority of the Advisory Committee in exercising the powers in this article shall be determined in the same manner as prescribed in Article II.

#### VI. ORGANIZATION OF TRUSTEES.

(1) The Trustees shall organize by electing from their own number a Chairman and a Vice-Chairman; and by electing a Secretary and Assistant Secretary, neither of the two latter need be a Trustee.

(2) The Trustees shall keep such record of their official action as may be necessary, including a register of Trustees' Participation Certificates issued by them similar to the ordinary stock certificate book showing the name and mailing address of each certificate holder, and shall certify a copy thereof to each institution issuing stock to them.

(3) They shall adopt a seal and prescribe such regulations or by-laws as they may deem necessary, including regulations for the issuance of duplicate Trustees' Participation Certificates in case of loss or destruction of original certificates; and regulations governing the transfer of such certificates, and no Trustees' Participation Certificates shall be transferred except upon the surrender thereof duly endorsed, in person or by attorney, and all such transfers shall be made only upon the books of the Trustees.

(4) The seal of the Trustees shall be affixed to the Trustees' Participation Certificates and they shall be signed by the Chairman or Vice-Chairman of the Trustees and by their Secretary or Assistant Secretary.

(5) The Louisville Trust Company is hereby designated as Transfer Agent for the transfer of Trustees' Participation Certificates, and The National Bank of Kentucky shall be the Registrar thereof, and no certificate issued by the Trustees shall be valid unless countersigned by the Transfer Agent and Registrar.

(6) A majority of the Trustees concurring shall have and exercise all the powers that could be exercised by the whole number of the Trustees.

#### VII. ASSIGNMENT OF STOCK TO TRUSTEES.

The Bank Stockholders and Trust Company Stockholders who shall execute this agreement now or hereafter, or a counterpart hereof, or transfer their stock to the Trustees as herein provided for by that act assign to the Trustees hereinbefore named, under the terms, conditions and limitations hereof, all of the shares of the capital stock owned or held by them at the time of such execution, either in the Bank or Trust Company, or in both.

Each assignment is made upon the terms, trusts and conditions herein stated.

Each assignment shall be made effectual by proper transfers upon the books of the Bank and the Trust Company, respectively, as provided by law and by the by-laws, rules or regulations of said institutions, respectively, governing such transfer.

The certificate or certificates issued by the Bank or by the Trust Company to the Trustees for the shares transferred to such Trustees hereunder, may be issued to them, as 'The Trustees Under Stockholders' Agreement of April 22, 1927' without designating them by name.

It shall not be necessary to issue a new certificate to the Trustees in the event of a change in the personnel of the Trustees, but if desired by the Bank or by the Trust Company the names of the Trustees or any changes therein may be indicated by a memorandum endorsed upon each certificate issued to the Trustees.

The Trustees and their Transfer Agent for the certificates issued by them shall certify to the Bank and Trust Company a copy of the Trustees' register of Trustees' Participation Certificate-Holders and their addresses and from time to time and as often as any change may be made in the holders of such certificate shall certify such change.

#### VIII. TRUSTEES' PARTICIPATION CERTIFICATES.

(1) The shares for which such Trustees' Participation Certificates shall be issued shall be of the par value of \$100.00 each, provided that if hereafter the Trustees shall consider it wise to change the par value of such shares, they shall have the power to do so, and to that end may call in all outstanding certificates and issue others in lieu thereof prescribing the changed par value of shares. After such call has been issued no dividends shall be paid on shares represented by such outstanding old certificates until they have been surrendered for exchange for new certificates in the revised form.

(2) The Trustees' Participation Certificates, subject to the aforesaid power of modification as to the par value of shares, shall be in form as follows:

THE NATIONAL BANK OF KENTUCKY.  
Number of Certificate

THE LOUISVILLE TRUST CO.  
Number of Shares

TRUSTEES' PARTICIPATION CERTIFICATE.  
Present authorized issue \$5,000,000.00  
Par value \$100.00 Per Share

This certifies that \_\_\_\_\_ is the owner of \_\_\_\_\_ shares, of the par value of \$100 each, of the indivisible trust estate, consisting of shares of the capital stock of The National Bank of Kentucky, of Louisville, and The Louisville Trust Company, also of Louisville, Kentucky and of any other corporation acquired by the Trustees under the agreement between stockholders of said institutions and said Trustees, which agreement is dated April 22, 1927, and is on file with said institutions.

This certificate is issued under the terms of the aforesaid agreement, and the holder hereof, by accepting same, consents to and adopts said terms as if fully written herein. It shall not be valid unless countersigned by The Louisville Trust Company, the duly designated Transfer Agent for the transfer of said Trustees' Participation Certificates, and by The National Bank of Kentucky, the duly designated Registrar; and is transferable only on the books of said Trustees upon its surrender properly endorsed in person or by attorney.

# Exhibit 155

Edward C. Doll	30	8043	80.43	George L. Everbach by	180	8.7388	873.88
138 W. Market Street				The Louisville Trust Company			
Jacob Doll	30	8083	80.83	Administrator			
138 W. Market Street				C. Omer Ewing	400	12.0831	1,208.31
Mrs. Mary O. C. Doll	50	8043	80.43	881 S. 3rd Street			
130 N. Peterson				Mattie B. Ewing, Committee for	480	12.8083	1,280.83
Miss Jennie H. Donahue	30	8083	80.83	J. M. Ewing			
1112 S. Brook Street				1005 S. 1st Street			
Cornelius D. Doster	80	1.5104	151.04	Nancy Hammond Ewing	30	8043	80.43
409 Kensington Court				3rd & Kentucky Streets			
N. H. Doster	2130	64.3434	6,434.34	Calvin M. Fickler	340	7.3488	734.88
409 Kensington Court				Danville, Ky.			
Virginia Dotson	28	7383	73.83	Maria E. Farmer	180	4.8333	483.33
1835 Hampden Court				1429 Third Street			
William T. Dotson	34	7380	73.80	Alta T. Farnley	800	15.1083	1,510.83
2107 Kenilworth Court				126 W. Main Street			
Edwin M. Drummond, dead,				B. H. Farnley	140	4.2391	423.91
Fidelity & Columbia Trust Co.,				Louisville Trust Building			
Executor of will.	280	7.5519	755.19	Edward Farrell	80	2.4186	241.86
Mrs. Margaret Strain Duane	372	9.3436	934.36	c/o National Bank of Kentucky			
84 Valley Road				Adrian L. Fawcett	22	8043	80.43
W. M. Duane	20	8043	80.43	503 Columbia Building			
288 S. 42nd Street				Norma K. Fegumbush	80	1.5104	151.04
Edward G. Duckwall	800	15.1088	1,510.88	2111 Spind Avenue			
302 W. Main Street				Miss Eva Felsenhall	100	2.0208	202.08
Ben H. Dudley, dead, United States				1323 S. 2nd Street			
Trust Co., Executor of will	100	3.0208	302.08	Annette H. Fenley	80	1.5104	151.04
B. A. Dumas	280	7.5519	755.19	St. Matthews, Kentucky			
Wessinger-Gaulbert Apts.				Frances M. Fenley, Jr.	80	1.5104	151.04
Virgil O. Duffin	20	8043	80.43	St. Matthews, Kentucky			
Inter-Southern Life Ins. Co.				Mary W. Fenley	80	2.4186	241.86
James H. Duffy	130	3.927	392.70	1223 Hayburn Building			
c/o Ohio River Sand Co.				Oscar Fenley, dead,	8000	151.0883	15,108.83
James T. Duffy	110	3.3228	332.28	Mrs. Mary W. Fenley, Executrix			
2408 Cherokee Parkway				of his will			
Thomas J. Duffy	153	4.5916	459.16	1488 S. 3rd Street			
378 Caldwell Street				John B. Fickler	280	7.5519	755.19
Frank I. Dugan	330	10.5737	1,057.37	410 W. Main Street			
c/o National Bank of Kentucky				Fidelity & Columbia Trust Company	27	81.86	81.86
W. E. Dugan	30	8083	80.83	Louisville, Kentucky			
c/o Shuler Axle Co.				Fidelity & Columbia Trust Company	40	1.2083	120.83
Annie Leathers Duncan	180	4.6332	463.32	Guardian of Clara Allen			
c/o S. E. Duncan				Fidelity & Columbia Trust Company	40	1.2083	120.83
Peaslee Gaulbert Co.				Guardian for Frances Allen			
S. E. Duncan	2040	85.7898	8,578.98	Fidelity & Columbia Trust Company	40	1.2083	120.83
401 W. Main Street				Guardian for Katherine Allen			
William Crinstead Duncan	100	85.7898	8,578.98	Fidelity & Columbia Trust Company	80	1.5125	151.25
Peaslee Gaulbert Paint & Varnish Co.				Trustee for D. Barclay, Jr., et al			
Alta Durlap, Jr.	60	1.5125	151.25	Fidelity & Columbia Trust Company	2300	72.1064	7,210.64
Vermilion Pike, Lexington, Ky.				Executor of A. Hite Barrett			
Lia Lathrop Durlap	110	3.3228	332.28	Fidelity & Columbia Trust Company	80	1.5125	151.25
280 N. 3rd Street, Danville, Ky.				Agent Cary F. Baynes			
J. H. Durban	80	1.5104	151.04	Fidelity & Columbia Trust Company	480	14.4997	1,449.97
Columbia Building				Agent for Morris S. Balknap			
Joseph H. Durban	60	1.5125	151.25	Fidelity & Columbia Trust Company	100	4.8333	483.33
508 W. Jefferson Street				Trustee for Udoiph T. Bradley			
Dr. Joseph F. Dunch	297	8.9717	897.17	Fidelity & Columbia Trust Company	340	7.3488	734.88
4323 Western Parkway				Trustee, Church Home for Females &			
George M. Eady	80	2.4186	241.86	Infirmity for the Sick of Both Sexes			
340 Byrne				Fidelity & Columbia Trust Company	30	8083	80.83
Alma Stone Eastwood	170	5.1588	515.88	Trustee for Frances T. Cole			
122 N. Clifton				Fidelity & Columbia Trust Company	320	9.9885	998.85
Catherine Eberlein	31	8984	89.84	Agent for Elsie R. Coleman			
708 Brown Building				Fidelity & Columbia Trust Company	320	9.9885	998.85
Pauline Eckenroth	100	3.0208	302.08	Agent Ethel Allen Gage			
615 Louisville Trust Building				Fidelity & Columbia Trust Company	80	1.5125	151.25
John C. Eckert	28	8048	80.48	Agent for Irene H. Gathright			
c/o First National Bank,				Trustee James R. Marshall			
South Louisville Branch				Fidelity & Columbia Trust Company	1080	31.0510	3,105.10
Mrs. Belle J. Edelin	180	8.4374	843.74	Executor of will of Hattie R. Gaulbert			
Lobannon, Kentucky				Fidelity & Columbia Trust Company	40	1.2083	120.83
Edward C. Edinger	330	10.5737	1,057.37	Agent for Abbie C. Goodlin			
218 Stile Ave.				Fidelity & Columbia Trust Company	40	1.2083	120.83
Charles J. Edwards	80	2.4186	241.86	Trustee under agreement of Adelia			
Lobannon, Ky.				V. Lee Estate			
George B. Edwards, dead	1340	60.4783	6,047.83	Fidelity & Columbia Trust Company	70	2.1143	211.43
Fidelity & Columbia Trust Co.,				Trustee Belle B. Lee Estate			
Executor of will.				Fidelity & Columbia Trust Company	640	19.3239	1,932.39
Mrs. Hattie Hardis Edwards	80	1.5104	151.04	Agent for Mary Potter Leigh			
Harrodsburg, Ky.				Fidelity & Columbia Trust Company	100	3.0208	302.08
Arch M. Egan	80	1.5104	151.04	Trustee Aline T. Minnigrove			
1801 Winter Avenue				Fidelity & Columbia Trust Company	150	3.9570	395.70
Thomas H. Elliott	100	3.0208	302.08	Agent Anna Ray Muir			
LaFayette, Ky.				Fidelity & Columbia Trust Company	80	1.5125	151.25
Edwin H. Ellis	80	1.5104	151.04	Trustee Orphanage of Good Shepherd			
1484 Cypress				Fidelity & Columbia Trust Company	80	1.5125	151.25
Richard R. Elmore	180	4.8311	483.11	Agent for Francis G. Rankin			
Wessinger-Gaulbert Apts.				Fidelity & Columbia Trust Company	80	2.4186	241.86
Harry W. Embry	180	4.8311	483.11	Trustee for Margaret G. School			
16th & Maple				Fidelity & Columbia Trust Company	570	11.1788	1,117.88
Harry W. Embry	100	3.0208	302.08	Trustee Mennie M. Shottsworth			
316 S. 16th Street				Fidelity & Columbia Trust Company	380	10.5737	1,057.37
Mrs. Kate M. English	80	1.5104	151.04	Trustee John D. Taggart, Jr.			
c/o Farmers National Bank,				Fidelity & Columbia Trust Company	30	8083	80.83
Danville, Kentucky				Trustee for Eugene A. Taylor			
John G. Epping	390	9.0638	906.38	Fidelity & Columbia Trust Company	800	15.1088	1,510.88
716 Logan Street				Agent for Mrs. Annie G. Thompson			
Agnes J. Erickson	80	1.5104	151.04	Fidelity & Columbia Trust Company	480	14.4997	1,449.97
1530 Maple Street				Trustee for Ralph S. Thompson			
Wm. C. Eubank	30	8083	80.83	Fidelity & Columbia Trust Company	300	9.0638	906.38
Shelbyville, Ky.				Trustee for Mary J. Verboeff			
Mrs. Nannie McD. Eustaphiere	40	1.2083	120.83	Fidelity & Columbia Trust Company	30	8083	80.83
1357 S. 2nd Street				Agent for Edward L. Warren			
Charles B. Evans	1109	32.2386	3,223.86	Fidelity & Columbia Trust Company	80	1.2083	120.83
4401 W. Broadway				Trustee for Mary Lee Warren et al			
Mrs. Flora Annette Evans	592	16.6143	1,661.43				
4401 W. Broadway							



# Exhibit 155

Fidelity & Columbia Trust Company	220	6.6457	664.57	Mrs. Christine P. Gates	20	.6042	60.42
Trustee Ethel R. Wood				940 Cherokee Road			
Fidelity & Columbia Trust Company	60	1.8125	181.25	Mrs. E. H. Gates	20	.6042	60.42
Agent Henry Fink Zinno				130 E. Gray Street			
Fidelity & Columbia Trust Company	510	15.4059	1,540.59	Fred W. Gates	150	4.5311	453.11
Trustee for Ferdia Zorn Mores under				c/o The Louisville Trust Co.			
will of Sebastian Zorn, deceased				Mrs. Irene H. Gathright	40	1.2083	120.83
Lizzie Field	50	1.5104	151.04	1231 S. 2nd Street			
c/o W. H. Field, 408 W. Ormsby				R. Alexander Gayle	25	.7552	75.52
Mattie Field	50	1.5104	151.04	Frankfort, Ky.			
c/o W. H. Field, 408 W. Ormsby				George M. Gayle	95	2.8697	286.97
Sue F. Field	110	3.3228	332.28	Frankfort, Ky.			
2906 Field Avenue	60	1.8125	181.25	J. W. Gayle	75	2.2656	226.56
408 W. Ormsby				Frankfort, Ky.			
Mrs. D. M. Fink	110	3.3228	332.28	Wilbur E. Geiser	20	.6042	60.42
128 W. Ormsby	30	.9062	90.62	2032 S. 1st Street			
Margaret Fix	30	.9062	90.62	General Association of Baptists	600	19.9371	1,993.71
527 E. Oak Street	30	1.5104	151.04	in Kentucky, 205 E. Chestnut Street			
Mrs. Milla B. Fleming	30	1.5104	151.04	Mrs. Laetitia Lucas Gentry	840	25.3745	2,537.45
4142 W. Broadway	120	3.6349	363.49	244 N. Broadway, Lexington, Ky.			
Gustav Flexner	200	6.0415	604.15	Clara Gerst	80	2.4166	241.66
417 W. Market Street				729 S. 38th Street			
J. A. Flexner	200	6.0415	604.15	Walter F. Gerst	50	1.5104	151.04
Francis Building				729 S. 38th Street			
Lillie R. Flexner	40	1.2083	120.83	Mrs. Sallie E. Gilkerson	80	2.4166	241.66
2208 Lauderdale Road				Lebanon, Ky.			
Morris Flexner	50	1.5104	151.04	Helen Gill	280	8.4581	845.81
619 Heyburn Building				Lancaster, Ky.			
Arthur Fleischaker	250	7.5519	755.19	J. B. Gill Co	50	1.5104	151.04
Willow Terrace Apt. 33				City			
Minnie Fleischaker	320	9.6665	966.65	J. L. Gill	120	3.6349	363.49
125 W. Lee Street				Lancaster, Ky.			
John A. Floersch	130	3.9270	392.70	Martha Gill	240	7.2498	724.98
1118 S. 3rd Street				Lancaster, Ky.			
L. L. Fontaine	720	21.7495	2,174.95	Miss Ollie Ginochio	80	2.4166	241.66
2325 Alta Avenue				240 Lexington Ave., Lexington, Ky.			
Louis Franconia	100	3.0208	302.08	Lula Stafford Gipperich	20	.6042	60.42
St. Matthews, Ky.				1733 S. 3rd Street			
Dr. Louis Frank	1060	32.0202	3,202.02	Walter H. Gindler	1400	42.2908	4,229.08
614 Heyburn Building				1303 Shelby Street			
Frankfort Cemetery Company	590	17.8225	1,782.25	Mrs. Annie R. Glazebrook	750	22.6558	2,265.58
Frankfort, Ky.				1334 S. 3rd Street			
Franklin Printing Company	150	4.5311	453.11	James Glazebrook	80	2.4166	241.66
416 W. Main Street				231 E. Main Street			
Francisca Franzman	80	2.4166	241.66	W. A. Glazebrook	1030	31.1139	3,111.39
2905 Alta Avenue				231 E. Main Street			
George Friedman	222	6.7061	670.61	Ethel H. Gleason	150	4.5311	453.11
4304 W. Broadway				411 Cornell Place			
Harry Franzman	70	2.1145	211.45	Margie R. Gleason	100	3.0208	302.08
4304 W. Broadway				1241 E. Parkway			
Miss Louisa Franzman	60	1.8125	181.25	Albert C. Gnau	50	1.5104	151.04
4304 W. Broadway				2040 Alta Avenue			
Matilda J. Frazer	8	.2417	24.17	Annie C. Goby	22	.6646	66.46
1122 E. Broadway				1031 S. Brook Street			
Matilda J. Frazer, Trustee	370	11.1768	1,117.68	Francis J. Goby	21	.6344	63.44
1190 E. Broadway				1031 S. Brook Street			
Samuel E. Frazer	200	6.0415	604.15	Martin S. Goby	22	.6646	66.46
231 W. Main Street				1031 S. Brook Street			
Virginia S. Frazer	300	9.0623	906.23	John Warren Godfrey	30	.9062	90.62
1080 Brook Street				4B Thierman Apts.			
Mrs. Elizabeth C. Freabe	50	1.5104	151.04	Fred Gohmann	30	.9062	90.62
922 Cherokee Road				c/o Kentucky Title Trust Co.			
Reverend George Friedrich	28	.8458	84.58	John E. Goldbach	20	.6042	60.42
1226 W. Oak				120 S. 5th Street			
William Frommiller	100	3.0208	302.08	Willie F. Goldthwaite	192	5.799	579.99
2114 E. Main Street				Dundee Road			
Bernard A. Fuglein	20	.6042	60.42	Hallie Dudley Goodloe	60	1.8125	181.25
421 E. Oak Street				365 Puritan Apts.			
Dr. Gavin Fulton	40	1.2083	120.83	Wilhelmina Goodloe	300	9.0623	906.23
552 Francis Building				247 N. Mill St., Lexington, Ky.			
Kate A. Fultz	20	.6042	60.42	Fleet H. Goodridge	400	12.0831	1,208.31
c/o Turst Department				1515 Cherokee Road			
The Louisville Trust Company				Mrs. E. B. Gorley	130	3.9270	392.70
Wm. Furlong	1000	30.2077	3,020.77	2215 Wrocklage Avenue			
419 W. Jefferson Street				Albert C. Gorman & Aline Gorman as	25	.7552	75.52
Maurice L. Galvin	1800	48.3323	4,833.23	Joint Tenants with Right of Sur-			
Covington, Ky.				vivorship and not as Tenants in			
Annie J. Gamble	60	1.8125	181.25	Common			
119 W. Ormsby Avenue				2172 Gladstone			
Rose Gamble, dead	160	4.5322	453.22	Mrs. Anna C. Goss	90	2.7187	271.87
Fidelity & Columbia Trust Company				1978 Trevilian Way			
Administrator de bonis non with will				Edward S. Graff	300	9.0623	906.23
annexed				2225 Village Drive			
Thomas Hoyt Gamble	100	3.0208	302.08	W. Pruett Graham, Curator	960	28.9994	2,899.94
119 W. Ormsby Avenue				Frankfort, Ky.			
Albert J. Gane	50	1.5104	151.04	Henry R. Grant	400	12.0831	1,208.31
1935 Deer Park				c/o National Bank of Kentucky			
Miss Ina M. Gardner	70	2.1145	211.45	Maria L. Grant	120	3.6249	362.49
c/o First Hardin National Bank				Anchorage, Ky.			
Elizabethtown, Ky.				Mrs. Nell E. Grant	60	1.8125	181.25
Mrs. Laura B. Garland	70	2.1145	211.45	Anchorage, Ky.			
223 N. Broadway, Lexington, Ky.				Dr. Raymond Grant	350	10.5727	1,057.27
James Garnett	170	5.1853	518.53	Starks Building			
1083 Cherokee Road				Eunice Tyler Gruesh	20	.6042	60.42
Mrs. James Garnett	20	.6042	60.42	Jeffersonton, Ky.			
1083 Cherokee Road				Louisa A. Graves	200	6.0415	604.15
Jennie W. Garnett	190	5.7395	573.95	Erlander, Ky.			
Columbia, Ky.				Downey M. Gray	50	1.5104	151.04
Mary Gunn Garnett	320	9.6666	966.66	315 Guthrie Street			
Cadis, Ky.				Mary T. Gray	480	14.4997	1,449.97
Mrs. P. A. Garrety	30	.9062	90.62	Frankfort, Ky.			
970 S. Third Street				McDonald Gray	20	.6042	60.42
James W. Garrison	50	1.5104	151.04	Anchorage, Ky.			
Inter-Southern Building				Joseph E. Greathouse	20	.6042	60.42
Chas. D. Gates	40	1.2083	120.83	1218 S. 2nd Street			
c/o Turner Day & Woolworth Handle Co.							



# Exhibit 155

Annie Ann Green, dead	80	2,4100	241.00	Louis Hall & Hedwig Hall	80	1,5104	151.04
Ben J. Johnson, Administrator				1005 Lydia Street			
Levinville Trust Bldg.				E. J. Hammond	80	1,5104	151.04
Dora Green or Elizabeth Green	80	1,5135	151.35	301 Waverock Street			
or The Survivor of Either				Frank W. Hall	30	.9062	90.62
1285 Willow Ave.				1232 E. Broadway			
Lavella B. Green	180	4,5332	453.32	Clarence J. Hallman	100	3,0208	302.08
1870 S. Brook Street				c/o Hunter Hillman Ins. Co.			
Miss Sam T. Green	1100	33,2285	3,222.85	Board of Trade Bldg.			
880 W. St. Catherine				Mrs. Adelaide Stoll Halm	30	.9062	90.62
Thomas Green	400	12,0831	1,208.31	302 Coral Avenue			
3300 Thrush Road				T. K. Halm	300	9,0823	908.23
M. F. Greenstein	80	1,5125	151.25	Inter-Southern Bldg.			
422 W. Main Street				Dr. M. Joseph Henry	200	6,0415	604.15
J. Marcus Greer	100	3,0208	302.08	Brown Bldg.			
436 W. Main Street				Violet F. Henry	80	2,4100	241.00
Howard C. Griswold	1000	30,2077	3,020.77	Mayflower Apts.			
434 W. Main Street				Mrs. Lillian N. Henshaw	100	3,0208	302.08
Mary W. Guernant	80	1,5125	151.25	Owhee, Ky.			
Danville, Ky.				Mrs. Louise C. Henthorne	30	.9062	90.62
Mrs. Caroline E. Gund	340	10,2708	1,027.08	Ashland, Ky.			
Richmond Road, Lexington, Ky.				Louise B. Herrington	100	3,0208	302.08
Mrs. Amy Gustermann	80	1,5104	151.04	1380 Sparks Bldg.			
678 S. 40th Street				Mrs. Alex Hertzman	80	2,0541	205.41
Gump Gunther	20	.8042	80.42	1488 Sparks Bldg.			
725 Rutledge Avenue				Irvine & Aaron Hertzman	200	9,0823	908.23
Mrs. Margaret G. Gunther	30	.9062	90.62	1484 Sparks Bldg.			
720 Rutledge Avenue				Rachel Hertzman	80	1,5104	151.04
Gump W. Haas	80	1,5104	151.04	Roscoe Place			
111 N. 7th Street				Anna Vogt Heuser	1000	30,2077	3,020.77
W. A. Haas	320	9,0865	908.65	2101 Eastern Parkway			
-1218 Garvin Place				Miss Evelyn V. Heuser	30	.9062	90.62
Albert J. Hackman	25	.7552	75.52	2101 Eastern Parkway			
1917 Richmond Drive				G. A. Heuser	1200	35,3462	3,534.92
Herbert Hart Haaga	25	.7552	75.52	c/o Henry Vogt Machine Co.			
906 Brown Building				Henry B. Heuser	30	.9062	90.62
John G. Hager, dead	100	3,0208	302.08	2101 Eastern Parkway			
John G. Hager, Jr., Administrator				William A. Heuser	80	1,5104	151.04
2121 Murray Avenue				National Ice Cream Co.			
Mrs. Charles H. Hagerty	70	2,1145	211.45	E. A. Hewitt	2200	96,8645	9,686.45
1242 Ormsby Court				1630 Spring Drive			
Annie A. Hallack	200	6,0415	604.15	Mrs. Ida F. Hewitt	110	3,3223	332.23
1184 S. 2nd Street				1630 Spring Drive			
C. L. Hamilton	80	1,5125	151.25	Margaret Fink Hewitt	360	10,8748	1,087.48
1104 Cherokee Road				301 W. Main Street			
Henry S. Hammond	230	6,9473	694.73	Alma W. Heyman	450	13,5635	1,356.35
1918 2nd Street				124 W. Ormsby			
Bernard Handmaker	100	3,0208	302.08	Charles W. Hibbitt	70	2,1145	211.45
123 S. 2nd Street				2200 Alta Ave.			
Sidney D. Handmaker	25	.7552	75.52	Robert F. Hibbitt	40	1,2083	120.83
1817 Inter-Southern Building				1110 S. Brook			
Granville S. Haas	400	12,0831	1,208.31	Bayler O. Hickman	1480	44,1082	4,410.82
906 Brown Building				231 W. Main Street			
Mrs. Mary H. Haaly	100	3,0208	302.08	Mrs. Louise J. Hickman	180	4,5332	453.32
1108 Richmond Road, Lexington, Ky.				231 W. Main Street			
Mrs. Neville D. Hannah	30	.8042	80.42	Beth Higgins	100	3,0208	302.08
879 Beaumont Ave., Harrodsburg, Ky.				719 S. 3rd Street			
Miss Mary A. Hansen	850	25,8765	2,587.65	John Higgins	200	6,0415	604.15
c/o E. J. O'Brien Co.				719 S. 3rd Street			
James P. Hartman	200	6,0415	604.15	Samuel L. Hiken	280	7,5519	755.19
Franklinburg, Ky.				2055 Eastern Parkway			
Mrs. Ophelia Hardin	23	.9086	90.86	Verna Ray Hiken	280	7,5519	755.19
Prospect, Ky.				P. P. Hill	450	13,5635	1,356.35
Augusta Wiley Harris	30	.9082	90.82	Columbia, Ky.			
Anchorage, Ky.				Mrs. Rose R. Hillerich	600	18,1246	1,812.46
Laura L. Harris	240	7,3486	734.86	1307 Willow Ave.			
1446 S. 4th Street				Edward H. Hilliard	300	9,0523	905.23
Miss Louise I. Harris	30	.9082	90.82	419 W. Jefferson			
438 W. Main Street				Fred J. Hinkelstein	200	6,0415	604.15
John H. Harrison	30	.9082	90.82	1429 Opddard			
2043 Douglas Blvd.				John W. Barr, Jr. & Allen R. Hite	600	18,1246	1,812.46
William B. Harrison	180	4,5311	453.11	Trustees of Estate of W. C. Hite			
1460 St. James Court				Harry L. Hoagland	25	.7552	75.52
Isaac S. Hartley	300	9,0823	908.23	2727 Dunsomil Street			
121 S. 6th Street				Lucy Gilmer Hobbs	40	1,2083	120.83
William F. Hartman	80	1,5104	151.04	Anchorage, Ky.			
2046 Frankfort Avenue				Evana Hobson	192	5,7999	579.99
William F. Haas	35	1,0573	105.73	Greensburg, Ky.			
713 E. Oak Street				Joseph P. Hodapp	47	1,4198	141.98
Mrs. Anna B. Hastings	80	1,5104	151.04	821 Rutledge			
2008 Gramercy Drive				Mrs. Mary T. Hodges	320	9,0865	908.65
George Bright Haven	70	2,1145	211.45	199 W. 5th St., Russellville, Ky.			
Main, Ky.				Clyde C. Hodges	30	.9062	90.62
George Bright Haven, Trustee				208 S. 5th Street			
of Miss Gungatta S. Haven	300	9,0823	908.23	Louis F. Hoehle	80	1,5125	151.25
Main, Ky.				4004 River Park Drive			
Hettie S. Haven	80	1,5125	151.25	Ida Hostia	100	3,0208	302.08
1005 Brown Hotel				Rt. Box 570			
William H. Haven	100	3,0208	302.08	Margaret Hoertz	100	3,0208	302.08
1821 Eastern Parkway				Rt. Box 570			
James J. Hayes	800	15,1086	1,510.86	Floer Holstetter	80	.9082	90.82
c/o National Bank of Kentucky				3322 Ridd			
Mrs. Etta Hart Hay	200	6,0415	604.15	Miss Mary H. Hogan	100	3,0208	302.08
316 W. Broadway				c/o National Bank of Kentucky			
George D. Heaton	60	1,5125	151.25	H. H. Hohmes	600	12,0831	1,208.31
Lexington Bank				Madisonville, Ky.			
Annalia Hebel, Life Tenant	800	24,1662	2,416.62	Miss Fannie Holladay	290	8,7602	876.02
Chas. M. S. Hebel Estate				Columbia, Ky.			
1421 Rosewood Avenue				Wm. G. Holladay	180	4,5332	453.32
Mollie Hebel	90	2,7157	271.57	Columbia, Ky.			
1421 Rosewood Avenue				J. Claude Holman	100	3,0208	302.08
Mrs. Thelma Heck	30	.8042	80.42	1622 Beechwood			
213 Byramore Road, Lexington, Ky.				Gertrude Holman	180	4,5311	453.11
E. Lee Heff	280	7,5519	755.19	c/o U. S. Trust Co.			
Francis Building				Elizabeth Holt	80	1,5104	151.04
William S. Heideburg	800	3,0208	302.08	Anchorage, Ky.			
Realty Building							

# Exhibit 155

Jane P. Hopper, Executrix of Estate of Mrs. Annie B. Hopper Lancaster, Ky.	140	4.2291	422.91	Samuel D. Jones Board of Education	30	.9083	90.83
Jacob H. Horn	400	12.0831	1,208.31	Dorothy L. Joseph No. 30 Walden Place	80	1.5104	151.04
W. A. Horneman	280	7.5519	755.19	Oscar G. Joseph Breelin Building	870	8.1561	815.61
James G. Hougland	100	3.0208	302.08	Mrs. Nancy Otter Joyce Commodore Apts., 2160 Bonnycastle	60	1.5128	151.28
297 Pleasant View Ave.				J. J. Kavanagh	80	1.5104	151.04
Mrs. Anna Augusta Stamm Howard	280	8.4561	845.61	811 Marion E. Taylor Building			
210 Shawnee Drive				Charles A. Kaye	40	1.2063	120.63
Ben C. Howard	170	5.1353	513.53	1110 Cherokee Road			
210 Shawnee Drive				Eugenia Hall Kenney	50	1.5104	151.04
Mrs. Minnie Howard	22	106.46	96.46	677 S. 28th Street			
210 Shawnee Drive				Walker B. Keightley	25	.7532	75.32
Edyth W. Howry	43	1.3989	139.89	Harrodsburg, Ky.			
2627 Field Ave.				D. Y. Keith	100	3.0208	302.08
Frank Huber	300	6.0415	604.15	40 Castlewood			
1228 Cherokee Road				Milburn P. Kelley, dead	200	6.0415	604.15
Joseph Huber	70	2.1145	211.45	Louisville Trust Company Executor of his will			
1057 Eastern Parkway				George E. Kelley	100	3.0208	302.08
Walter E. Huffaker	60	1.5125	151.25	Lebanon, Ky.			
Marion E. Taylor Bldg.				Helen M. Kemnitz	390	11.7510	1,175.10
Harry H. Hummel	20	.8042	80.42	4307 W. Broadway			
Starts Bldg.				Theodore Kemnitz	270	8.1561	815.61
c/o Kentucky Actuarial Bureau				4307 W. Broadway			
Lovell M. Humphrey	580	16.9163	1,691.63	W. L. Kennett	500	15.1038	1,510.38
c/o The Louisville Trust Company				Bourbon Stock Yards			
Howard Hunter	80	2.4166	241.66	Kentucky State Life Insurance Co.	500	15.1038	1,510.38
c/o Miss Davis				Hayburn Building			
National Bank of Kentucky				Cornelia S. Kern	60	1.5125	151.25
Willard C. Hunter and Mabel Hunter	25	.7532	75.32	1327 Highland			
513 W. St. Catherine				Joseph X. Kessack	19	.5739	57.39
Elizabeth L. Humman	75	2.3555	235.55	2314 Sycamore Street			
c/o D. H. Ewing & Son				Mrs. Lucy M. Kidd	240	7.2498	724.98
Mary L. Hutcheson	25	.7534	75.34	270 S. Limestone, Lexington, Ky.			
134 N. Bayly Ave.				Mrs. Edna Kigel	20	.6042	60.42
Caroline C. Hutchings	115	3.4739	347.39	2220 Wrochlage Ave.			
c/o The Louisville Trust Company				James L. Killoran	20	.6042	60.42
Wm. E. Hutchings, dead	1780	53.1655	5,316.55	1851 Edgeland Ave.			
Kentucky Title Trust Company, Administrator de bonis non with will annexed				Mrs. Ida Kilvington	20	.6042	60.42
Miss Winifred Hutchings	100	3.0208	302.08	1221 S. 28th Street			
2415 Longest				Mrs. Marie H. Kimball	20	.6042	60.42
Ben Louis Straus Hutchins	120	3.6349	363.49	Somerset, Ky.			
523 W. St. Catherine				Mrs. Flossie C. Kinnard	180	5.4374	543.74
J. Bernard Hutchins	180	4.5312	453.12	Lancaster, Ky.			
c/o National Bank of Kentucky				George T. Kinnarney	400	12.0831	1,208.31
Joseph B. Hutchins	25	.7532	75.32	510 E. Broadway			
525 W. Jefferson St.				c/o National Bank of Kentucky	100	3.0208	302.08
John W. Hutchison	10	.3021	30.21	James W. Kinnarney			
2301 Osgood				518 E. Broadway	170	5.1353	513.53
Miss Margaret S. Hutchison	5	.1512	15.12	Nora Kirch			
655 S. 26th Street				c/o The Louisville Trust Company	50	1.5104	151.04
J. Wiley Irvine	80	1.5104	151.04	Marie Greenwood Kirchner			
2131 Woodbourne Ave.				225 Stilla Ave.	280	7.5519	755.19
Lee D. Irving	60	1.5125	151.25	E. Emmett Kirwan			
2801 Western Parkway				216 Columbia Bldg.	500	15.1038	1,510.38
Albert Ivson	300	9.0623	906.23	Mrs. Josephine S. Kirwan			
15th & Brockinridge				1840 Lauderdale Road	190	4.5322	453.22
Edna Jacks	70	2.1145	211.45	J. William Klaphake			
1120 E. Broadway				1839 Hopburn Ave.	160	4.5322	453.22
L. C. Jackson	25	.7532	75.32	G. L. Knight			
2227 Cherokee Parkway				Nicholasville, Ky.	30	.9083	90.83
Walter F. Jacobs	130	4.5312	453.12	Sally J. Knott			
602 Inter-Southern Building				Lebanon, Ky.	532	25.1238	2,512.38
Mrs. Margaret Vance Jarvis	80	1.5104	151.04	Charles Robert			
1421 St. James Court				Lebanon, Ky.	50	1.5104	151.04
M. Eunice Jean	280	11.4789	1,147.89	Ben J. Kohn			
Buechel, Ky.				207 E. Madison	860	25.9796	2,597.96
Mrs. Alma C. Irvine	30	.9082	90.82	Walter I. Kohn			
355 W. Broadway, Danville, Ky.				Commercial Bldg., 4th & Main	25	.7532	75.32
Mrs. W. M. Irvine	405	12.2341	1,223.41	Fred A. Kratch			
c/o Citizens National Bank				1000 E. Broadway	240	7.2498	724.98
Richmond, Ky.				Belle Gordon B. Krebs			
Myers S. Jean	180	5.4374	543.74	720 W. Main Street	25	.7532	75.32
Buechel, Ky.				Charles C. Krebs			
Chas. D. Jefferson	280	7.5519	755.19	2128 Sherwood	80	1.5104	151.04
2074 Sherwood				Eleanor G. Kremer			
Mrs. Elise Bennett Jefferson	120	3.9270	392.70	2121 Bonnycastle Ave.	30	.9083	90.83
Richmond, Ky.				George Krim			
Hal T. Jefferson	1100	33.2285	3,322.85	1815 Wilson Street	100	3.0208	302.08
101 W. Main				John J. Kuns			
Julia S. Jefferson	670	20.2392	2,023.92	City Hall Annex	50	1.5104	151.04
101 W. Main				W. F. Edwin Kuns			
J. William Jefferson	800	15.1038	1,510.38	663 Western Parkway	20	.6042	60.42
101 Main Street				Mrs. Amelia Kuttner			
Lewis S. Jefferson	200	9.0623	906.23	652 Cecil Ave.			
101 W. Main Street				Edmund S. LaFollette & Mary LaFollette as Joint Tenants with Right of Sur- vivorship and Not As Tenants in Common	50	1.5104	151.04
Mrs. Sallie G. Jefferson	130	3.9270	392.70	1405 Rider Ave.			
1715 Windsor Place				Helen Land	100	3.0208	302.08
Cherie U. Jones	80	2.4166	241.66	1147 S. Shelby St.			
1421 Rosewood				Miss Caroline E. Lang	100	3.0208	302.08
F. L. Jones	20	.8042	80.42	Louisville Trust Building			
1207 S. Shelby				Richard D. Langas	80	1.5104	151.04
Joseph Jones	17	.5125	51.25	602 Inter-Southern Building			
1126 E. Burnett				George W. Langford	180	4.5312	453.12
Mrs. Mary O. Johnson	180	4.5322	453.22	315 Columbia Building			
R. F. D. & Box 121, Anchorage, Ky.				Mary Gilbert Langford	180	4.5312	453.12
Alice L. Jones	30	.9082	90.82	604 Weiminger-Gaulbert Apts.			
119 W. Ormsby Ave.				Frank H. Lanham	180	5.4374	543.74
Anne M. Jones	25	.7532	75.32	1011 Audubon Park			
1129 Cherokee Road				John W. Latham	60	1.5125	151.25
Mrs. Louisa W. Jones	100	3.0208	302.08				
Columbia, Ky.							
Samuel P. Jones	80	1.5125	151.25				
Jones-Dubney Company							



# Exhibit 155

In Witness Whereof said Trustees have caused their corporate seal to be hereunto affixed, and this certificate to be signed by their Chairman or Vice-Chairman, and by their Secretary or Assistant Secretary, on this \_\_\_\_\_

Assistant Secretary of said Trusts.

Vice-Chairman of said Trusts.

Countersigned at Louisville, Kentucky, \_\_\_\_\_

THE LOUISVILLE TRUST COMPANY,  
Transfer Agent of said Trusts.

By \_\_\_\_\_ Assistant Secretary.

THE NATIONAL BANK OF KENTUCKY,  
Registrar.

By \_\_\_\_\_ Assistant Cashier.

## IX. RESPONSIBILITY OF TRUSTEES.

(1) The trustees shall not be responsible for any acts done by them as Trustees in good faith and in the exercise of an honest judgment. No Trustee shall be liable for the act or omission of another Trustee.

(2) They shall not be liable, except as Trustees to the extent of the Trust funds held by them, by reason of the ownership of stock in any corporation. They shall be indemnified against any such liability by each holder of Trusts' Participation Certificates to the extent hereinafter set forth.

(3) Each owner of a Trusts' Participation Certificate issued hereunder shall be subject to the same liability thereon as he would have been subject to in case he had been the owner of record of such proportionate part of the shares held by the Trusts in any corporation as the number of shares called for by his Trusts' Participation Certificate bears to the whole number of shares owned by all outstanding Trusts' Participation Certificates; and to such extent he shall indemnify and hold harmless the Trusts owning such stock from any loss or liability on account of being the holders or owners thereof. The measure of liability assumed hereunder shall be the same as that provided by law with reference to the holders of stock in any particular corporation in which the Trusts may hold stock as is provided by law with reference to the holders of such stock, and no more.

## X. TERMINATION OR MODIFICATION OF AGREEMENT.

1. The trust herein created shall continue until the death of the last survivor of all those persons who are now stockholders of either of said institutions who presently enter into this agreement, and for the further period of twenty-one years after the death of such last survivor, unless sooner terminated, as it may be, by a vote of the owners of not less than two-thirds in amount of the Trusts' Participation Certificates, such vote to be taken at a special meeting called for that purpose, of which notice shall be given in writing thirty days in advance of such meeting as above provided.

2. By a vote of two-thirds had at a meeting called as above, this agreement may be modified, such modification being evidenced by the signatures of the owners of Trusts' Participation Certificates assenting thereto, in person or by proxy. Such written modification shall be lodged with the Trusts and a copy thereof lodged with The National Bank of Kentucky and The Louisville Trust Company.

## XI. EFFECTIVE DATE OF AGREEMENT.

This agreement shall become effective only when it shall have been executed by the owners of not less than one-half in amount of the stock in The Louisville Trust Company and one-half in amount of the stock in The National Bank of Kentucky by signing this agreement or assigning their stock to the Trusts. It shall, however, remain open for one hundred and twenty days from the date hereof for additional stockholders to make themselves parties hereto by signing it or a counterpart hereof and which additional period may be further extended by a majority vote of the persons herein named as Trusts.

WITNESS the hands of the parties the day and year first above written.

Plaintiff states that the trustees named and provided for in aforesaid trust agreement accepted said trust and took unto themselves as such trustees proper assignments of said 17,255 shares of capital stock of The Louisville Trust Company and said 20,250 shares of capital stock of The National Bank of Kentucky, and caused all of aforesaid shares of capital stock to be issued unto themselves in their capacity as trustees under said trust agreement upon the books and records of the trust company and bank respectively, and that on September 1, 1929, and thereafter continuously until the date of the dissolution of the trust existing under aforesaid trust agreement, as hereinafter set out said 17,255 shares of the capital stock of The Louisville Trust Company and said 20,250 shares of the capital stock of said National Bank of Kentucky stood upon the books of said trust company and said bank respectively in the name of the trustees under aforesaid trust agreement and that during all of said time said trustees were the holders of the legal title, as shown by the stock books and records of both said trust company and said bank, of the aforesaid shares of capital stock of said trust company and bank respectively.

Plaintiff states that, as provided for in aforesaid trust agreement, said trustees, prior to the 1st day of September, 1929, caused to be issued and delivered unto the respective owners of the shares of trust company stock and the shares of bank stock as transferred Trusts' Participation Certificates, in the form as set out in said trust agreement, evidencing the ownership of the number of shares of the par value of \$100.00 each of the indivisible trust estate created as aforesaid, in each of said certificates holders equal to the number of shares of stock as transferred by them respectively; that after such issue by said trustees of Trusts' Participation Certificates of the par value of \$100.00 each, but before the 1st day of September, 1929, said trustees reduced the par value of the shares of the indivisible trust estate evidenced by said Trusts' Participation Certificates and issued in lieu of all outstanding certificates evidenced by shares of the par value of \$100.00 each, new Trusts' Participation Certificates for shares of said indivisible trust estate of the par value of \$10.00 each.

Plaintiff states that on September 1, 1929, and continuously thereafter until said indivisible trust estate was dissolved as hereinafter set out, the total number of Trusts' Participation Certificates outstanding had a par value of \$1,725,500.00, being 172,550 shares of the par value of \$10.00 each, and that the owners of said Trusts' Participation Certificates were the beneficial owners of said 172,550 shares of said trust company stock and said 20,250 shares of said bank stock in the proportions which the respective shares evidenced by their Trusts' Participation Certificates bore to the total number of shares evidenced by the Trusts' Participation Certificates outstanding.

Plaintiff states that, in and by Clause IX of aforesaid trust agreement, it was expressly provided that each owner of a Trusts' Participation Certificate issued under and pursuant to said trust agreement, should be subject to the same liability thereon as such owner would have been subject to in case he had been the owner of record of such proportionate part of the shares of stock held by said trustees in any corporation as the number of shares called for by such owner's Trusts' Participation Certificate bears to the whole number of shares covered by all outstanding Trusts' Participation Certificates.

Plaintiff states that, in and by Clause X of aforesaid trust agreement, it was provided and agreed that the trust therein and thereby created should be revocable and terminable at any time by a vote of the owners of not less than two-thirds in amount of the Trusts' Participation Certificates outstanding under said trust agreement, such vote to be taken, at a special meeting called for that purpose, of which notice should be given in writing thirty days in advance of such meeting; that pursuant to aforesaid provision, a special meeting of the holders and owners of said Trusts' Participation Certificates was duly called to be held in the directors' room of the old Louisville Trust Company Building, 5th and Market Streets, City of Louisville, on December 24, 1930, at 10 o'clock A. M., for the purpose of considering and voting upon the question of dissolving and terminating said trust agreement and restoring to the holders of Trusts' Participation Certificates their shares of stock in The Louisville Trust Company and their shares of stock in The National Bank of Kentucky; that due notice of said special meeting and of the purpose for which the same was called was given in writing to all holders and owners of outstanding Trusts' Participation Certificates thirty days in advance of said meeting as in said trust agreement provided; that pursuant to the written notice given as aforesaid, a special meeting of the holders and owners of said Trusts' Participation Certificates was held at the



# Exhibit 155

time and place as filed in said notice, and that at said meeting, by the affirmative vote of the owners of more than two-thirds in amount of said Trustees' Participation Certificates outstanding, said trust agreement was forthwith terminated and said 17,335 shares of the capital stock of the Louisville Trust Company, and said 30,000 shares of the capital stock of The National Bank of Kentucky then became distributable unto the holders and owners of outstanding Trustees' Participation Certificates in proportion to their respective interests in said indivisible trust estate as represented by their shares therein.

Plaintiff states that the various defendants herein were respectively, at the time hereinafter shown, the owners of Trustees' Participation Certificates for the number of shares of the par value of \$10.00 each as hereinafter set out, and that by virtue of such ownership of Trustees' Participation Certificates said certificate owners respectively were the beneficial owners of shares of the capital stock of The Louisville Trust Company in the proportions of 3.0207696 per cent of a share of stock of The Louisville Trust Company of the par value of \$100.00 each for every share represented by said Trustees' Participation Certificates of the par value of \$10.00 each.

Plaintiff states that under and by the express terms of aforesaid trust agreement, and by reason of the voluntary creation and the nature of the estate created by said trust agreement and its revocability as therein provided, each and all of said Trustees' Participation Certificate owners were and remained and continued to be subject to liability as stockholders of The Louisville Trust Company to the same extent as if they had respectively been and remained stockholders of The Louisville Trust Company upon its own proper records for the number of shares computed in proportion to 3.0207696 per cent of a share of trust company stock for each Trustees' Participation Certificate-share of the par value of \$10.00 each.

Plaintiff states that all the defendants hereinafter named in GROUP I and GROUP II were respectively, within the period of one day less than two years immediately preceding the date of the filing of this petition, the owners of said Trustees' Participation Certificates for the number of shares of the par value of \$10.00 each, as shown in the first column opposite the name of each of said listed defendants.

## GROUP No. 1

### Trustees' Shares of the Louisville Trust Company—National Bank of Kentucky and Interim Certificates Representing Same Traceable Into BancoKentucky Stock

Name and Address	Holdings in shares of \$10 par value	In terms of The Louisville Trust Company stock of \$100 par value	100% com- puted on same	Name and Address	Holdings in shares of \$10 par value	In terms of The Louisville Trust Company stock of \$100 par value	100% com- puted on same
Herman R. Ackermann, Louisville Trust Building	80	1.5104	\$151.04	W. F. Aiton c/o Axton Flaker Tobacco Co.	800	15.1088	1,510.88
I. B. Adee Columbia Building	30	.6042	60.42	F. B. Ayres 502 Columbia Building	50	1.5104	151.04
Brown Adee 621 W. Main Street	830	16.0101	1,601.01	F. A. Bado 1434 Everett Avenue	30	.9082	90.82
E. C. Adolph 210 E. Walnut Street	30	.6042	60.42	Marion L. Bado 2034 Eastern Parkway	30	.9082	90.82
Mable Ahrens 1228 Hampden Court	81	1.5404	154.04	Eleanor D. Baird c/o The Louisville Trust Company	80	1.5104	151.04
Maud Ainslie 1215 S. 3rd Street	210	3.3436	334.36	Mrs. W. J. Baird 1525 Spring Drive	260	7.5519	755.19
John S. Ahern 120 N. Hite	300	5.0628	506.28	Minnie L. Baird 1806 Alfreco Place	60	1.5126	151.26
Mrs. B. K. H. Alcorn Greensburg Deposit Bank Greensburg, Kentucky	170	3.1352	313.52	Carlton Ball 308 Republic Building	90	2.9608	296.08
Miss Bettie F. Alfred 1916 Deer Park Avenue	35	.7582	75.82	Mrs. Elizabeth Ball 4415 Broadway, Kentucky	40	1.3068	130.68
Darwin Allen 3134 Oriole Drive	180	4.5312	453.12	Sunshine H. Ballard Glenview, Kentucky	3000	90.8281	9,082.81
F. P. Allen 1908 Spring Drive	100	3.0208	302.08	Eva B. Bandman 1477 S. 3rd Street	300	9.0628	906.28
J. W. Allen, Jr. 1428 W. Ormsby	40	1.2068	120.68	Rodman Thurman Barber 2605 Top Hill Road	80	1.5104	151.04
Victor W. Allen Courier-Journal Job Printing Co.	25	.7582	75.82	S. Lyman Barber 425 Marion E. Taylor Building	360	10.8748	1,087.48
William B. Allen, Lafour Allen, Charles W. Allen and Arthur D. Allen, Trust- tees for Mrs. Ethel Allen Gage	970	5.1352	513.52	Caroline F. Barbour 1317 S. 4th Street	190	5.7396	573.96
Star-Southern Building				Mrs. Elizabeth Alkin Barbour 1304 S. 6th Street	90	2.7187	271.87
Harry Altmeyer 1418 S. 8th Street	300	6.0415	604.15	Mrs. Allacora R. Barker 1007 Baxter Avenue	180	4.5312	453.12
Julius Ambrosius 600 S. Brook Street	80	2.4186	241.86	Kenneth A. Barker 240 E. Lee Street	100	3.0208	302.08
Robert Ambrosius 716 W. Market Street	80	2.4186	241.86	Thos. A. Barker 207 Louisville Trust Building	80	2.4186	241.86
Allen Amos 522 S. Birchwood	180	4.5322	453.22	Claude R. Barnes 1328 S. 4th Street	240	7.2408	724.08
Annella W. Anderson 1081 S. 4th Street	80	1.5104	151.04	Mrs. Channie W. Barr c/o Louisville Trust Company	300	9.0628	906.28
Annella W. Anderson, Executrix Annie N. Wilson, deceased	180	4.5312	453.12	John W. Barr, Jr. Columbia Building	1508	45.5582	4,555.82
c/o The Louisville Trust Company				Ellen R. Barret 431 Park Avenue	300	9.0628	906.28
Mrs. Florence S. Anderson Route 10, Beechel, Kentucky	500	15.1088	1,510.88	Thomas L. Barret 112 S. 2nd Street	90	2.9608	296.08
S. Turner Anderson 600 S. 26th Street	30	.9082	90.82	Austin G. Bartlett, devisee of Ella G. Bartlett	1000	30.2077	3,020.77
W. Sherman Anderson c/o W. L. Lyons & Company	908	15.3851	1,538.51	Binksholzer Lane Mai Hill Bartlett Billen	1450	43.8012	4,380.12
Warren L. Anderson Coryville, Kentucky	36	.7582	75.82	Anchorage, Ky	100	3.0208	302.08
Ida R. Anderson 1121 W. Main Street	128	2.7186	271.86	Charles F. Barton 535 W. Main Street	780	22.6856	2,268.56
Annella B. Angermeyer 1516 Cherokee Road	80	1.5126	151.26	Mrs. Henrietta W. Barton 1301 S. 3rd Street	70	2.1145	211.45
H. J. Angermeyer 1516 Cherokee Road	2980	90.0189	9,001.89	James T. Basham Leitchfield, Kentucky	80	1.5104	151.04
J. Frank Anwar c/o F. Lovillard Company	100	3.0208	302.08	R. M. Bean Russellville, Kentucky	80	1.5104	151.04
Walker G. Appel 3137 Sherwood Avenue	80	1.5104	151.04	Mrs. Lena Lee Bates 1294 Cherokee Road	80	1.5104	151.04
Mrs. Bess F. Archer 1111 Cherokee Road	80	1.5104	151.04	Mrs. Sue S. Bayly 1223 S. 6th Street	1010	30.5096	3,050.96
George Armstrong 2811 W. Market Street	38	.7582	75.82	Rafa B. Bean 1271 Cherokee Road	680	20.5412	2,054.12
Calvin G. Arnold Fremont Building	100	3.0208	302.08	Mrs. Willie May Beard Alta Vista Road	80	1.5104	151.04
Norborne Artsburns St. Matthews, Kentucky	1300	36.3692	3,636.92	Mrs. Allen M. Beattie 1927 S. 2nd Street	80	1.5104	151.04
Burnard Asman 608 Beulah Building	100	3.0208	302.08	Anna Fillion Beck 2205 Grinstead Drive	75	2.2608	226.08
				Miss Emma T. Beck 1827 Edmonds Avenue	180	4.5312	453.12

# Exhibit 155

G. F. Beck	400	19.0881	1,308.31	Roberts Batters	20	.8043	60.43
221 S. 8th Street				410 Urban Building			60.43
Max Becker	80	1.5104	181.04	J. C. Bowers	380	10.5737	1,067.37
114 E. Lee Street				1908 Dorothy Avenue			60.43
Leola E. Bell	100	3.0208	302.08	Barton B. Bower	30	.9088	60.43
Madisonville, Kentucky				120 E. Gray Street			311.45
Sally V. Bell	20	.8043	60.43	Mrs. Laura B. Bower	70	2.1145	311.45
140 Bell Court, East				120 E. Gray Street			302.08
Lexington, Ky.				T. W. Bowers	100	3.0208	302.08
Richard C. Belhoff	28	.7552	75.52	c/o L. & N. R. Co., 1st & Water			302.08
1602 River Road				Bert Boyd	100	3.0509	302.08
Nina Benedict	30	.9083	90.83	Womminger-Gaultbert			302.70
1340 S. 3rd Street				Raymond W. Brantling	120	3.9370	302.70
Archib Bessinger	100	3.0208	302.08	c/o Commercial Lithographing Co.			908.65
315 W. Market Street				Philip V. Brown	230	9.0885	908.65
Charles Bessinger	380	10.5737	1,067.37	1735 Edmund Ave.			408.11
315 W. Market Street				Miss Elizabeth Brookins	180	4.8811	408.11
Milton Bessinger	200	6.0415	604.15	Cordham Apts.			1,147.89
315 W. Market Street				Lawrence W. Broad	380	11.4789	1,147.89
Thos. Berg	290	8.7803	878.03	c/o Arctic Ice Co., 428 S. 7th Street			943.81
1904 Douglas Blvd.				Miss Mary K. Broad	280	8.4381	943.81
Don Berg	70	2.1145	211.45	1117 S. 1st Street			317.19
2445 Cherry Avenue				Miss Paula M. Broad	106	2.1719	317.19
P. C. Berger	120	3.9370	393.70	1117 S. 1st Street			75.52
2445 Cherry Drive				George B. Broadbent	25	.7552	75.52
Rebecca D. Berger	80	1.5104	181.04	2244 Taylorville Road			2,438.68
1808 Harvard Drive				J. A. O. Brennan	800	24.1083	2,438.68
Dennis Berman	80	1.5104	181.04	621 S. 8th Street			943.81
220 W. Market Street				Thomas Brennan II	280	8.4381	943.81
Frank D. Bernheim	530	16.0101	1,601.01	621 S. 8th Street			604.15
1201 Lincoln Bank Building				George A. Brunt	200	6.0415	604.15
Cladya R. Bernheim	530	16.0143	1,601.43	Louisville Trust Bldg.			302.08
1201 Lincoln Bank Building				Mrs. Fannie B. Brunt	100	3.0208	302.08
Lynn B. Bernheim	530	16.7080	1,670.80	c/o Geo. A. Brunt			181.04
1201 Lincoln Bank Building				Louisville Trust Bldg.			211.45
Samuel Bernheim	530	16.7080	1,670.80	Lillian Albion Bower	80	1.5104	211.45
1201 Lincoln Bank Building				302 Gaston Bldg.			211.45
Henry Beston, dead, William A. Reinart,	250	7.5519	755.19	Annie G. Bridges	70	2.1145	211.45
Executor of his will				217 W. Burnett Avenue			211.45
Mary MacDonald Bethel	680	20.8433	2,084.33	Edna T. Bridges	70	2.1145	211.45
35 Womminger-Gaultbert Building				217 W. Burnett Avenue			302.08
Henry S. Beyer	40	1.3083	130.83	E. W. Bright	100	3.0208	302.08
2118 W. Market Street				c/o Blyth & Co.			181.04
Carroll C. Bigley	25	.7552	75.52	W. L. Brown, Jr.	80	1.5104	181.04
719 S. 6th Street				1477 Cypress Street			908.65
James W. Bigley	36	1.0873	108.73	Ellen B. Brown	320	9.0885	908.65
719 S. 6th Street				2045 Rainbow Drive			1,389.34
James M. B. Birdwhistell	30	1.5104	181.04	Margaret Brodie	480	13.9884	1,389.34
Lawrenceburg, Kentucky				724 Frederica Street			378.03
William C. Bittner	180	4.8323	483.23	Owensboro, Kentucky			908.33
1454 Cherokee Road				Curtain V. Brown	380	8.7803	908.33
Ray Black	30	.9083	90.83	1943 Rutharford			407.59
3809 W. Broadway				R. V. Brown	300	9.0833	407.59
Mrs. Blanche H. Blake	80	1.5104	181.04	1943 Rutharford			75.52
2200 Alta Avenue				Burt A. Brown	125	4.0780	75.52
Myra B. Blanton	400	12.0831	1,208.31	1143 Garvin Place			302.08
Danville, Kentucky				Carl F. Brown	28	.7552	302.08
Dr. John M. Blau	100	3.0208	302.08	c/o First National Bank			1,312.48
2022 Gramercy Drive				South Louisville Branch			181.04
Leo Block	120	3.9370	393.70	Frank H. Brown	100	3.0208	302.08
6th & Ormsby				407 Marion E. Taylor Bldg.			1,312.48
Omar E. Block	375	11.2379	1,123.79	George Brown	600	18.1348	1,312.48
316 W. Broadway				610 Columbia Bldg.			181.04
Bernard N. Block	300	9.0833	908.33	Harry M. Brown	80	1.5104	181.04
3058 Eastern Parkway				610 Columbia Bldg.			58,300.94
O. A. Block	500	15.1088	1,510.88	James B. Brown	19800	653.0084	58,300.94
2327 Saratoga Drive				Alta Vista Road			211.45
William Block	170	3.0208	302.08	John J. Brown	70	2.1145	211.45
2034 Napoleon Blvd.				P. O. Box 505, 735 E. Madison St.			684.33
Nathan P. Bloom	30	.9083	90.83	John Walters Brown	210	6.3436	684.33
c/o Adler Mfg. Co.				1078 Sparks Bldg.			181.04
R. V. Board	100	3.0208	302.08	P. H. Bruback	80	1.5104	181.04
c/o Kentucky Wagon Mfg. Co.				c/o Bruback & Co., 8th Lane			68.48
Charles Bobien	70	2.1145	211.45	Mrs. Helen Floyd Bryan	23	.6848	68.48
628 Marion E. Taylor Bldg.				803 W. Jefferson Street			1,123.79
Edward Bobien	60	1.3083	130.83	S. H. Bryant	875	11.2379	1,123.79
628 Marion E. Taylor Building				Bugdad, Kentucky			129.88
C. J. Bodmer	30	.9083	90.83	Elizabeth Mildred Buchanan	40	1.3083	129.88
3226 Broadmoor Road				1280 Cherokee Road			128.57
Temple Bodley	60	1.5125	181.25	Miss Anna B. Budd	42	1.3857	128.57
422 W. Oak Street				1186 E. Broadway			60.43
Charles H. Bohmer	300	9.0833	908.33	Hugh Kennedy Bulitt	20	.8043	60.43
c/o Security Bank				1241 S. 2nd Street			1,067.37
Mrs. Jeanette C. Bohn	25	.7552	75.52	Hugh K. Bulitt, Trustee for C. M.			755.19
3222 Rainbow Drive				Bulitt, H. H. Bulitt & A. S.			1,908.68
Thomas A. Bohn	80	1.5104	181.04	Bulitt	380	10.5737	1,908.68
2243 Napoleon Blvd.				1241 S. 2nd Street			151.04
William O. Bono	280	7.5519	755.19	Fred G. Burdorf	280	7.5519	151.04
316 Columbia Bldg.				427 S. 2nd Street			1,310.35
George O. Bower	1900	57.3946	5,739.46	Joseph E. Burghard	620	19.0838	1,310.35
231 W. Main Street				c/o Peter & Hughard Stone Co.			181.04
Bowen Lodge I. O. O. F.	80	1.5104	181.04	400 S. 13th Street			302.08
3056 W. Vermont Avenue				Mrs. Marie M. Burke	80	1.5104	302.08
Percy N. Booth	200	6.0415	604.15	c/o Robt. T. Burke			181.04
Lincoln Bank Building				Inter-Southern Bldg.			181.04
Mrs. Anna E. Bower	25	.7552	75.52	James R. Burkholder, Jr.	100	3.0208	181.04
69 Willow Terrace				123 S. 5th Street			1,510.35
R. R. Bowell	30	.9083	90.83	A. Roy Burke	80	1.5104	1,510.35
185 N. Petersen				911 W. Broadway			291.57
Margaret S. Bowmer	570	26.3807	2,638.07	W. Bowmer Burke	500	15.1088	291.57
c/o J. R. Stillman				c/o Standard Sanitary Mfg. Co.			755.19
1409 Rosewood Avenue				Campbell & Broadway			
Mrs. Bill Watson Boyles	80	1.5125	181.25	Mrs. Mary M. Burton	80	2.7187	
Emmence, Kentucky				Route 4, Box 688, City			
Robert D. Bottemley	280	7.5519	755.19	Business Enterprise Co., Inc.	280	7.5519	
Louisville Trust Company				215 S. 5th Street			

# Exhibit 155

Mrs. Fannie S. Butler, dead, Thos. L. Butler, Executor of her will Hill Road, Castlewood	840	25.3745	2,537.45	Mary Ford Condit 1220 Bath Ave., Ashland, Ky.	50	1.5104	151.04
Miss Maude Butler 1416 S. 1st Street	80	1.5104	151.04	Adelia L. Conrad 607 S. 4th Street	40	1.3063	130.63
Dr. Thomas L. Butler Hill Road, Castlewood	190	5.7395	573.95	Converse & Co. 412 S. 2nd Street	30	.9062	90.62
Fontain Fox Caldwell Danville, Kentucky	100	3.0208	302.08	Cook Benevolent Institution c/o Jos. Burge, President Columbia Building	350	10.5727	1,057.27
Hamilton F. Caldwell 129 River Road	80	1.5104	151.04	S. W. Coons c/o Standard Oil Co. 424 W. Bloom Ave.	130	3.6249	362.49
Callahan & Sons 918 S. 13th Street	1580	47.1240	4,712.40	Thomas Cooper Lexington, Ky.	160	4.8332	483.32
Mrs. Ella Brook Campbell 1311 College Street	170	5.1353	513.53	Mrs. Nettie E. Cornell 1817 Bardstown Road	18	.5437	54.37
Bowling Green, Kentucky				Francis R. Cotton 1118 S. 3rd Street	70	2.1145	211.45
Justine Tandy Campbell Ghent, Kentucky	144	4.3499	434.99	Jane B. Courtenay 1319 S. Brook Street	140	4.2291	422.91
Virginia B. M. Campbell Shelbyville, Kentucky	580	17.5205	1,752.05	Attila Cox 1706 Inter-Southern Bldg.	100	3.0208	302.08
Mrs. Cecelia B. Cardwell Lebanon, Kentucky	150	4.5311	453.11	Carrie G. Cox Route 1, Box 419, Louisville, Ky.	600	18.1946	1,819.46
Charles L. Caron 1033 Everett Avenue	80	1.8125	181.25	Wilson D. Crabb Kenyon Bldg.	80	1.5104	151.04
Jennie B. Carothers 5 Parsons Apts.	150	4.5311	453.11	Annie Craig Pewee Valley, Ky.	190	5.7395	573.95
Judge A. J. Carroll Inter-Southern Bldg.	30	.9062	90.62	H. C. Cralle 1330 S. 3rd Street	50	1.5104	151.04
A. J. Carroll, Trustee Inter-Southern Bldg.	64	1.9333	193.33	Lee E. Cralle 1330 S. 3rd Street	1800	54.3738	5,437.38
Elin B. Carter 1317 S. 4th Street	80	2.4106	241.06	Mary E. Cralle 1330 S. 3rd Street	80	1.5104	151.04
James G. Carter 223 S. Birchwood	30	.6042	60.42	Mary W. Crawford Weinsinger-Gaulbert	90	2.7187	271.87
Mrs. Lou Ella Carter 942 S. 6th Street	30	.9062	90.62	Matt H. Crawford 400 Marion E. Taylor Bldg.	250	7.5519	755.19
Maria G. Carter 1808 Morton Ave.	160	4.8332	483.32	Matt H. Crawford, Trustee Mary W. Crawford	1300	39.27	3,927.00
Mrs. Ernestine W. Charlton 1001 Garvin Place	190	4.8332	483.32	Mrs. Della Crutcher 1407 Starks Bldg.	30	.9062	90.62
William J. Chawh 1298 S. Floyd	250	7.5519	755.19	Margaret Cummins 340 Jewell Street	30	.9062	90.62
John W. Ched c/o First National Bank South Louisville Branch	25	.7552	75.52	Mrs. Mary K. Cummins 72 Weinsinger-Gaulbert	300	9.0623	906.23
J. A. Cheek Danville, Kentucky	80	1.8125	181.25	Mamie M. Curd 2015 Lauderdale Road	50	1.5104	151.04
Miss Alice Chinn Frankfort, Kentucky	90	2.7187	271.87	W. P. Cumcock c/o Roth Lumber Co.	300	9.0623	906.23
Miss Sally Chinn Frankfort, Kentucky	80	1.3063	130.63	Story & Buchanan Streets L. R. Curtis	100	3.0208	302.08
Ella Chrysler 114 Crescent Court	100	3.0208	302.08	Inter-Southern Bldg. Mrs. Mattie G. Daniel	30	.6042	60.42
Zach Church Frankfort, Kentucky	80	1.8125	181.25	John P. Dant, Sr. 1718 Windsor Place	100	4.8332	483.32
City Bank & Trust Co. of Hopkinsville, Ky., Trustee for John P. Gassett, Est.	60	1.8125	181.25	Joseph B. Dant 1479 S. 4th Street	3250	98.1749	9,817.49
Hopkinsville, Kentucky				Mrs. Erving F. Daugherty 1190 E. Broadway	39	1.1781	117.81
Mrs. Ray M. Chaggett 3000 Dundee Road	25	.7552	75.52	Katherine Bowman Davies c/o Fole Bowman & Hodge	340	10.2706	1,027.06
Mary P. Clancy 206 W. Main Street	60	1.8125	181.25	916 Fairbank Bldg., Eminence, Ky.	40	1.2063	120.63
Mrs. Mary P. Clancy c/o John Clancy, 206 W. Main Street	100	3.0208	302.08	Carrie R. Davis 221 Speed Bldg.	80	1.5104	151.04
Ieda Helm Clark Box 486, Upper River Road	30	.9062	90.62	Charles A. Davis Harrodsburg, Ky.	30	.9062	90.62
Leslie C. Clark 1321 S. 20th Street	340	10.2706	1,027.06	Harry V. Davis 514 Crutcher & Starks Bldg.	150	4.5311	453.11
Morris C. Clark 1123 Garvin Place	100	3.0208	302.08	Isadore Davis Frankfort, Ky.	150	4.5311	453.11
Walter B. Clark 612 W. Main	120	3.6249	362.49	I. Davis Frankfort, Ky.	130	3.9270	392.70
Mrs. Mattie A. Clarke c/o The Louisville Trust Co.	262	7.9144	791.44	De Molay Commandery No. 12 Knights Templar, 212 W. Broadway	20	.6042	60.42
Fred A. Clapp 110 S. 1st Street	500	15.1038	1,510.38	Mrs. H. Edna Denson 2026 Alta Avenue	310	9.3644	936.44
John W. B. Clonesta 411 W. Chestnut Street	40	1.3063	130.63	Mrs. Lena DeSopo 202 E. Jefferson Street	25	.7552	75.52
Anne L. Cochran Paint Lick, Kentucky	250	7.5519	755.19	Miss Rose DeSopo 202 E. Jefferson Street	30	.9062	90.62
T. Wilson Cochran 811 W. Main Street	100	3.0208	302.08	George B. Diefenbach 150 N. Galt Avenue	70	2.1145	211.45
Wilbur B. Cogshall Courier-Journal & Times	50	1.5104	151.04	Richard P. Dietzman 4816 S. 3rd Street	320	9.6665	966.65
Harry N. Cohen 141 N. 2nd Street	25	.7552	75.52	C. P. Dodd 14th & Kentucky Streets	25	.7552	75.52
Adolph Cohn 847 S. 4th Street	1000	30.2077	3,020.77	Edward A. Dodd 608 Louisville Trust Bldg.	400	12.0531	1,205.31
Lewis W. Cole 2324 Speed Avenue	380	11.4789	1,147.89	John L. Dodd 427 W. Market Street	64	1.9333	193.33
Edith E. Coleman 2155 Alta Avenue	60	1.8125	181.25	Sidney E. Dodge Valley Station, Ky.	70	2.1145	211.45
Mrs. Eliza R. Coleman 973 S. 4th Street	210	6.3436	634.36	Walter J. Doering 2426 Elliott Avenue	120	3.6249	362.49
Hilton Collins Tyne Hotel	80	2.4106	241.06	Martha A. Doherty c/o P. B. Fogue, Columbia Bldg.	80	2.4106	241.06
Mary R. Collins c/o R. D. Langan	100	3.0208	302.08	William B. Doherty Orchard Hill	200	6.0415	604.15
Harriet C. Collin 1801 Lauderdale Road	30	.9062	90.62	Priscilla W. Dehoney c/o Bank of Columbia, Columbia, Ky.			
Miss Nancy M. Colville 209 Symmons Street Curtis, Kentucky							



# Exhibit 155

Mary B. Lathan Elizabethtown, Ky.	80	1.8104	181.04	Kentucky Title Trust Co., Successor to The Louisville Trust Co., Trustee Under Will Mary Barkley Brown for William Barkley	10	.3021	30.21
A. E. Latta 436 W. Main St.	1000	30.2077	3,020.77	The Louisville Trust Company Trustee for Laura R. Barkley	90	2.7187	271.87
Isabel Ray LaVie, dead Fidelity & Columbia Trust Co. Executor of her Will	826	18.9100	1,891.00	Lacy Whipples for Dorothy Beard	20	.6042	60.42
Miss Christine Laver 2701 W. Chestnut	100	3.0208	302.08	Fidelity & Columbia Trust Co., Successor to The Louisville Trust Co., Trustee Under Will Clara K. Bullitt	200	8.7802	878.02
Charles F. Leathers The Louisville Trust Co. John Allen Leathers 1807 S. Brook Street	180	4.5212	452.12	Fidelity & Columbia Trust Co., Successor to The Louisville Trust Co., Trustee Anna B. Burton	100	3.0208	302.08
Edward E. Lee c/o National Bank of Kentucky Transit Dept.	390	11.7810	1,178.10	Kentucky Title Trust Co., Successor to The Louisville Trust Co., Trustee Under Agreement for Kate W. Crawford	140	4.2291	422.91
Mrs. Estelle Lee 1026 S. 4th Street	28	.7582	75.82	Liberty Bank & Trust Co., Successor to The Louisville Trust Co., Trustee Under Will George W. Detchen for Minnie Detchen	160	4.8222	482.22
Chaslyn A. Leach Brown Hotel	1400	62.2008	4,220.08	Liberty Bank & Trust Co., Successor to The Louisville Trust Co., Trustee Under Will Louise S. Detchen for Minnie Detchen	220	8.9478	894.78
Jessie B. Leedom Russellville, Ky.	220	8.6487	864.87	Liberty Bank & Trust Co., Successor to The Louisville Trust Co., Trustee Under Will Louise S. Detchen for Minnie Detchen	10	.3021	30.21
Walter V. Leedom Russellville, Ky.	70	2.1148	211.48	Liberty Bank & Trust Co., Successor to The Louisville Trust Co., Trustee Under Will Louise S. Detchen for Minnie Detchen	1470	44.4082	4,440.82
Frank LeFevre Route 3, Box 122, Anchorage, Ky.	175	5.2883	528.83	Kentucky Title Trust Co., Successor to The Louisville Trust Co., Trustee Under Will W. W. Drummond	800	18.1098	1,810.98
Louis Leib, dead Fidelity & Columbia Trust Co. Executor of his Will	230	10.8727	1,087.27	Kentucky Title Trust Co., Successor to The Louisville Trust Co., Trustee Under Will B. F. Guthrie	200	8.0415	804.15
R. J. Lemonda 88 A. Weininger-Gaultier Apts.	100	4.8222	482.22	United States Trust Co., Successor to The Louisville Trust Co., Trustee Under Will Joseph Hahn, Jr.	20	.6042	60.42
George F. Leonard 2825 Grinstead Drive	80	1.5104	151.04	The Louisville Trust Co., Agent Isabel Haldeman and Isabel Haldeman 1877 S. 2nd Street	400	12.0831	1,208.31
Harry N. Levy 202 Bankers Trust Bldg.	20	.6042	60.42	Kentucky Title Trust Co., Successor to The Louisville Trust Co., Trustee Jennie C. Hanks	180	4.8212	482.12
Fred Levy 2nd & Market	365	11.0266	1,102.66	The Louisville Trust Co., Trustee Estate Theodore Harris	3520	108.2811	10,828.11
James S. Levy 427 W. Jefferson Street	80	1.5104	151.04	The Louisville Trust Co., Trustee William Hastings	40	1.2088	120.88
L. M. Levy 2171 Barringer Avenue	200	6.0415	604.15	Fidelity & Columbia Trust Co., Successor to The Louisville Trust Co., Trustee Under Will Frank Heck for Mary B. Heck	190	5.7265	572.65
Martham Levy 511 W. Main Street	80	1.5104	151.04	Kentucky Title Trust Co., Successor to The Louisville Trust Co., Trustee for Francis Griffith Hogan	70	2.1148	211.48
Miss Ada S. Lewis 88 F. Weininger-Gaultier	130	4.9270	392.70	Fidelity & Columbia Trust Co., Successor to The Louisville Trust Co., Trustee Under Will G. Holladay	60	1.8126	181.26
Rameralda B. Lewis 1988 Rosanoke Avenue	180	4.5212	452.12	The Louisville Trust Co., Trustee Rose Anna Hughes	2400	72.6865	7,268.65
Jacob B. Lewman 821 W. Main Street	240	7.3498	734.98	Fidelity & Columbia Trust Co., Successor to The Louisville Trust Co., Trustee Guardian for Beverly Jones	80	1.8126	181.26
Mary V. Lewman 48 Hill Road, Castlewood	80	2.4166	241.66	Kentucky Title Trust Co., Successor to The Louisville Trust Co., Trustee Stuart E. Duncan, Trustee Under Will Kate A. Leathers for Stuart R. Leathers	190	4.8222	482.22
Mrs. Emma Lieber 308 W. Broadway	80	2.4166	241.66	The Louisville Trust Co., Trustee Sulla A. McKinney	270	11.1766	1,117.66
Fred H. Lieber 2169 Sherwood Avenue	120	3.9270	392.70	Fidelity & Columbia Trust Co., Successor to The Louisville Trust Co., Trustee Frank A. Meane	800	24.1062	2,410.62
Miss Genevieve Lindsay 401 Wapping St., Frankfort, Ky.	80	1.5104	151.04	Fidelity & Columbia Trust Co., Successor to The Louisville Trust Co., Trustee Lucy Elizabeth Moorman Under Will C. P. Moorman, Deceased	2480	74.9151	7,491.51
H. Watson Lindsay 514 W. Oak	200	6.0415	604.15	Central Trust Co. of Owensboro, Ky., Successor to The Louisville Trust Co., Trustee Under Will C. L. Morehead	10	.3021	30.21
Julia R. Lindsay 1911 Deer Park Ave.	40	1.2083	120.83	Central Trust Co. of Owensboro, Ky., Successor to The Louisville Trust Co., Trustee for C. J. Morehead, Fannie Morgan and Clara Blocher	1620	48.2885	4,828.85
John B. Lindsay, Jr. 401 Wapping St., Frankfort, Ky.	210	6.3426	634.26	Kentucky Title Trust Co., Successor to The Louisville Trust Co., Trustee Under Will Caldwell Norton	120	3.9270	392.70
Miss Lillian Lindsay 401 Wapping St., Frankfort, Ky.	80	1.5104	151.04	Fidelity & Columbia Trust Co., Successor to The Louisville Trust Co., Trustee Mary Peltz	90	2.7187	271.87
Miss Maria Lindsay Frankfort, Ky.	80	1.5104	151.04	The Louisville Trust Co., Trustee Trustee Effie L. Powers	20	.6042	60.42
Alvin Linker 123 S. 6th Street	40	1.2083	120.83	Kentucky Title Trust Co., Successor to The Louisville Trust Co., Trustee Under Will Warren A. Smith for Katie Smith	400	12.0831	1,208.31
Barnet Linker 1640 Eastern Parkway	300	9.0823	908.23	The Louisville Trust Co., Trustee Under Will Frank P. Struss for Harry C. Struss	410	12.2882	1,228.82
Harry Linker 1573 S. 1st Street	80	1.5104	151.04		110	3.8226	382.26
Max M. Lipki 108 W. Market Street	28	.7582	75.82				
H. T. Lively 684 S. 44th St.	180	4.5212	452.12				
Wells C. Logan Hartford, Ky.	20	.6042	60.42				
Edith B. Longest 2818 Cherokee Parkway	240	7.3498	734.98				
George A. Long, dead Fidelity & Columbia Trust Co. Executor of his Will	1700	51.3530	5,135.30				
L. H. Long 628 W. Jefferson Street	100	3.0208	302.08				
Nellie J. Long Bunford Manor, Bunshot, Ky.	300	9.0823	908.23				
Arthur Loomis Todd Bldg.	800	18.1098	1,810.98				
Louisville Cement Company c/o W. S. Speed	800	18.1098	1,810.98				
Kentucky Title Trust Co., Successor to The Louisville Trust Co., Trustee Mrs. Amelia W. Anderson	20	.6042	60.42				
Kentucky Title Trust Co., Successor to The Louisville Trust Co., Trustee Mary M. Barclay	220	9.9888	998.88				
Kentucky Title Trust Co., Successor to The Louisville Trust Co., Trustee Under Will Mary Barkley Brown for Billy R. Barkley and Wm. Barkley	80	1.8104	181.04				

# Exhibit 155

The Louisville Trust Co., Trustees Under Will Frank P. Straus for Ruth Naomi Straus	130	2,624.00	262.40	Ellison C. Means	800	24,168.32	2,416.83
Mary C. Wadley & Elizabeth Cloud	100	3,020.00	302.00	Box 325, Ashland, Ky.	50	1,510.40	151.04
c/o Security Trust Co., Lexington, Ky.				William Mehler	743	1,308.32	130.83
Florence Y. Love & Amanthus B. Love Under Terms of Will of James Y. Love, probated July 13, 1878	900	28,900.04	2,890.04	Mrs. Florence S. Melton	40	1,208.32	120.83
680 S. 3rd Street				908 Cherokee Road	280	2,458.10	245.81
Aberia Lucas	20	60.42	6.04	C. C. Mengel	300	9,042.32	904.23
4450 W. Market Street	180	4,531.12	453.12	Clarence R. Mengel	100	3,020.00	302.00
May Belle Ludwig	50	1,510.40	151.04	Edwin Potter Mengel	100	3,020.00	302.00
774 Main, Apt. 2	30	60.42	6.04	1303 Eastern Parkway	100	3,020.00	302.00
J. S. Lutz	170	5,135.30	513.53	Robert L. Mercke	180	5,477.20	547.72
6340 Park Blvd.	20	60.42	6.04	1700 Spring Drive	2530	75,432.54	7,543.25
Birdie Kelly Lynch	30	60.42	6.04	Stella Evans Mercke	300	9,042.32	904.23
Lebanon, Ky.	80	2,418.60	241.86	131 N. Hite Avenue	30	60.42	6.04
Staughton B. Lynd	100	3,020.00	302.00	Ben J. Metcalfe	318	6,343.60	634.36
c/o Citizens Union National Bank				c/o The Louisville Trust Co.	130	3,930.40	393.04
H. Guy Lyon	20	60.42	6.04	Louis F. Metz	20	60.42	6.04
834 W. Main Street	80	2,418.60	241.86	614 Barret Avenue	1614	16,140.00	1,614.00
Mrs. Kathleen Kelly Lyon	20	60.42	6.04	Mary Michel	30	60.42	6.04
1857 Overlook Terrace	1900	57,394.40	5,739.44	1614 Rosewood	30	60.42	6.04
S. Clay Lyons	20	60.42	6.04	Max Mielch	100	3,020.00	302.00
c/o W. L. Lyons & Co.	20	60.42	6.04	407 W. Market Street	100	3,020.00	302.00
George H. McAllister	20	60.42	6.04	Mrs. Florence L. Miles	100	3,020.00	302.00
215 S. 5th Street	20	60.42	6.04	3205 Cherokee Parkway	20	60.42	6.04
Florence McCallister	20	60.42	6.04	Mrs. Alice Miller	100	3,020.00	302.00
Weinsinger-Gaulbert Apt. 21-F	20	60.42	6.04	714 S. 34th St.	100	3,020.00	302.00
John H. McClure	20	60.42	6.04	Mrs. Alice L. Miller	100	3,020.00	302.00
2313 W. Jefferson Street	20	60.42	6.04	Hodgenville, Ky.	100	3,020.00	302.00
Richard L. McCready	20	60.42	6.04	Ben M. Miller	100	3,020.00	302.00
525 W. Ormsby	20	60.42	6.04	215 S. 5th Street	50	1,510.40	151.04
Ida M. McCulloch	20	60.42	6.04	Mrs. Ben M. Miller	50	1,510.40	151.04
2120 Kaniworth Place	20	60.42	6.04	215 S. 5th Street	50	1,510.40	151.04
Manie C. McCulloch	20	60.42	6.04	Emil F. Miller	50	1,510.40	151.04
2622 Western Parkway	20	60.42	6.04	1675 Bardstown Road	400	12,083.10	1,208.31
Charles H. McDonald	20	60.42	6.04	Frank Miller	50	1,510.40	151.04
4435 Michigan Drive	20	60.42	6.04	318 Raymond Avenue	50	1,510.40	151.04
Donald McDonald, Jr.	20	60.42	6.04	Frank H. Miller	50	1,510.40	151.04
1721 S. 7th Street	20	60.42	6.04	Louisville Railway Co.	200	6,042.32	604.23
Mrs. Elsie B. McDowell	20	60.42	6.04	Gordon Miller	200	6,042.32	604.23
428 W. Ormsby	20	60.42	6.04	118 E. Main Street	200	6,042.32	604.23
Joe McDowell	20	60.42	6.04	Harold W. Miller	200	6,042.32	604.23
204 Maple Ave., Danville, Ky.	20	60.42	6.04	c/o Will B. Miller	20	60.42	6.04
James P. McGee	20	60.42	6.04	18th and Oldham	20	60.42	6.04
1907 Rutherford	20	60.42	6.04	J. S. Miller	20	60.42	6.04
James H. McGiboney	20	60.42	6.04	814 Cherokee Road	700	21,145.40	2,114.54
Middlesboro, Ky.	20	60.42	6.04	J. William Miller	20	60.42	6.04
Stephanon W. McGill	20	60.42	6.04	1455 S. 7th Street	20	60.42	6.04
1206 S. 1st Street	20	60.42	6.04	Mrs. Lottie C. Miller	20	60.42	6.04
William J. McConigle	20	60.42	6.04	1800 Castlesberry Road	20	60.42	6.04
P. O. Box 2380, Louisville, Ky.	20	60.42	6.04	Mary Floyd Miller	20	60.42	6.04
Marie D. McGoodwin	20	60.42	6.04	1454 4th Street	20	60.42	6.04
1214 Summit	20	60.42	6.04	Neville Miller	20	60.42	6.04
James S. McKenna	20	60.42	6.04	Inter-Southern Bldg.	20	60.42	6.04
Fairfield, Ky.	20	60.42	6.04	Perry B. Miller	20	60.42	6.04
Stafford E. McKenna	20	60.42	6.04	904 Marion E. Taylor Bldg.	20	60.42	6.04
Fairfield, Ky.	20	60.42	6.04	J. Fred Miles	20	60.42	6.04
Evelyn B. McKennis	20	60.42	6.04	United States Trust Bldg.	20	60.42	6.04
c/o The Louisville Trust Co.	20	60.42	6.04	L. L. Miles	20	60.42	6.04
Mrs. Rosa H. McLennan	20	60.42	6.04	c/o The Louisville Taxicab Co.	20	60.42	6.04
1500 3rd Avenue	20	60.42	6.04	Mrs. Jennie E. C. Mills	20	60.42	6.04
R. W. McNary (dead)	20	60.42	6.04	1883 Rutherford Avenue	20	60.42	6.04
Fidelity & Columbia Trust Co.	20	60.42	6.04	T. J. Minary	20	60.42	6.04
Administrator with his will annexed	20	60.42	6.04	216 W. Jefferson Street	20	60.42	6.04
Thomas McShane	20	60.42	6.04	James B. Miner	20	60.42	6.04
2501 Rowan Street	20	60.42	6.04	c/o University of Kentucky	20	60.42	6.04
Joseph F. McWilliams	20	60.42	6.04	Lexington, Ky.	20	60.42	6.04
Prospect, Ky.	20	60.42	6.04	Charles W. Minnath	20	60.42	6.04
Mrs. Teresa K. MacDonald	20	60.42	6.04	230 Ohio Street	20	60.42	6.04
35 Weinsinger-Gaulbert	20	60.42	6.04	Robert B. Minton	20	60.42	6.04
Ruby Macklin	20	60.42	6.04	Barbourville, Ky.	20	60.42	6.04
212 Washington Street	20	60.42	6.04	Estate of Robert Mitchell by	20	60.42	6.04
Frankfort, Ky.	20	60.42	6.04	J. H. Mitchell, Henry J. Mitchell,	20	60.42	6.04
Mrs. Rose G. MacNeal	20	60.42	6.04	I. T. Fugate, Trustees	20	60.42	6.04
4200 W. Market Street	20	60.42	6.04	235 E. College Street	20	60.42	6.04
Mary G. Malone	20	60.42	6.04	Miss Theresa Moeliman	20	60.42	6.04
c/o E. J. O'Brien	20	60.42	6.04	3401 W. Broadway	20	60.42	6.04
815 W. Main Street	20	60.42	6.04	J. H. Mogan	20	60.42	6.04
Irvi Mann	20	60.42	6.04	Bank of Buichel	20	60.42	6.04
1506 E. Washington	20	60.42	6.04	Buichel, Ky.	20	60.42	6.04
Mrs. Lucy J. Mann	20	60.42	6.04	Catherine D. Mooney	20	60.42	6.04
120 E. 3rd Street	20	60.42	6.04	301 N. Western Parkway	20	60.42	6.04
Newport, Ky.	20	60.42	6.04	Mrs. Emma L. Moore	20	60.42	6.04
William Mann	20	60.42	6.04	1427 Cherokee Parkway	20	60.42	6.04
1985 Rutherford	20	60.42	6.04	The Charles P. Moorman Home for	20	60.42	6.04
Allie H. Manning	20	60.42	6.04	Women, c/o James Garnett	20	60.42	6.04
323 E. Ashland	20	60.42	6.04	Inter-Southern Bldg.	20	60.42	6.04
Lexington, Ky.	20	60.42	6.04	Mrs. Marion Moorman	20	60.42	6.04
M. Marshak	20	60.42	6.04	419 Wallace Avenue	20	60.42	6.04
c/o Kaufman Straus & Co.	20	60.42	6.04	Covington, Ky.	20	60.42	6.04
Lyman C. Martin	20	60.42	6.04	Farm, Zora Moran	20	60.42	6.04
1284 Bassett Avenue	20	60.42	6.04	Weinsinger-Gaulbert Apts.	20	60.42	6.04
Alexander B. Massey	20	60.42	6.04	John J. Moran	20	60.42	6.04
Danville, Ky.	20	60.42	6.04	712 S. 3rd Street	20	60.42	6.04
Thomas J. Mattingly	20	60.42	6.04	Miss Margaret Moriarty	20	60.42	6.04
Buichel, Ky.	20	60.42	6.04	521 W. St. Catherine	20	60.42	6.04
Mrs. Hannah Maurer	20	60.42	6.04	Andrew H. Morris, Sr.	20	60.42	6.04
2114 Wreckage	20	60.42	6.04	c/o Bacon & Sons	20	60.42	6.04
C. W. May	20	60.42	6.04	Miss Ellen W. Morrison	20	60.42	6.04
8034 S. 1st Street	20	60.42	6.04	c/o Booker & Kinnaird	20	60.42	6.04
J. E. Mayle	20	60.42	6.04	Columbia Bldg.	20	60.42	6.04
3640 Hale Avenue	20	60.42	6.04	Mrs. Mary H. Morris	20	60.42	6.04
	20	60.42	6.04	1523 Hepburn	20	60.42	6.04



# Exhibit 155

Henry D. Ormsby	1000	\$9,397.8	\$,039.78	David C. Morton	250	\$,983.5	\$94.35
c/o First National Bank				c/o United States Trust Co.			
Robert W. Osborn	50	1,510.4	151.04	5th and Main			
First National Bank				E. D. Morton	100	3,020.0	\$92.00
South Louisville Branch				515 W. Main Street			
Charles J. Osborne	20	.9043	90.43	Edward D. Morton	25	.7543	75.53
515 S. 4th Street				515 W. Main Street			
Mrs. Nettie C. Osterman	30	.9043	90.43	Jane L. Morton	20	.9043	90.43
678 S. 41st Street				c/o The United States Trust Co.			
H. E. Ottenheimer	100	1,020.8	\$92.00	Rogers C. B. Morton	50	1,510.4	151.04
c/o Lincoln Bank & Trust Co.				c/o The United States Trust Co.			
J. A. Paradis	250	7,541.9	754.19	Thurston Ballard Morton	70	2,114.5	\$11.45
c/o Brandeis Machinery & Supply Co. 2325 S. Brook Street				c/o The United States Trust Co.			
Annie Mary Parker	50	1,510.4	151.04	Forrest L. Moseley	400	12,083.1	1,308.31
The Cortlandt				117 Hillcrest Avenue			
George H. Parker	200	6,041.5	\$94.15	Marie B. Moses	400	12,083.1	1,308.31
940 Starks Bldg.				1215 Willow Avenue			
J. C. Parker	120	3,624.0	\$92.40	Mrs. Minnie A. Mosher	30	.9043	90.43
Douglas Blvd. and Millvale Ave.				2023 W. Jefferson Street			
Minnie White Parker	80	1,812.5	181.25	Timothy I. Mossbarger	20	.9043	90.43
Douglas Blvd. and Millvale Ave.				2101 W. Burnett			
Miss Edna Parrott	20	.9043	90.43	Miss Orctchen Mueller	120	3,627.0	\$92.70
1263 S. 3rd Street				430 Belgravia Court			
Parrs Rest	200	7,554.0	755.40	Annie B. Muir	100	3,030.0	\$92.00
Louisville, Ky.				Shelbyville, Ky.			
Mrs. Jennie M. Patterson	90	2,718.7	\$71.87	D. X. Murphy	400	14,801.8	1,480.18
1407 S. 1st Street				The Louisville Trust Co. Bldg.			
Walter K. Patterson	1200	36,548.2	3,624.82	D. X. Murphy & Bro.	650	19,635.0	1,963.50
University of Ky. Campus				The Louisville Trust Co. Bldg.			
Lexington, Ky.				Miss Della G. Murphy	25	.7543	75.53
Mrs. Lena Paul	40	1,208.3	120.83	1223 Sile Avenue			
Columbia, Ky.				J. C. Murphy	400	12,083.1	1,308.31
Mrs. Rena W. Paul	800	24,164.3	2,416.43	Louisville Trust Bldg.			
Columbia, Ky.				Mrs. Mary Sue Murphy	20	.9043	90.43
Anna S. Payne	20	.9043	90.43	94 Valley Road			
1324 Cherokee Road				Mrs. Elizabeth J. Myer	800	18,124.0	1,812.40
George L. Peabody	12	.5427	54.27	212 Kennedy Court			
1051 Spring Drive				Fidelity & Columbia Trust Co.	290	8,760.7	\$76.00
James E. Peabody, Jr.	20	.9043	90.43	Guardian of Sarah Sebastian Zora			
1051 Spring Drive				Kentucky Title Trust Co.	170	5,185.3	\$12.50
Dr. Charles S. Peary	25	.7543	75.53	Successor to National Bank of Kentucky, Trustee for			
Starks Bldg.				Ferdia Zora Moran			
Mary E. Pell	40	1,208.3	120.83	Lamar W. Noblett	50	1,510.4	151.04
745 S. 22nd Street				979 Baxter			
W. O. Penick	200	6,041.5	\$94.15	H. H. Noel	50	1,510.8	151.08
Bluff Boom, Green Co., Ky.				281 S. 3rd Street			
Ray T. or Hallie Parnell as	20	.9043	90.43	Nellie Cooke Nelson	80	1,812.5	181.25
Joint Tenants with Right of				215 N. 4th Street			
Survivorship but not as				Danville, Ky.			
Tenants in Common				Squire F. Nelson	20	.9043	90.43
977 S. 3rd Street				Lynch, Ky.			
Arthur Peter	100	3,030.0	\$92.00	Mrs. Cleo Nettairoth	200	6,041.5	\$94.15
715 Inter-Southern Bldg.				2291 Speed Avenue			
Miss Mary A. Pettit and Miss	80	1,812.5	181.25	Christopher L. Neu	10	.3051	\$9.51
Margaret Pettit as Joint				2437 Maple			
Tenants with Right of Survivorship				Herman D. Newcomb	90	2,718.7	\$71.87
1348 S. 4th Street				509 W. Ormsby			
Henry C. Pfingst	100	3,030.0	\$92.00	Laura M. Newell	50	1,510.4	151.04
1274 Cherokee Road				127 E. 3rd, Mayeville, Ky.			
Richard F. Patti	20	.7543	75.54	Wilbur I. Newhouse	100	3,030.0	\$92.00
923 Cherokee Road				c/o National Bank of Kentucky			
John B. Pirtle, incompetent	2000	64,394.0	6,439.40	Miss Martha C. Newman	20	.9043	90.43
Fidelity & Columbia Trust Co.				2100 Bonnycastle			
Committee				Mrs. Martha Frances Newman	200	9,082.2	\$98.22
Miss Juliet Nold Pirtle	50	1,510.4	151.04	2100 Bonnycastle			
430 Belgravia Court				Annie P. Nicholson	50	1,510.4	151.04
Miss Lena Plouvier	20	.9043	90.43	c/o W. H. Field, 408 W. Ormsby			
1438 W. Kentucky				Walter J. Nibbel	100	3,030.0	\$92.00
Gustavere D. Pogue	120	3,624.0	\$92.40	Providence, Ky.			
Columbia Bldg.				G. Douglas Noek	120	3,624.0	\$92.40
Cleo Seifrit Poffitt	80	2,416.0	241.60	c/o The Louisville Trust Co.			
2133 Edgeland Avenue				Alphonse L. Noe	25	.7543	75.53
William C. Potot	20	.9043	90.43	c/o Income Life Insurance Co.			
2514 Radd Avenue				3rd and Main			
Josephine G. Potter	100	3,030.0	\$92.00	Mrs. Hattie Lee Noe	25	.7543	75.51
4700 Southern Parkway				2120 Edgeland Road			
Mrs. Alice Pound	20	.9043	90.43	Mrs. Ella T. Norton	200	9,082.2	\$94.22
Route 15, Jeffersontown, Ky.				1240 E. 3rd Street			
Mrs. Elsie G. Powell	100	3,030.0	\$92.00	Miss Mary Norton	150	4,521.1	\$62.11
1453 St. James Court				1015 S. Brook			
Miss Annie B. Poynter	440	12,301.4	1,230.14	E. J. O'Brien, Jr.	200	24,164.3	2,416.43
Shelbyville, Ky.				515 W. Main Street			
Charles H. Pratt	200	6,041.5	\$94.15	E. J. O'Brien, Sr. (dead)	200	24,164.1	2,416.41
Alta Vista Avenue				Richard Whelan, Edward J.			
Charles B. Price	100	3,030.0	\$92.00	O'Brien, James B. O'Brien,			
c/o Bolksap Hardware Company				Executors			
John W. Price, Jr. and Charles B.	200	11,478.0	1,147.80	Arthur H. Ochs	40	1,208.3	120.83
Price, executor, Estate John W.				244 S. 41st Street			
Price, Brown Bldg.				21 Rev. John A. Floorah, Successor	20	.9043	90.43
Mrs. Mary Belle Price	200	6,041.5	\$94.15	to Rt. Rev. D. O'Donnaghu, and			
Frankfort, Ky.				J. P. Cronan, George W. Schuman			
John W. Pruitt	200	6,041.5	\$94.15	and C. J. O'Connell, Treasurers			
Frankfort, Ky.				under will of J. D. O'Leary,			
Mrs. Juanita Kline Pruitt	200	6,041.5	\$94.15	deceased			
Frankfort, Ky.				Jacob B. Ollingshager	20	.9043	90.43
Mrs. Cora E. Quinn	20	.9043	90.43	64 Board of Trade Bldg.			
211 Hillcrest Avenue				Mrs. Clara Oliver	270	8,184.0	\$25.00
W. Babry Quinn	120	3,627.0	\$92.70	225 E. Brookbridge			
211 Hillcrest				Matthews R. Oliver	90	2,718.7	\$71.87
C. K. Ramp	10	.7520	75.20	225 E. Brookbridge			
620 S. 26th Street							
W. M. Randall	200	6,041.5	\$94.15				
1020 2nd Street							



# Exhibit 155

Mrs. Fannie G. Rankin	70	3.1145	311.45	Arthur M. Rutledge	170	5.1253	512.53
616 Maple Avenue				The Louisville Trust Bldg.			
Henderson, Ky.				Rosalia W. Rutledge	300	3.0623	306.23
Mrs. Rose Marie Rague	35	.7553	75.53	500 W. Ormsby Avenue			
1926 Avery Street				W. Frank Ryan	35	.7553	75.53
Joseph Rasch	60	1.5135	151.35	1820 Riverside Avenue			
2119 Woodford Place				Mrs. Bert E. Sachs	50	1.5104	151.04
Marie Ray	350	10.5727	1,057.27	1444 Eastern Parkway			
2116 Murray Avenue				D. A. Sachs, Jr.	40	1.3083	130.83
Harry P. Reager	30	.8043	80.43	Inter-Southern Bldg.			
Starks Bldg.				Mrs. Esther S. Sachs	50	1.5104	151.04
Mrs. Susan P. Redd	50	1.5104	151.04	514 Inter-Southern Bldg.			
242 N. 52nd Street				Fred M. Sackett	700	21.1454	2,114.54
Danville, Ky.				215 Guthrie Street			
G. Lee Redmon	300	6.0415	604.15	Mrs. Olive S. Sackett	300	34.1641	3,416.41
1004 Audubon Parkway				c/o Senator Fred Sackett			
E. W. Reed	50	1.5104	151.04	215 Guthrie Street			
Columbia, Ky.				St. Andrews Church	30	.3083	30.83
Frances Jones Reed	430	12.3083	1,230.83	Second and Kentucky Streets			
Columbia, Ky.				Mrs. Kate L. Sanders	50	1.5104	151.04
G. E. Reed	30	1.5104	151.04	1629 S. 2nd Street			
Columbia, Ky.				Mrs. Minnie Groves Sanders	50	1.5104	151.04
Mary E. Reed	300	3.0623	306.23	Campbellsville, Ky.			
2320 Douglas Blvd.				Harry Church Sargent	30	.3083	30.83
Mrs. Kate W. Reid	400	12.0821	1,208.21	Frankfort, Ky.			
507 W. Main Street				U. V. Sargent	70	2.1145	211.45
Danville, Ky.				Frankfort, Ky.			
Dr. Alexander M. Reitzel	50	1.0016	100.16	Joseph J. Sase	150	4.5313	453.13
338 N. 26th Street				Columbia Bldg.			
Adolph Reuflinger	450	12.3085	1,230.85	Sumner Barr Satterwhite	30	.3083	30.83
504 W. Jefferson				324 E. Gray Street			
Richard S. Reynolds	330	9.0005	900.05	Mary L. Sayre	250	7.5519	755.19
c/o United States Foli Co.				c/o Security Trust Company			
Miss Annie A. Richardson	410	12.3051	1,230.51	Lexington, Ky.			
1479 S. 4th Street				Rose Dudley Seacoe	50	1.5104	151.04
John Riehl	50	1.5104	151.04	Shelbyville, Ky.			
215 S. 5th Street				Mary W. Schachner	1000	68.3323	6,833.23
William Ritcher	100	2.0306	203.06	844 S. 40th Street			
1100 S. 4th Street				Mrs. Berghelm M. Schaefer	40	1.3083	130.83
D. Yandell Roberts	340	7.3488	734.88	904 S. 20th Street			
Francis Bldg.				Mrs. Margaret Schanzenbacher	100	2.0306	203.06
Ben Robertson	900	27.1580	2,715.80	2151 Baringer Avenue			
915 E. Kentucky				Albert Schoff, Jr.	30	.8043	80.43
Ella B. Robertson	70	2.1145	211.45	102 Crescent Avenue			
2045 Rainbow Drive				John J. Schlicher	40	1.3083	130.83
Eugene B. Robertson	500	15.1038	1,510.38	1115 S. Logan Street			
Lincoln Bank & Trust Co.				Lorana Schlichter	50	1.5135	151.35
Charles P. Robinson	100	2.0306	203.06	1437 Delmar Street			
324 W. Main Street				Mrs. Carrie P. Schlegel	45	1.5083	150.83
Charles F. Rockwood	100	2.0306	203.06	2047 Eastern Parkway			
Brown Hotel				Louise Schmalzried	35	.7553	75.53
Marie L. Rogers	120	2.6349	263.49	c/o Louisville Trust Co.			
Furtan Apts.				Annie E. Schmid	53	1.5708	157.08
Emma Catholic Bishop of	800	24.1623	2,416.23	2000 W. Market Street			
Louisville, Incorporated				Martin L. Schmidt	100	2.0306	203.06
1115 S. 3rd St.				1528 Bank Street			
Mrs. Maria J. Romiser	100	2.0306	203.06	Mrs. Helen C. Schmitt	50	1.5135	151.35
652 S. 20th Street				4587 Western Parkway			
Rommel Brothers	330	9.0005	900.05	C. J. Schneider	50	1.5104	151.04
919 E. Broadway				520 S. 5th Street			
August Ropke	100	2.0306	203.06	Edw. Schneider, Jr.	50	1.5104	151.04
418 S. 2nd Street				744 E. Market Street			
Frank Ropke	50	1.5104	151.04	Samuel J. Schneider	150	4.5313	453.13
205 Custom House				63 Kestron Building			
D. B. G. Rose	50	1.5104	151.04	William Schnell	50	1.5104	151.04
324 S. 1st Street				1124 S. 7th Street			
Ben Rosenbaum	480	14.4897	1,448.97	L. F. Schell	100	2.0306	203.06
300 E. Market Street				Jamestown, Ky.			
Harry Rosenbaum	470	14.1978	1,419.78	Miss Anna Schellman	50	1.5104	151.04
300 E. Market Street				Louisville Hotel			
Isaac Rosenbaum & Sons	30	2.4106	241.06	Mrs. Flora B. Schoppenhorst	125	3.7760	377.60
300 E. Market Street				1204 W. Jefferson Street			
James Rosenbaum	510	15.0080	1,500.80	William J. Schopp	35	.7553	75.53
300 E. Market Street				212 Keller Bldg.			
Leon Rosenbaum	540	16.3121	1,631.21	Joseph J. Schroeder	25	.7553	75.53
300 E. Market Street				3400 Napoleon Blvd.			
Sam Rosenbaum	480	14.4897	1,448.97	Louis Schuster	30	.8043	80.43
300 E. Market Street				106 E. Market Street			
Mrs. Caroline Rosenberger	70	2.1145	211.45	Eugene Schwab	100	2.0306	203.06
4234 W. Broadway				515 Logan Street			
Erma M. Rosenblum	50	2.4106	241.06	Joseph Schwab	300	6.0415	604.15
1411 Willow Avenue				2146 Baringer Avenue			
H. Rosenblum	50	1.5104	151.04	Julius J. Schwab	15	.5427	54.27
1411 Willow Avenue				2116 Maryland Avenue			
Miss Anna C. Roth	35	.7553	75.53	Mrs. Mary Schwarz	100	4.5323	453.23
300 E. Western Parkway				2008 Gramercy Drive			
Emmanuel A. Rothschild	40	1.3083	130.83	Mrs. Fannie G. Schwitzer	50	1.5104	151.04
626 W. Main Street				434 W. Main Street			
Edna G. Rudolph	50	2.4106	241.06	Mrs. Fannie G. Scruggs	340	7.3498	734.98
341 E. Western Parkway				c/o James Garnett			
R. C. Ruff	100	2.0306	203.06	Inter-Southern Bldg.			
1207 S. Shelby Street				Horace H. Sear	30	.8043	80.43
W. H. Ruff	50	1.5104	151.04	21 Cavalier Apartments			
716 Cecil Avenue				Security Trust Company, Trustee	30	.8043	80.43
George W. Russell	50	1.5104	151.04	for Susan S. Townsend under			
41 Lincoln Apartments				agreement dated February 15, 1902,			
Harry W. Russell or Rebecca Russell	320	9.0005	900.05	Lexington, Ky.			
Therman Apartments				Otto Seelbach	300	6.0415	604.15
Mrs. Lillian S. Russell	300	6.0415	604.15	1204 Village Drive			
205 Peterson Avenue				Alfred Selligman	100	4.5323	453.23
Mrs. Ruby Russell	100	2.0306	203.06	Marion E. Taylor Bldg.			
Columbia, Ky.				Bernard Selligman	100	2.0306	203.06
R. Rutenberg	175	5.3843	538.43	Commodore Apts.			
520 Inter-Southern Bldg.							

4

Mrs. Florence M. Selligman	50	1.5104	151.04	Thomas S. Strand	50	1.5104	151.04
Commodore Apita				2300 Village Drive North			
Mrs. Jennie K. Selligman	500	6.0415	604.15	Thos. C. Salvary	40	1.5083	150.83
2130 Edgeland Avenue				947 S. 4th Street			
Joseph Selligman	50	9.0023	900.23	Hiram T. Snyder	100	2.0308	203.08
1415 Willow Avenue				Louisville Pottery Co.			
Semouni Goodman, Incorporated	50	1.5104	151.04	Mrs. Mary F. Snyder	430	12.0373	1,203.73
Marion E. Taylor Bldg.				325 S. Broadway			
Charles E. Sample	400	12.0021	1,200.21	Lexington, Ky.			
200 W. Main Street				Mendel Snyder	50	1.5104	151.04
George C. Sample	470	14.1976	1,419.76	304 Shawnee Drive			
Pendennis Club				Joe Spaulding	50	1.5104	151.04
Lottie D. Sample	230	9.0066	906.56	c/o Louisville Trust Company			
2511 Ranadell Avenue				Peter E. Spaulding	225	6.7978	679.78
Caroline C. Settle	50	1.5104	151.04	535 S. 15th Street			
1416 Willow Avenue				Peter E. Spaulding, Jr.	25	.7502	75.02
John M. Settle	500	15.1008	1,510.08	937 Cardinal Drive			
129 River Road				Henry P. Spatz	20	.9043	90.43
Nannie E. Settle	20	.9043	90.43	200 Haldeman			
Cortland Hotel				William S. Speed	6000	151.3451	15,134.51
Max Shapira & Sons	20	.9043	90.43	315 Guthrie Street			
Springfield, Ky.				William S. Speed, Trustee	270	8.1551	815.51
Cornell D. Shea	115	2.4739	247.39	for Alice Speed Stoll, 315 Guthrie			
225 S. 3rd Street				William S. Speed	500	15.1038	1,510.38
Miss Elizabeth M. Shea	70	2.1145	211.45	Louisville Cement Company			
1219 Edgeland Avenue				Dr. Edward Spindel	40	1.5083	150.83
Jesse W. Shea	115	2.4739	247.39	Francis Bldg.			
935 S. 3rd Street				L. C. Spillman	200	7.8540	785.40
Eva Macklin Shedd	50	2.4104	241.04	2145 Barings Avenue			
312 Washington Street				Samuel Sprecher	20	.9043	90.43
Frankfort, Ky.				515 W. Main Street			
Miss Mary F. Sheehan	20	.9043	90.43	Mrs. Olive Spurling	10	.3021	30.21
Reliance Varnish Company				2091 Tophill Road			
915 E. Kentucky Street				Jennie M. Staudcher	25	.7553	75.53
The Shelby County Trust Company	70	2.1145	211.45	76 Willow Terrace			
Trustee for Bertie M. Cox				Leon Staudcher (dead)	125	2.7700	277.00
Shelbyville, Ky.				Jennie M. Staudcher, Executrix			
Mary H. Sholer	60	1.5125	151.25	76 Willow Terrace			
c/o Otto Zimmerman & Son				Charles B. Staudbury	100	2.0308	203.08
120 E. 3rd Street				c/o Charles D. Barney & Co.			
Newport, Ky.				Starks Bldg.			
Mrs. Pearl O. Sheppard	120	2.6349	263.49	Heben S. Stark	40	1.5083	150.83
3222 Western Parkway				225 S. 5th Street			
Bertha C. Sheridan	25	.7553	75.53	Leone F. Starks	100	2.0308	203.08
229 S. 2nd Street				Starks Bldg.			
Mary C. Short	300	35.9704	3,597.04	Millmont Starks	60	1.5125	151.25
45 Hill Road				2435 Cross Hill Road			
William Short, deceased	700	21.1454	2,114.54	Mrs. Emma O. Starr	20	.9043	90.43
By Thomas L. Butler,				1519 S. 2nd Street			
Administrator de bonis non will				State Bank & Trust Co. of	1500	42.0002	4,200.02
annexed, 45 Castlewood				Harrodsburg, Committee of			
C. R. Shrader	50	1.5104	151.04	James A. Stoss, Harrodsburg, Ky.			
1003 W. Jefferson Street				Lillian Elizabeth Stage	20	.9043	90.43
H. U. Shrader	50	1.5104	151.04	1202 S. 1st Street			
1008 W. Jefferson Street				William A. Stedden	200	6.0415	604.15
Adam T. Siler	250	7.5519	755.19	1606 Everett Avenue			
Williamsburg, Ky.				Joseph C. Stedle	200	6.0415	604.15
H. S. Simon	450	12.5095	1,250.95	c/o Standard Oil Company			
715 W. Main Street				Frank Steter	60	1.5125	151.25
Mrs. Florence C. Simpson	60	1.5125	151.25	625 E. St. Catherine			
1100 W. Broadway				William Steter	20	.9043	90.43
J. H. Simpson	20	2.4100	241.00	728 Barbee Way, South			
914 Brews Bldg.				Mrs. Hannah Steinas	50	1.5104	151.04
Mary F. Simpson	50	1.5104	151.04	1006 2nd Street			
c/o W. H. Field				Joseph C. Stettinophl	200	6.0415	604.15
404 W. Ormsby Avenue				1941 Alfreese Place			
Dr. Virgil Simpson	100	4.5223	452.23	W. L. Stephan	50	1.5104	151.04
1106 Francis Bldg.				904 Wilson Avenue			
John J. Shagga, Jr.	150	4.5213	452.13	Margaret M. Stewart	50	1.5104	151.04
Inter-Southern Bldg.				Elizabethtown, Ky.			
Mrs. Mary Gilmour Skillman	120	2.5240	252.40	Ida Stowell	20	.9043	90.43
1009 Rosewood Avenue				725 N. 3rd Street			
O. T. Skillman	100	2.9300	293.00	Jarrett Stiles	20	.9043	90.43
Cloverport, Ky.				c/o Louisville Trust Co.			
Abel R. Skinner	50	2.4104	241.04	Amelia Stockhoff	100	1.5074	150.74
1154 S. 1st Street				405 E. Market Street			
Francis Skinner	20	.9043	90.43	Lory Ann Stockhoff	200	9.0023	900.23
1154 S. 1st Street				151 Vernon Avenue			
Robert H. Slack	20	.9043	90.43	Mrs. Alice Speed Stoll	100	2.0308	203.08
1004 Everett Avenue				2458 Grinstead Drive			
Thomas C. Small	20	.9043	90.43	Sam H. Soles	1000	30.3077	3,030.77
9433 W. Market Street				710 Columbia Bldg.			
Estate of D. H. Smith	200	7.8540	785.40	Stanley W. Stogher	60	1.5125	151.25
Mary E. Smith, Executrix				Louisville Trust Company			
Hodgesville, Ky.				Wallace L. Stogher	50	1.5104	151.04
Fred W. Smith	50	1.5104	151.04	1012 W. Main Street			
1021 Baxter Avenue				Shelbyville, Ky.			
Mrs. Julia G. Smith	50	1.5104	151.04	Harvey Stout	20	.9043	90.43
167 Forest Avenue				Route No. 1, Buichel, Ky.			
Lexington, Ky.				Morris Stout	50	1.5104	151.04
Mrs. Katie Smith	200	6.0415	604.15	Buichel, Ky.			
265 S. Western Parkway				Warden Stout	20	.9043	90.43
Mrs. Lenore C. Smith	20	.9043	90.43	Route No. 15, Jeffersonstown, Ky.			
1457 Beach Street				Bertram H. Struss	100	2.9308	293.08
R. Harry Smith	110	2.2220	222.20	525 W. Main Street			
Nellie Cook Smith, Executrix				Harry A. Struter	1250	40.7804	4,078.04
121 S. 6th Street				905 S. 3rd Street			
William E. Smith	200	6.0415	604.15	Mrs. Fannie R. Struss	120	1.5070	150.70
Standard Oil Company				6-A Dartmouth Apartments			
Mrs. Emma Sargent Spalters	20	.9043	90.43	Fannie R. Struss, Samuel L. Struss	20	.9043	90.43
Frankfort, Ky.				and Ludwig Frank, Trustees			
Isabella Snyder	20	.9043	90.43	under the will of Ben Struss for			
Route No. 4, Box 709				Samuel L. Struss			
				700 W. Market Street			

# Exhibit 155

Mary G. Street	50	1.5104	151.04	Florence O'Bannon Turner	20	.0043	60.43
Cadiz, Ky.				Brimstone, Ky.			
Fannie Healy Strong	200	6.9473	694.73	Marshall Turner	50	2.4167	341.67
1454 Cherokee Road				1246 S. 1st Street			
James F. Strong	150	4.5313	453.13	Virginia W. Tyler	200	7.554	755.40
Morris Plan Industrial Bank				Owensboro, Ky.			
Heenan Carter Strickler	20	.0043	60.43	William Umstead	20	.0043	60.43
Country Club Road				121 S. 3rd Street			
Lane P. Stucky	50	1.5125	151.25	United States Trust Company	70	2.1145	211.45
416 W. Brookbridge				and David C. Morton, Trustees			
Lane P. Stucky	200	6.0023	604.23	under the will of Mary E. Morton			
beneficiary of estate of				8th and Main Streets			
T. Hunt Stucky				Charles Van Overbeke	50	1.5104	151.04
416 W. Brookbridge				2114 Napoleon Blvd.			
Leslie M. Sutton, Executrix	100	2.0000	202.00	Emile Van Overbeke	50	1.5104	151.04
of will of Frank Sutton, deceased				237 S. Peterson Avenue			
56 Valley Road				Jeanne Van Overbeke	50	1.5104	151.04
George Cary Tabb	150	2.9270	292.70	244 S. Peterson Avenue			
Inter-Southern Bldg.				Benj. Vaughn	100	2.0000	202.00
J. Preston Tabb	20	2.4166	241.66	2002 W. Chestnut			
1200 S. Brook Street				Robert F. Vaughn	100	2.0000	202.00
Jeanette P. Tabb	100	2.0000	202.00	Marion E. Taylor Bldg.			
1254 Willow Avenue				Sanford Vaughn	100	2.0000	202.00
William F. Tafel	50	1.5104	151.04	4240 River Park Drive			
500 E. Market Street				C. Ed Veeneman	10	.5497	54.97
Ann Caywood Talbot	50	2.4166	241.66	c/o S. Sora & Company			
Paris, Ky.				14th and Ormsby Avenue			
Mrs. Elizabeth Knox Tarkington	120	6.0040	602.40	Mrs. Emma Veeneman	20	.7054	70.54
Corner 3rd and Broadway				1210 S. 2nd Street			
Danville, Ky.				Carolyn Verboeff	110	2.3220	232.20
W. H. Tarvin	200	2.0023	204.23	721 S. 2nd Street			
c/o Kentucky Rock Asphalt Co.				Mary Verboeff	110	2.3220	232.20
714 Marion E. Taylor Bldg.				721 S. 2nd Street			
E. C. Taylor	200	0.0023	604.23	Albert L. Vigil	750	23.0050	2,300.50
2224 Santiago Drive				107 Pennsylvania Avenue			
Edmund Watson Taylor	100	4.5323	453.23	J. A. Vigil	540	14.5121	1,451.21
Box 244, Frankfort, Ky.				602 Inter-Southern Bldg.			
E. Leland Taylor	600	12.1246	1,212.46	Catherine E. Vismann	140	4.3291	432.91
1916 Francis Bldg.				Louisville Hotel			
Francis E. Taylor	50	1.5104	151.04	Louis Vismann	100	2.0000	202.00
1916 Francis Bldg.				c/o County Court House			
Harrods A. Taylor	250	7.5519	755.19	Albert R. Vogel	50	1.5104	151.04
Starks Bldg.				1700 Windsor Place			
Miss Mildred Taylor	50	1.5104	151.04	Clarence W. Vogt	200	6.0415	604.15
1916 Francis Bldg.				1200 S. Shelby Street			
Harrods A. Taylor and T. P. Taylor,	250	7.5519	755.19	F. Sherman Vogt	10	.5443	54.43
devisees of T. P. Taylor, deceased				50 Kenyon Bldg.			
Starks Bldg.				Henry Vogt	6000	190.3707	19,037.07
Henry Thomason	1700	52.1055	5,210.55	10th and Ormsby Avenue			
1227 Hephburn Avenue				Harry A. Vols	70	2.1145	211.45
Miss Anne Thomas	1200	55.5001	5,550.01	10th and Ormsby Avenue			
712 Washington St.				Harry A. Vols, Jr.	20	.0043	60.43
Frankfort, Ky.				c/o S. Sora & Company			
Billy H. Thomas (dead)	1210	54.0750	5,407.50	Mrs. Loden Waggoner	40	1.3082	130.82
Fidelity & Columbia Trust Co.,				Danville, Ky.			
Administrator de bonis non with				Loden Waggoner, Jr.	2020	61.0196	6,101.96
the will annexed				Danville, Ky.			
Mrs. Mary B. Thomas	50	1.5125	151.25	Mrs. Anna D. Waking	200	8.4521	845.21
Danville, Ky.				1113 S. Lynch Street			
John C. Thompson, Administrator	110	2.2220	222.20	Edward H. Waking	200	6.0415	604.15
Maunie G. Thompson				715 W. Main Street			
Cadiz, Ky.				Mrs. Elizabeth E. Waking	225	24.2214	2,402.14
Mrs. Minerva Thompson	240	10.2700	1,027.00	1450 Cherokee Road			
Mt. Sterling, Ky.				Mrs. Lillie Waking	220	12.7205	1,272.05
Robert P. Thompson	200	6.0415	604.15	66-A Castletown			
Route No. 1, Box 216				Robert C. Waking	200	6.0415	604.15
Mrs. Sarah C. Thompson	20	.0023	60.23	715 W. Main Street			
1222 S. 1st Street				William Waking	200	0.0023	604.20
William S. Threlkeld	100	2.0000	202.00	1113 S. Brook Street			
Pleasureville, Ky.				Henry P. Wahl	60	1.5125	151.25
C. O. Tice	50	1.5104	151.04	1027 E. Kentucky			
4441 Park Blvd.				Joseph T. Wahl	100	5.7205	572.05
Miss Toke	70	2.1145	211.45	627 E. Oak Street			
715 E. Kentucky Street				Dunlap Wakefield	50	1.5104	151.04
Esmeroth M. Todd	100	2.0000	202.00	412 W. Jefferson St.			
Frankfort, Ky.				Isaac N. Wakefield	20	.0043	60.43
Mrs. Marshall Paul Todd	110	2.2220	222.20	Blountfield, Ky.			
206 Garrard Street				Dr. J. J. Wakefield	60	1.5125	151.25
Covington, Ky.				Max Waldman	25	.7543	75.43
Edward J. Tracy	100	2.0000	202.00	512 Marion E. Taylor Bldg.			
444 E. Oak Street				H. Wallace	50	1.5104	151.04
Mrs. Laura Davies Trammell	400	14.4097	1,440.97	1006 S. 3rd Street			
1500 Windsor Place				J. K. Waller	500	15.1025	1,510.25
Thomas Trammell	4000	150.5000	15,050.00	Morganfield, Ky.			
1500 Windsor Place				Mrs. Minna Waltenberger	100	2.0000	202.00
John H. Treat, Jr.	50	1.5104	151.04	1506 Cherokee Road			
6916 S. 2nd Street				Lawrence Walter	170	5.1253	512.53
H. L. Trimble	20	.0043	60.43	1210 St. Anthony Place			
Hamletville, Ky.				C. L. Walter, Jr.	50	1.5104	151.04
Mrs. Im White Trimble	500	15.1000	1,510.00	Shelbyville, Ky.			
Mt. Sterling, Ky.				Sadie G. Walter during her	190	5.7205	572.05
Robert M. Trimble, Jr.	150	4.5211	452.11	life under the will of her mother,			
1446 Lovering Street				Emma G. Hatcher			
Selden Y. Trimble	50	1.5104	151.04	Shelbyville, Ky.			
Hopkinsville, Ky.				Henry H. Waltring	100	2.0000	202.00
H. E. Truher	150	4.5211	452.11	2020 Highland Avenue			
Louisville Trust Company				Mrs. Blanche C. Ware	20	.0023	60.23
Miss Carrie Truheart	20	.0043	60.43	645 S. 42nd Street			
Weinsinger-Gaultier Apartments				R. F. Warfield	1000	42.3223	4,232.23
Harriet Sample Truman	70	2.1145	211.45	1441 S. 6th Street			
2040 Field Avenue				Clinton G. Warren	100	2.0000	202.00
John W. Tuby	50	1.5104	151.04	1500 Alfreese Place			
1212 McHenry Street							



# Exhibit 155

Edward L. Warren (dead)	730	22.0616	2,305.16	Thomas N. Willis	35	7553	75.53
Fidelity & Columbia Trust Co.				1110 Francis Bldg.			
Executor of will				Amelia Barnes Wilson (dead)	100	2.0208	202.08
Emma Warren	304	9.3435	934.35	Claude R. Barnes, Executor			
1809 Alfreaco Place				1225 S. 4th Street			
Ben F. Washer	500	15.1088	1,510.88	Clarence R. Wilson	100	2.0208	202.08
Marion E. Taylor Bldg.				722 S. 22nd Street			
Morris Wasserman	100	2.0208	202.08	George C. Wilson	200	6.0415	604.15
1400 S. 2nd Street				Hawesville, Ky.			
R. E. Wathen	30	9043	90.43	Henry L. Wilson	50	1.5104	151.04
1297 E. 3rd Street				723 M. E. Taylor Bldg.			
J. W. Watkins	900	24.1645	2,416.45	Mattie A. Wimp for life	60	1.8135	181.35
Spring Drive Apartments				with remainder as under will of			
Mrs. Angie P. Waugh	21	8344	83.44	Jula V. Thomas			
1010 Avery Court				225 E. College			
Mrs. Katherine B. Weakley	35	1.0573	105.73	Mrs. Lilly R. Windell	30	8042	80.42
Bagdad, Ky.				2630 Dundee Road			
George A. Weatherford	50	1.5104	151.04	Mary E. Winston (dead)	30	2.7187	271.87
c/o B. F. Avery & Sons				Kentucky Title Trust Co.			
Mamie L. Weaver	420	12.0873	1,208.73	Executor of will			
411 Weisinger-Gaubert Apts.				Louisville, Ky.			
Carolyn Weber	20	8043	80.43	Clara May Wirth	50	1.5104	151.04
c/o Louisville Trust Company				1955 Cherokee Road			
George E. Wedding	300	6.0415	604.15	Mrs. Emma C. Wirth	480	14.4997	1,449.97
Goshen, Ky.				1955 Cherokee Road			
Elmer H. Wedekind	25	8043	80.43	Louis E. Wirth	150	4.5311	453.11
First National Bank				153 Vernon Avenue			
Mrs. Leoline D. Wedekind	25	7553	75.53	Mrs. Mary Wirth	50	1.5104	151.04
1904 Cherokee Road				153 Vernon Avenue			
Ruth Wedekind	20	8043	80.43	Mrs. Sallie E. Withers	94	2.8999	289.99
1904 Cherokee Road				Cynthiana, Ky.			
Henry Weinhoff	130	3.9370	393.70	Mrs. Frankie L. Witherspoon	550	16.4742	1,647.42
1915 Rodincho Avenue				Lawrenceburg, Ky.			
George W. and Ida K.	150	4.5313	453.13	Laura G. Wittgenstein	30	8043	80.43
Weingartner, John tenants				Box 297, Frankfort, Ky.			
105 S. Western Parkway				Flora Wolbert	20	8043	80.43
Edwin J. Weisman	60	1.8135	181.35	4141 Greenwood Avenue			
1215 Highland Avenue				Dr. Claude T. Wolfe	200	6.0415	604.15
R. Weinstock	750	22.0637	2,206.37	Hayburn Bldg.			
First National Bank				George M. Wolff	50	1.5104	151.04
George A. Weiss	300	6.0415	604.15	500 Marks Bldg.			
1410 Dixie Highway				A. W. Wolpert	40	1.2083	120.83
B. F. Wempe	300	6.0415	604.15	c/o National Bank of Kentucky			
119 N. 3rd Street				Annie B. Wood	200	6.0415	604.15
G. A. Wesch	250	7.5519	755.19	124 S. 5th Street			
215 W. Main				Ellen B. Wood	350	10.5727	1,057.27
Mrs. Anna S. Wesol	50	1.5104	151.04	1288 Barnett Avenue			
525 S. 9th Street				George R. Wood	340	10.2708	1,027.08
Frank S. West	900	24.1623	2,416.23	Anchorage 18, Ky.			
1400 Cherokee Road				Linnie B. Wood	500	15.0101	1,501.01
Wayne Company	100	2.0208	202.08	620 Paritan Apts.			
210 S. 5th				Neannie A. Wood	140	4.2291	422.91
Miss Dora Wheat	90	2.7187	271.87	Danville, Ky.			
2047 Sherwood Avenue				Walter E. Wood	300	9.0623	906.23
Dora Wheat or Mary Wheat or	500	15.1088	1,510.88	Campbellsville, Ky.			
survivor of either				Alex M. Woodruff	50	1.5104	151.04
2047 Sherwood Avenue				604 Columbia Bldg.			
Miss Mary Wheat	90	2.7187	271.87	George E. Woodruff	500	15.1038	1,510.38
2047 Sherwood Avenue				Route No. 7, Shelbyville, Ky.			
Shamone Wheeler	300	9.0623	906.23	Woodson Kratch Monument Co.	300	9.0623	906.23
505 W. Liberty				1000 E. Broadway			
Mrs. Georgia B. Wheeler	50	1.5104	151.04	Isaac T. Woodson	125	3.7750	377.50
1221 S. 4th Street				1900 E. Broadway			
Minnie W. Wheeler	1750	52.0636	5,206.36	Clementine V. Woodward	140	4.2291	422.91
1205 4th Avenue				511 Park Avenue			
W. C. Wheeler	900	27.1609	2,716.09	Bowen E. Wornall	22	9049	90.49
305 W. Liberty				Crestwood Route No. 2			
Richard J. Whelan	100	2.0208	202.08	Charles C. Wren	70	2.1145	211.45
1405 Hayburn				2173 S. 3rd Street			
Clara W. White	140	4.2291	422.91	Wright & Taylor	2500	75.5192	7,551.92
155 E. Maxwell Street				Francis Bldg.			
Lexington, Ky.				John E. Wright	100	2.0208	202.08
Clara W. White, Trustee	120	3.6250	362.50	Francis Bldg.			
155 E. Maxwell Street				Clara H. Wolf	90	2.7187	271.87
Lexington, Ky.				1027 Roger Street			
Clarence White	80	2.4106	241.06	Mrs. Amelia Wunnach	40	1.2083	120.83
213 W. Main				1415 2nd Street			
Mrs. Ella E. White	300	6.0415	604.15	Virginia Quarrier Wyman	35	7553	75.53
1171 S. 4th Street				23 Hartover Court			
Ella H. White	20	2.4106	241.06	Estill Lewis Yager (dead)	120	3.9370	393.70
1943 E. Gray Street				Sam W. Yager, Executor			
Louis H. White	80	1.8135	181.35	No. 2 Sherman Place			
213 W. Main Street				Mrs. Elina S. Young	344	10.2915	1,029.15
Mattie White	140	4.2291	422.91	Bardonia, Ky.			
124 E. Gray Street				Hugh W. Young	90	2.7187	271.87
Thomas E. Whitehead	300	6.0415	604.15	Columbia Bldg.			
Paris, Ky.				Mrs. Irene Tangart Young	100	2.0208	202.08
Mrs. Janet H. Whitcombe	70	2.1145	211.45	1124 Cherokee Road			
Mayflower Apts.				Mildred E. Young	20	8043	80.43
A. G. Whitley	250	10.5727	1,057.27	2516 Talbott Avenue			
219 W. Main Street				Uley E. Young	230	9.0605	906.05
Mabel E. Whitley	200	7.5519	755.19	2516 Talbott Avenue			
c/o Fulton Conway Company				Elmer Earhart	140	4.2291	422.91
H. J. or C. J. Whittier	30	9043	90.43	900 L. & N. Bldg.			
as joint tenants with remainder to				Belle W. Zellmer	990	29.9056	2,990.56
survivor, 417 Marks Bldg.				1477 S. 3rd Street			
John J. Wiesstead	50	1.5104	151.04	A. C. Zellmer	25	7553	75.53
c/o Louisville Trust Co.				1019 Schiller Avenue			
Miss Mary L. Wiest	22	8046	80.46	Mrs. Helen A. Zell	100	2.0208	202.08
605 S. Floyd				1241 S. Brook Street			
Bessie J. Wright	90	1.8135	181.35	Mrs. Marie A. Zell	25	7553	75.53
1125 Payne Street				c/o M. DeBuge			
Laura M. Wiley	120	3.9370	393.70	200 Jefferson Street			
Anchorage, Ky.				Mrs. P. Ann Temple Horn	270	8.1561	815.61
Caroline M. Williams	30	9043	90.43	1129 Cardinal Drive Audubon Park			
219 S. Bayly Avenue				W. T. Stribanich	250	7.5519	755.19
Richard E. Williams	300	6.0415	604.15	c/o National Bank of Kentucky			
Shubert Trust Co. Bldg.							

947896 10,497,9555 \$1,048,705.55

# Exhibit 155

## GROUP No. II

### Stocks Not Traceable Direct To BancoKentucky Company

Name and Address	Shares to be traced per value	In terms of the Company stock of \$100 per share	100% value on same	Name and Address	Shares to be traced per value	In terms of the Company stock of \$100 per share	100% value on same
M. J. Aden	700	\$1,145.4	\$1,145.4	Margaret S. Bowmer	570	\$6,380.7	\$6,380.7
547 Marion E. Taylor Bldg.				c/o J. B. Stillman			
John S. Albers	100	3,030.7	303.07	131 W. Main Street			
130 N. High Avenue				Dorothy C. Boyce	100	3,030.7	303.07
Frances H. Y. Allen	60	1,812.5	181.25	Lexington Rd. east of Junata			
Box 400, Route 1,				Louis Clark Boyce	400	12,092.1	1,209.21
Mocking Bird Valley				Lexington Rd. east of Junata			
Winthrop Allen	30	.0043	.0043	Frank Bray	240	7,290.8	729.08
1126 Bates Court				1573 Stacy Avenue			
Bush W. Allen	50	1,510.4	151.04	Grace Broad	120	3,637.0	363.70
Harrodsburg, Ky.				c/o U. S. Trust Co.			
Wm. B. Allen	300	7,552.0	755.20	Miss Lilla M. Broad	150	4,541.3	454.13
Route 1, Box 400				3051 Sherwood Avenue			
Almstedt Bros.	2453	74,371.4	7,437.14	Paula M. Broad	120	3,637.0	363.70
425 W. Market				1117 S. 1st Street			
Montgomery Morris Alvon	120	3,635	363.50	Winthrop N. Broad	100	3,030.0	303.00
Henderson, Ky.				c/o U. S. Trust Co.			
Clifford L. Anderson	20	.0043	.0043	Bettie Bridges	90	2,718.7	271.87
725 S. Fourth Street				c/o First National Bank			
H. J. Angermeyer	100	3,030.0	303.00	(South Louisville Branch)			
1516 Cherokee Road				Harry Bridges	220	6,668.5	666.85
Willard O. Anderson, dead	100	4,523.3	452.33	726 W. Main Street			
Fidelity & Columbia Trust Co.				Harry King Brooking	100	3,030.0	303.00
Successor to National Bank of				c/o The Louisville Trust Co.			
Kentucky Trustee under his will				Davis Brown	140	4,250.1	425.01
Mrs. Minnie W. Atchison	60	1,812.5	181.25	Lawrenceburg, Ky.			
Puritan Apts.				James B. Brown	500	15,100.0	1,510.00
Rickey J. Baird	100	3,030.0	303.00	Alta Vista Avenue, south of			
Logan and Oak Streets				Lexington Road			
Milton W. Bartholme, dead	500	15,100.0	1,510.00	Miss Mary Browning	30	.0043	.0043
Joseph Selligman (Executor)				943 S. 6th Street			
Marion E. Taylor Bldg.				Sallie W. Bruce	274	8,270.0	827.00
A. H. Barret, dead	4780	144,303.7	14,430.37	Executrix Helen Bruce, deceased			
Fidelity & Columbia Trust Co.				1411 3rd Street			
Executor of his will				Edward O. Budge	120	3,637.0	363.70
Alex. G. Barret, dead	120	3,635	363.50	1156 N. Broadway			
Thos. J. Wood, Executor of his will				Mrs. Annie L. Bullitt, dead	90	2,718.7	271.87
Inter-Southern Bldg.				Fidelity & Columbia Trust			
Elizabeth M. Barret	90	2,718.7	271.87	Company, Executor of her will			
Inter-Southern Bldg.				Robert B. Bullock	120	3,635	363.50
Abe Bass	200	7,551.9	755.19	200 N. Broadway, Lexington, Ky.			
1506 Cherokee Road				Mrs. Nellie Burns	100	3,030.0	303.00
Mrs. Henrietta W. Barton	750	23,005.0	2,300.50	4 Charmant Apts.			
1201 S. 2nd Street				2nd and Kentucky			
Elmer N. Bayless	90	2,718.7	271.87	Cynthia S. Burns	90	2,718.7	271.87
Anchorage, Ky.				Richmond, Ky.			
Richard Bass	6072	\$10,007.9	\$1,000.79	Everett B. Butler	100	3,030.0	303.00
5571 Cherokee Road				254 Francis Bldg.			
Mrs. Eleanor Beard	550	16,514.3	1,651.43	Mary L. Byrne	20	.0005	.0005
Harrodsburg, Ky.				Russellville, Ky.			
James W. Beattie	20	.0043	.0043	O. O. Byron	450	13,590.5	1,359.05
1927 S. 2nd Street				1573 Cherokee Road			
Mrs. Ethel Beeson	30	.0043	.0043	R. L. Callahan	100	3,030.0	303.00
120 E. Gray Street				612 S. 12th St.			
Juliet B. Belknap	1110	30,530.5	3,053.05	Lee A. Carpenter	123	3,695.4	369.54
419 W. Jefferson Street				404 W. Main, Lebanon, Ky.			
c/o Moldrum & Moldrum				A. J. Carroll	100	4,520.0	452.00
Wm. B. Belknap, A. D. Allen	170	5,155.3	515.53	Inter-Southern Bldg.			
and J. H. Seales, Trustees for				Children's Free Hospital	140	4,250.1	425.01
Mary B. Gray				226 E. Chestnut			
c/o Moldrum & Moldrum				J. Matt Clifton	1000	40,322.8	4,032.28
419 W. Jefferson Street				Inter-Southern Bldg.			
Wm. B. Belknap, A. D. Allen	200	6,045.7	604.57	Ada T. Clark	2200	66,680.0	6,668.00
and J. H. Seales, Trustees for				South side Lexington Road, east			
Allice B. Hawhee				of Junata			
c/o Moldrum & Moldrum				George M. Clark	6000	180,023.7	18,002.37
419 W. Jefferson Street				South side Lexington Road, east			
Arthur B. Benschinger	200	7,551.9	755.19	of Junata			
Marion E. Taylor Bldg.				Robt. R. Cleland	120	3,635	363.50
Florence M. Black, devisee	150	4,521.1	452.11	Frankfort, Ky.			
of Wm. Black, deceased				Maurice S. Cobb	100	3,030.0	303.00
1213 Cherokee Road				Berkley Hotel			
Mary B. Blain and Louis C. H.	60	1,812.5	181.25	Louis W. Cole	1475	44,550.3	4,455.03
Blain, devisees of Randolph H.				437 W. Jefferson Street			
Blain, deceased				John P. Coleman	50	1,510.4	151.04
Weininger-Gaulbert Apts.				1533 Rowwood Avenue			
Oscar E. Bloch	100	3,030.0	303.00	Jacob D. Coleman	50	1,510.4	151.04
c/o Weininger-Gaulbert				1173 Starke Bldg.			
Blyth & Co.	724	21,870.4	2,187.04	John V. Collis	500	17,530.4	1,753.04
Inter-Southern Bldg.				Inter-Southern Bldg.			
C. J. Bodamer	20	.0043	.0043	Mrs. Louise Conrad and Miss Richs	20	.0043	.0043
1700 Tyler Parkway				Hartman or Survivor of either			
Charles H. Bohmer	100	3,030.0	303.00	1526 Stacy Avenue			
c/o Security Bank				Mrs. Nina Stiles Cook	40	1,208.5	120.85
Mrs. Neely H. Booker	220	6,668.5	666.85	1344 S. 6th Street			
Franklin, Ky.				S. W. Coons	100	3,030.0	303.00
David Bordorf	200	6,041.5	604.15	c/o Standard Oil Co.			
505 3rd Street				Denver B. Cornat	250	7,551.9	755.19
Bertha S. Bottom	30	.0043	.0043	1118 Starke Bldg.			
Lebanon, Ky.				Anna G. Cowan	600	18,134.6	1,813.46
				c/o U. S. Trust Co.			

# Exhibit 159

Millard F. Cox, exec.	60	1.8125	181.25	Fidelity & Columbia Trust Company	1200	30.8743	3,087.43
Bessie Pollard Cox, Executrix of his will				Trustee Helen J. Ewald			
3106 Confederate Place				Fidelity & Columbia Trust Company	1100	23.3385	2,333.85
Horace C. Dale	50	1.5104	151.04	Agent L. Philip Ewald			
Deposit Bank, Eminence, Ky.				Fidelity & Columbia Trust Company	500	15.1033	1,510.33
W. F. Dandridge	640	19.3229	1,932.29	Trustee Philip Louis Ewald			
Frankfort, Ky.				Fidelity & Columbia Trust Company	1200	30.8743	3,087.43
Frank L. Dant, Guardian of	50	1.5104	151.04	Trustee Sterling D. Ewald			
William Wallace Cardwell				Fidelity & Columbia Trust Company	50	2.4186	241.86
Lebanon, Ky.				Trustee Ida B. Flesner			
Force Dennis	70	1.5104	151.04	Fidelity & Columbia Trust Company	10	.3021	30.21
1085 Starks Bldg.				Agent Hannah B. Forman			
Leoni B. Dennis	100	2.0308	203.08	Fidelity & Columbia Trust Company	90	2.7187	271.87
1085 Starks Bldg.				Trustee Mrs. Alta Fulton			
Allen P. Dodd	100	2.0308	203.08	Fidelity & Columbia Trust Company	650	19.6350	1,963.50
8 Eastover Park				Agent Beanie G. Glover			
Charles H. Doll	110	2.2223	222.23	Fidelity & Columbia Trust Company	650	19.6350	1,963.50
134 W. Market Street				Agent H. Alice Hays			
R. A. Duerson	100	2.0308	203.08	Fidelity & Columbia Trust Company	20	.6043	60.43
c/o The Louisville Trust Co.				Trustee E. Hesse Estate			
Harry Dumesail	200	6.0415	604.15	Fidelity & Columbia Trust Company	30	.9023	90.23
729 W. Main Street				Guardian Anne Marie Hite			
Jon. P. Dumesail	100	2.0308	203.08	Fidelity & Columbia Trust Company	10	.3030	30.30
729 W. Main Street				Trustee John C. Hise			
Stuart E. Duncan	100	2.0308	203.08	Fidelity & Columbia Trust Company	250	10.5737	1,057.37
Mocking Bird Valley				Trustee Annie T. Liggett			
Wm. G. Duncan	100	2.0308	203.08	Fidelity & Columbia Trust Company	90	2.4186	241.86
Greenville, Ky.				Trustee Isabella T. Lloyd			
c/o Andrew Wallace Duncan				Fidelity & Columbia Trust Company	300	9.0623	906.23
John L. Dunlap & Co.	450	12.5935	1,259.35	Agent Ellen M. Milton			
225 S. 5th Street				Fidelity & Columbia Trust Company	100	2.0308	203.08
Bonner S. Dunkerson, Guardian for Patricia S. Dunkerson	50	1.5104	151.04	Trustee Lily B. Moorman			
504 Mayflower Apts.				Fidelity & Columbia Trust Company	90	2.4186	241.86
Joseph H. Durham	100	2.0308	203.08	Trustee Morris G. Munn			
2325 Cherokee Parkway				Fidelity & Columbia Trust Company	60	1.5125	151.25
Thomas H. Elliott	100	2.0308	203.08	Trustee Anne Mary Parker			
LaFayette, Ky.				Fidelity & Columbia Trust Company	270	8.1560	815.60
Hugh H. Ellis	55	1.9814	198.14	Trustee under will of Corintha G. Platt			
Buechel, Ky.				Fidelity & Columbia Trust Company	30	.9023	90.23
Merritt L. Emrich	150	4.5313	453.13	Trustee Louise E. Ehem			
230 Weinsinger-Gaulbert				Fidelity & Columbia Trust Company	40	1.3023	130.23
Henry V. Eubett	120	2.9389	293.89	Trustee Alice N. Richardson			
3095 Douglas Blvd.				Fidelity & Columbia Trust Company	390	11.4739	1,147.39
George R. Ewald	100	2.0308	203.08	Trustee Kate J. Potter			
Marion E. Taylor Bldg.				Fidelity & Columbia Trust Company	510	15.4063	1,540.63
C. O. Ewing, 2nd	100	2.0308	203.08	Executor Kate J. Potter			
981 S. 3rd Street				Fidelity & Columbia Trust Company	250	7.5519	755.19
Wm. E. Faltie	25	.7523	75.23	Trustee Florence D. Seaman under will of Fannie M. DePauw			
Francis Bldg.				Fidelity & Columbia Trust Company	100	2.0308	203.08
Mrs. Anna May Peaslee Farnsley	900	28.9994	2,899.94	Trustee under will of Lillian M. Sample			
c/o B. Farnsley				Fidelity & Columbia Trust Company	60	1.5125	151.25
Louisville Trust Bldg.				Trustee Ellen P. Sheltman			
Fidelity & Columbia Trust Company	120	2.0350	203.50	Fidelity & Columbia Trust Company	30	.9023	90.23
Trustee Ida Shallcross Andresson				Agent Ellen Parker Sheltman			
Fidelity & Columbia Trust Company	500	15.1033	1,510.33	Fidelity & Columbia Trust Company	30	.9023	90.23
Trustee Theo. L. Barret				Trustee Annie C. Stewart			
Fidelity & Columbia Trust Company	30	.9023	90.23	Fidelity & Columbia Trust Company	250	10.5737	1,057.37
Trustee Mary S. Beasley under will of J. Sidney Hewett				Trustee W. Russell Taggart			
Fidelity & Columbia Trust Company	1000	30.3077	3,030.77	Fidelity & Columbia Trust Company	100	2.0308	203.08
Trustee Mary S. Beasley under will of R. C. Hewett				Trustee W. B. Thomas			
Fidelity & Columbia Trust Company	110	2.2223	222.23	Fidelity & Columbia Trust Company	1500	45.3115	4,531.15
Trustee Martha P. Blacker and Belle B. Thomson				Agent Mrs. Anne G. Thompson			
Fidelity & Columbia Trust Company	290	2.7093	270.93	Fidelity & Columbia Trust Company	340	10.3706	1,037.06
Trustee David G. Buckee				Trustee Mary J. Verboeff under will of H. Verboeff, deceased			
Fidelity & Columbia Trust Company	600	15.1346	1,513.46	Fidelity & Columbia Trust Company	100	2.0308	203.08
Trustee Virgie Barret Bridges				Trustee J. J. Wakefield			
Fidelity & Columbia Trust Company	50	1.5104	151.04	Fidelity & Columbia Trust Company	50	1.5104	151.04
Trustee Pearl R. Burford				Executor Ella M. Warren			
Fidelity & Columbia Trust Company	100	2.0308	203.08	Fidelity & Columbia Trust Company	130	5.7394	573.94
Trustee Pearl R. Burford under will of H. M. Burford				and Edward L. Warren Executors and Trustees under will of Ella M. Warren			
Fidelity & Columbia Trust Company	50	1.5104	151.04	Fidelity & Columbia Trust Company	40	1.3023	130.23
Trustee Ellen M. Bush				Trustee for Mrs. Kate Ray Wilson			
Fidelity & Columbia Trust Company	250	10.5737	1,057.37	Fidelity & Columbia Trust Company	20	.6043	60.43
Trustee Mrs. Lily T. Butler				Trustee Elise D. Winter			
Fidelity & Columbia Trust Company	100	2.0308	203.08	Fidelity & Columbia Trust Company	120	2.6250	262.50
Trustee Mary P. Clancy				Committee Sidney Wolfe			
Fidelity & Columbia Trust Company	300	9.0623	906.23	Fidelity & Columbia Trust Company	70	2.1145	211.45
Trustee Eliza P. R. Coleman				Executor Elizabeth C. Wood Estate			
Fidelity & Columbia Trust Company	300	9.0623	906.23	Fidelity & Columbia Trust Company	30	.9023	90.23
Agent Henrietta T. Compton				Trustee Elizabeth C. Wood Estate			
Fidelity & Columbia Trust Company	250	10.5737	1,057.37	Fidelity & Columbia Trust Company	100	2.0308	203.08
Agent Carrie Cooper				Trustee Ethel R. Wood			
Fidelity & Columbia Trust Company	100	2.0308	203.08	Fidelity & Columbia Trust Company	120	2.6250	262.50
Trustee under will of Howard Cornelia				Trustee Ethel R. Wood			
Fidelity & Columbia Trust Company	1000	30.3077	3,030.77	Fidelity & Columbia Trust Company	400	12.0821	1,208.21
Trustee under will of Andrew Cowan				Trustee Jacob Einsmeister and Carl F. Einsmeister			
Fidelity & Columbia Trust Company	20	.6043	60.43	Fidelity & Columbia Trust Company	400	12.0821	1,208.21
Trustee Ida F. Curry				Trustee Jacob Einsmeister and Lee G. Einsmeister			
Fidelity & Columbia Trust Company	60	1.5125	151.25	Mary W. Penley	90	2.4186	241.86
Trustee Alma B. Davis				1455 S. 3rd Street			
Fidelity & Columbia Trust Company	40	1.3023	130.23	Mrs. Charlotte P. Finer	50	1.5104	151.04
Trustee under agreement Emma C. Dick				1274 Cherokee Road			
Fidelity & Columbia Trust Company	20	.6043	60.43	Mrs. Elizabeth T. Forgy	60	1.5125	151.25
Trustee Margaret Russell Dillon				Milton, Ky.			
Fidelity & Columbia Trust Company	190	5.7895	578.95				
Trustee Elizabeth B. Dowling							



# Exhibit 153

Ludwig Frank	100	2.0200	202.00
700 W. Market Street			
A. R. Fursch	440	12.2014	1,220.14
c/o The Louisville Trust Co.			
James Garnett	100	2.0200	202.00
Inter-Southern Bldg.			
Mrs. Myra E. Gaylord	40	1.2022	120.22
1222 Rutherford			
Anthony Gert	35	1.0572	105.72
125 E. 25th Street			
Mrs. Anna R. Glasbrook	1450	42.8012	4,280.12
1234 E. Third Street			
Emma P. Graham	900	25.2004	2,520.04
c/o Nat'l Branch Bank of Ky.			
Frankfort, Ky.			
Anne Wood Grant	40	1.2022	120.22
1425 Castlewood			
Owley Grant	110	2.2227	222.27
Hayburn Bldg.			
Louise R. Graves	80	2.4104	241.04
2500 Longest Avenue			
Angerous Gray	100	2.0200	202.00
c/o Louisville Trust Co.			
D. W. Gray, dead	600	12.2021	1,200.21
Horriane Horton Gray, executrix			
c/o Lawrence S. Poston			
Inter-Southern Bldg.			
Henry O. Gray	7000	211.4526	21,145.26
Anchorage, Ky.			
Lavelle R. Green	100	4.2222	422.22
1270 E. Brook Street			
S. J. Greenstein	40	1.2022	120.22
402 W. Main Street			
Henry J. Grove	90	1.2122	121.22
400 E. Floyd Street			
Hubey Grinstead	100	2.0200	202.00
207 Keller Bldg.			
Shirley Greenman	30	.9022	90.22
122 E. 6th Street			
Laura L. Gresham	80	2.4104	241.04
Anchorage, Ky.			
Bruce Halderman	250	7.5519	755.19
Glenview, Ky.			
Mrs. F. T. Hale	30	2.4100	241.00
1200 Willow Avenue			
Mamie E. Hamilton	110	2.2022	220.22
1251 Bardonia Road			
Henrietta D. Harvey	140	4.2201	422.01
1217 Garvin Place			
Annie L. and Mamie Hay	150	4.5212	452.12
Greenville, Ky.			
James J. Hayes	100	2.0200	202.00
1515 Edgewood Place			
E. W. Hays & Co.	2711	112.1007	11,210.07
420 W. Market Street			
Virgil A. Beck	25	.7522	75.22
112 Duval Road, Lexington, Ky.			
Paul Hefflin	50	1.5104	151.04
Flomingsburg, Ky.			
T. K. Hehn	100	2.0200	202.00
Inter-Southern Bldg.			
J. Buford Hendrick	1250	27.7504	2,775.04
Frankfort, Ky.			
Hanning Chambers & Co.	4341	121.1210	12,112.10
421 W. Jefferson Street			
Mrs. M. Estelle Herr	50	1.5104	151.04
420 N. Broadway, Lexington, Ky.			
Dr. Henry C. Herrmann	75	2.2022	220.22
1100 Francis Bldg.			
Mrs. Jean Heister	175	5.2022	520.22
1275 E. 3rd Street			
Julia B. Heyburn	1740	52.5014	5,250.14
400 W. Ormsby Avenue			
William Heyburn, Trustee under	900	24.1022	2,410.22
5th clause of will of Henry W.			
Barret, deceased, Heyburn Bldg.			
R. Baylor Hickman	2070	110.2245	11,022.45
221 W. Main Street			
C. C. Hieatt	100	2.0200	202.00
221 E. 5th Street			
C. C. Hieatt	200	5.0415	504.15
221 E. 5th Street			
Mrs. Mary Hilleman	440	12.2014	1,220.14
Route 4, Lexington, Ky.			
Miss Alice L. Hillard	50	1.5104	151.04
c/o J. J. B. Hillard & Son			
419 W. Jefferson Street			
J. J. B. Hillard & Son	4402	124.2170	12,421.70
419 W. Jefferson Street			
Dennis J. Hines	1000	20.2077	2,020.77
1401 Marion Avenue			
Sophy R. Hirsch	100	4.0222	402.22
1425 Willow Avenue			
Thatcher Hoerts	150	4.5212	452.12
1040 Eastern Parkway			
Robert Hart Hogg	25	.7522	75.22
Brown Building			
Phil Holtenback	210	5.2420	524.20
2222 W. Broadway			
T. Haines Hudson and his successor	50	1.2022	120.22
Trustee for Mattie Hudson under			
will of J. Felix Hudson, deceased			
240 E. Lexington St., Danville Ky.			

George R. Hunt	50	1.5104	151.04
Lexington, Ky.			
Miss Winifred Hutchings	200	5.0415	504.15
2415 Longest Avenue			
Inter-Southern Life Ins. Co.	4120	124.7077	12,470.77
Inter-Southern Bldg.			
Mrs. W. M. Irvine	120	4.0222	402.22
c/o Citizens National Bank			
Richmond, Ky.			
J. E. Jansen	20	.2022	20.22
222 E. 4th			
Henry M. Johnson	50	1.5104	151.04
Martin Brown Bldg.			
Joseph E. Johnson	110	2.2222	222.22
723 Starke Bldg.			
Charles F. Jones	1000	22.2022	2,220.22
Kentucky Hotel			
Alice L. Jones	20	.2022	20.22
119 W. Ormsby			
Samuel F. Jones, Jr.	100	2.0200	202.00
Jones Dalmay Co.			
Alfred S. Joseph	100	1.0200	102.00
Brenton Bldg.			
Alfred S. Joseph	100	1.0200	102.00
Brenton Bldg.			
Sara Louise Kaufman	50	1.5104	151.04
21 Willow Terrace			
Mrs. Rosa Keller	100	2.0200	202.00
1027 Cool Avenue			
James Kennedy, Administrator for	200	2.0222	202.22
Paul Thompson, Mt. Sterling, Ky.			
Kentucky Title Savings Bank &	200	5.0415	504.15
Trust Co., Trustee under will			
William R. Kay, 5th and Court Place			
Mrs. Emma Kemler	20	2.4100	241.00
2723 W. Main Street			
Orvald J. Kirchner	50	1.5104	151.04
225 5th Avenue			
John L. Klappholz	100	1.0200	102.00
1222 Rosewood Avenue			
Walter I. Kohn	100	1.0200	102.00
Commercial Bldg.			
Wm. H. Koppelman, dead	55	1.0214	102.14
Liberty Bank & Trust Co.			
Administrator de bonis non with			
will annexed			
Paul Kratz, dead	20	.2022	20.22
Kentucky Title Trust Co.			
Trustee under will			
Mrs. Mary Krumer, dead	220	2.0200	202.00
Liberty Bank & Trust Co.			
Executor of her will			
John J. Kuns	50	1.5104	151.04
City Hall Annex			
Brainerd Loman, dead	5300	61.2120	6,121.20
Liberty Bank & Trust Co.			
Administrator de bonis non with			
will annexed			
J. Lewis Lottoria, dead	1040	2.4000	2,400.00
The Louisville Trust Co.			
Trustee under his will			
Bel Levy	200	5.0415	504.15
200 E. Jefferson Street			
Mrs. J. Lewis Lottoria	100	2.0200	202.00
1144 Dove Road, Audubon Park			
Marion W. Lewis, Jr.	20	.2022	20.22
1607 W. Jefferson Street			
Isabelle Lindsey	50	1.5104	151.04
401 Wapping St., Frankfort, Ky.			
J. W. Lindsey	270	11.1700	1,117.00
514 W. Oak Street			
Thomas H. Lindsey	270	11.1700	1,117.00
514 W. Oak Street			
Dulaney Legar	20	.2022	20.22
c/o The Mongol Co.			
Mrs. Bessie Long	50	1.5104	151.04
1421 E. 4th Street			
Louisville Trust Co., Trustee	50	1.5104	151.04
City			
The Louisville Trust Co.	40	1.2022	120.22
Guardian of D. W. and S. E. Baird			
Kentucky Title Trust Co., successor	200	27.1200	2,712.00
to the Louisville Trust Co., trustee			
Caroline C. Hutchings			
The Louisville Trust Co., Executor	50	1.5122	151.22
Joseph W. Irvin			
Fidelity & Columbia Trust Co.	1000	42.2007	4,220.07
successor to the Louisville Trust			
Co., Trustee under will of J. B. Wilder			
W. L. Lyons & Co.	5254	175.2042	17,520.42
City			
Mrs. Elise B. McDowell	100	2.0200	202.00
425 W. Ormsby			
C. U. McElroy, Est.	200	5.0415	504.15
Bowling Green, Ky.			
John S. McElroy	100	5.4272	542.72
First National Bank			
Springfield, Ky.			
John B. McFarren, Jr.	100	2.0200	202.00
1222 E. 4th Street			
Watson R. McFarren	40	1.2022	120.22
1021 Spring Drive			
Mrs. Rosa H. McLannan	220	25.0724	2,507.24
1500 S. 3rd Street			

# Exhibit 155

A. B. Madison	150	4.5211	683.11	W. M. Reed	300	9.0023	904.33
1187 Dixie Highway				215 Central Avenue			
Miss Margaret L. Mahoney	50	1.5104	151.04	Fred A. Reed, heir of	200	8.7003	676.03
56 Weinsinger-Gaultbert				Anna Amelia Reed, deceased,			
Charles N. Manning	300	7.3540	735.40	City Hall			
Lexington, Ky.				Laura D. Richardson	40	1.3023	130.23
R. Marshall	90	1.3125	131.25	1447 Beech Street			
Port Nelson Hotel				Harry Rietze, Sr.	34	7.350	72.50
Burpee B. Mason	100	3.0006	300.06	306 W. Chestnut Street			
215 S. Petersen				William O. Robertson	190	5.7395	573.95
Wm. K. Maize	500	15.1028	1,510.28	2534 Vermont			
211 Security Trust Bldg.				A. H. Robinson (dead)	230	9.0005	900.05
Lexington, Ky.				Kentucky Title Trust Co.			
Harry L. Means	30	3.0023	30.23	Successor to the Louisville			
Starks Bldg.				Trust Co., Executor			
C. C. Mongel	100	2.0006	200.06	Joseph Rosenbloom	340	10.3706	1,037.06
1826 S. 3rd Street				1575 Cherokee Road			
C. H. Morrison	300	7.3519	735.19	Robert L. Ross	50	1.5104	151.04
235 W. Market Street				Mayfield, Ky.			
Brunson L. Motter	30	3.0043	30.43	Miss Delphine Nothchild	50	1.5104	151.04
1202 Inter-Southern				1826 Eastern Parkway			
Harold W. Miller	100	3.0006	300.06	Piera Nothchild	33	3.007	30.07
1523 and Oldham				Louisville Trust Co.			
James F. Miller	20	7.553	75.53	Arthur M. Rutledge	100	3.0006	300.06
904 Inter-Southern Bldg.				The Louisville Trust Bldg.			
Shashford Miller, Jr.	100	3.0006	300.06	Mrs. Jennie S. Salomon	50	1.5104	151.04
1517 Inter-Southern Bldg.				1523 Avery Court			
Will B. Miller	1110	23.3234	2,332.34	Margaret L. Seelman	30	3.0043	30.43
12th and Oldham Streets				2515 Woodland			
T. J. Minary	100	3.0006	300.06	Elna C. Schmidt	413	12.4456	1,244.56
215 W. Jefferson				1021 Baxter Avenue			
Miss Ruth M. Mitchell	30	3.0043	30.43	Mrs. Gilbert Schneider	50	1.5104	151.04
425 W. Jefferson				1222 S. 25th St.			
Robert Montgomery	100	3.0006	300.06	Gilbert Schneider	300	6.0415	604.15
211 W. Chestnut Street				1223 S. 25th St.			
Lily B. Moorman	230	5.0457	504.57	Thea Schuthals	100	2.0307	203.07
1016 Inter-Southern Bldg.				513 W. Chestnut			
Margaret Morarty	10	3.0021	30.21	Edwin F. Schwab	100	3.0006	300.06
525 W. St. Catherine St.				515 Logan Street			
William T. Morarty	500	15.1010	1,510.10	Stephen H. Shallerom	23	3.0043	30.43
415 Inter-Southern Bldg.				Prospect, Ky.			
J. Morton Morris, Executor	20	3.0006	30.06	Harry T. Shanks	100	2.0307	203.07
Francis C. Morris				L. & Nashville R. R. Co.			
Inter-Southern Bldg.				Ninth and Broadway			
William E. Morrow	50	1.5104	151.04	D. G. Shannon	20	3.0043	30.43
421 W. Market Street				726 S. 3rd Street			
Harry E. Moorman	100	3.0006	300.06	James W. Shen, Administrator	115	3.4720	347.20
5th and Walnut Streets				Estate of Thomas Shen, deceased,			
Mrs. Lela M. Mueller, Trustee	120	3.0070	300.70	505 S. 3rd Street			
under will Hans Mueller, deceased				Henry S. Simon	100	3.0006	300.06
of Willow Terrace				715 W. Main Street			
Wm. G. Munn	50	2.4100	241.00	Carrye E. Sims	30	1.3023	130.23
400 Columbia Bldg.				1701 Harvard Drive			
T. M. Murphy	250	7.5519	755.19	Mrs. Amy A. Smith	30	3.0023	30.23
St. Matthews Farm				2015 Baringer			
Taylorville Road				G. Ben Smith	300	6.0415	604.15
Gertrude Dudley Munson	400	12.0021	1,200.21	Hodgenville, Ky.			
1025 Cherokee Road				Mildred Lee Smith	50	1.5104	151.04
Kentucky Title Trust Co.	400	12.0050	1,200.50	2534 Glenmary			
Successor Trustee for Dr. Louis				Sadec Kempshall Smith	300	6.0415	604.15
Frank				Indian Hills, St. Matthews, Ky.			
Mrs. Jennie S. Norrie	410	12.0061	1,200.61	Margaret G. Speed	540	35.3745	3,537.45
1261 S. Fourth				56 Weinsinger-Gaultbert			
Charles A. Nugent	50	1.5104	151.04	William E. Speed	100	3.0006	300.06
1600 Everett				215 Guthrie Street			
Lee Ochsenschlager	100	4.2322	423.22	William L. Spalden	300	6.0415	604.15
National Bank of Kentucky				321 Martin Brown Bldg.			
R. J. O'Brien, Jr.	300	3.0006	300.06	Julius L. Spielberger	50	1.5104	151.04
515 W. Main Street				511 S. 5th Street			
Squire R. Ogden	100	3.0006	300.06	Millmont Starks, Guardian of			
514 Martin E. Taylor Bldg.				R. M. Starks, Jr., Josephine			
Henry D. Ormsby	100	3.0006	300.06	Starks and Elizabeth Starks,			
First National Bank				2426 Cross Hill Road			
Jennie Belle Otter	50	1.5125	151.25	Stark Bros. & Boyce	200	34.2543	3,425.43
2100 Roneycastle				427 W. Jefferson St.			
George H. Parker	150	4.5211	452.11	Fred E. Stewart	33	3.0006	30.06
511 Mayflower Apts.				2023 Winston Avenue			
Edgar M. Parsons	30	3.0043	30.43	John Stites	100	3.0006	300.06
Louisville Trust Co.				The Louisville Trust Co.			
W. A. Perry	100	3.0006	300.06	Lewis E. Strong	100	3.0007	300.07
213 S. 5th Street				211 W. Chestnut Street			
Robert L. Phillips	70	2.1145	211.45	George Cary Tabb	50	1.5104	151.04
420 Jackson Street				1265 Willow Avenue			
Campbellville, Ky.				Louis Ochs and Nannie Burger	1020	30.2115	3,021.15
John E. Pirtle, Incapacitated	100	3.0006	300.06	Devises of Mattie L.			
Fidelity & Columbia Trust Co.,				Tuchan Estate (deceased)			
Committee				Brown Hotel			
Philip S. Pogue, Sr. (dead)	500	15.1028	1,510.28	Harry G. Talamini	30	3.0023	30.23
Mrs. Genevieve D. Pogue,				215 Weinsinger-Gaultbert			
Executor, 2534 Ransdell Avenue				Fidelity & Columbia Trust Company	40	1.2023	120.23
Miss Mabel Hardy Pollitt	300	7.3495	734.95	Guardian of Emma Taylor and			
s/o U. of Ky. Campus				Mary Jane Taylor, Devises of			
Walton K. Patterson				Charles F. Taylor (dead)			
Lexington, Ky.				Mrs. R. A. Taylor	100	3.0006	300.06
W. Logan Porter	300	3.0006	300.06	1916 Francis Bldg.			
Cincinnati, Ky.				E. Leland Taylor	120	4.0729	407.29
H. H. Pouch	300	3.0023	300.23	1916 Francis Bldg.			
505 S. 2nd St.				Edith S. Taylor	500	15.0000	1,500.00
A. P. Ranner	400	15.0720	1,507.20	1916 Francis Bldg.			
2000 Southmead Blvd.				J. C. Taylor (dead)	150	4.5211	452.11
George Ranner	30	3.0043	30.43	T. Guthrie Taylor, Administrator			
120 S. Brookbridge				500 S. 5th Street			
Miss Frances O. Reed	100	3.0006	300.06				
Columbia, Ky.							



# Exhibit 155

J. Swigert Taylor (dead)	350	10.5737	1,057.37	William Wagner	547	16.5336	9,053.96
C. W. Hay, Executor				c/o Marion E. Taylor Bldg.			
Frankfort, Ky.				Wakefield & Co.	29451	889.6467	26,964.67
Major Taylor	160	4.5333	453.33	Fifth and Main Streets			
1901 Bardstown Road				Cary I. Warren	40	1.3085	130.85
Caroline F. Tavis	80	2.4166	241.66	1241 Bardstown Road			
1217 Garvin Place				Freeman S. Webb	35	7.553	75.53
A. V. Thompson (dead)	240	7.3498	734.98	Olive Hill, Ky.			
Ben J. Johnson, Administrator				Mrs. Belle M. Well	270	8.1561	815.61
de bonis non with will annexed,				1636 Jefferson Street			
Louisville Trust Bldg.				Paducah, Ky.			
Alice M. Thurman, Executrix of will	400	12.0831	1,308.31	Alice A. White	160	4.5333	453.33
of I. H. Thurman, deceased				124 E. Gray Street			
Springfield, Ky.				Howland Whitney	100	2.0208	202.08
John White Trimble	150	4.5311	453.11	c/o Louisville Cement Co.			
Seelbach Hotel				Mrs. Isabella Talbot Wilder	80	2.4166	241.66
United States Trust Co.	1084	22.7451	2,374.51	c/o Peoples Deposit Bank &			
Fifth and Main Streets				Trust Co., Paris, Ky.			
United States Trust Co.	3000	80.4154	8,041.54	Mrs. Elizabeth L. Williams	250	7.5519	755.19
Trustee Anna O. Cowan				514 W. Oak Street			
Fifth and Main Streets				James C. Willson & Co.	179	5.4071	540.71
United States Trust Co.	10	30.31	30.31	120 S. 5th Street			
Trustee Ballard Library				Mrs. Kate R. Wilson	55	1.6614	166.14
United States Trust Co.	10	30.31	30.31	1405 Goddard Avenue			
Trustee Allen Breed				Karl F. Wirth	300	9.0623	906.23
United States Trust Co.	230	9.6665	966.65	153 Vernon Avenue			
Trustee under will Mary P. Gill				Edgar O. Wells	60	1.8135	181.35
United States Trust Co.	150	3.9370	393.70	R. R. 14, Jeffersonton, Ky.			
Trustee Caroline A. Reese				Frieda S. Weygold	30	3.063	30.63
Miss Emma Uri	64	1.9333	193.33	1970 Deer Park Avenue			
c/o National Bank of Kentucky				Richard M. Williams	100	2.0207	202.07
A. C. VanWinkle	40	1.3083	130.83	Elizabeth Wilmore	60	1.8135	181.35
1115 Cherokee Road				Richmond, Ky.			
Elizabeth Vaughn	100	2.0306	203.06	Margaret Wilmore	60	1.8135	181.35
4340 River Park Drive				Richmond, Ky.			
Edward H. Vigilant	300	9.0623	906.23	Joseph C. Wolf	1000	30.3077	3,030.77
167 Pennsylvania Avenue				2715 Lexington Road			
George J. Vigilant	550	16.6142	1,661.42	Ellen B. Wood	25	7.562	75.62
1423 Sherry Drive				1285 Bassett Avenue			
Marcel G. Vigilant	550	16.6142	1,661.42	C. V. Woods	30	3.063	30.63
c/o Edward H. Vigilant				316 W. Jefferson Street			
167 Pennsylvania Avenue				Joel H. Wright	50	1.5104	151.04
Henry Vogt	100	2.0307	203.07	Sheelbyville, Ky.			
10th and Ormsby				Rudolph Zimmerman	20	60.42	60.42
Joseph C. Wagner	150	4.5311	453.11	1919 Frankfort Avenue			
1235 S. 6th Street							
					179780	5431.0111	5542,101.11

Plaintiff states that I. H. Thurman, listed in GROUP II hereof as the owner of Trustees' Participation Certificates for 400 shares and subject to an assessment as herein set out in the sum of \$1,308.31, died prior to July 2, 1931, and that the defendant, Alice M. Thurman, is the duly appointed, qualified and acting executrix of the will of said I. H. Thurman, deceased, and was such executrix at the time of the entry of the aforesaid judgment on July 3, 1931, and that this plaintiff has assessed against said executrix liability in the sum of \$1,308.31 for and because of the ownership by said I. H. Thurman, deceased, of Trustees' Participation Certificates as aforesaid.

Plaintiff states that at all times mentioned in this petition and at this time the defendants above named, to-wit:

Business Enterprise Company, DeMolay Commandery No. 12, Frankfort Cemetery Company, Franklin Printing Company, Kentucky State Life Insurance Company, Louisville Cement Company, Charles P. Moorman Home for Women, Bemonin-Goodman, Inter-Southern Life Insurance Company, Woodson Kratch Monument Company, Wright & Taylor, Children's Free Hospital, Callahan & Sons, Cook Benevolent Institution, Blythe & Company and E. W. Hays & Company were and now are each and all corporations organized by and under the laws of the State of Kentucky and by their several and respective charters authorized to contract and be contracted with, sue and be sued; that the defendant, Roman Catholic Bishop, was and now is a corporation sole organized by and under the laws of the State of Kentucky and by its charter authorized to contract and be contracted with and sue and be sued as a corporate entity; that the defendant, Executive Board of General Association of Baptists in Kentucky was and is a corporation organized by and under the laws of the State of Kentucky and by its charter authorized to contract and be contracted with, sue and be sued.

Plaintiff further states that in listing in GROUP I and in GROUP II the various partnerships hereinafter set out, said list is to be read and construed as if the same included the names of the individual members composing said respective firms as the owners of the Trustees' Participation Certificates respectively listed opposite the names of said firms and as subject to the assessment liability as shown in the last column opposite each of said firm names; that the defendants, Isaac Hilliard, Edw. H. Hilliard, A. J. Howard, Marion H. Cardwell, Andrew P. Gels, Robert J. Theobald and Harold Walton constituted and now constitute and compose a partnership doing business under the name of J. J. B. Hilliard & Son; that the defendants, S. C. Lyons, B. C. Lyons, W. L. Lyons, W. G. Lyons and J. M. Warden constituted and now constitute and compose a partnership doing business under the name of W. L. Lyons & Company; that the defendants, Fred L. Almstedt, Henry Almstedt, and Richard H. Almstedt constituted and now constitute and compose a partnership transacting business under the firm name of Almstedt Brothers; that the defendants, Henning Chambers, Harrison Hunter, O. J. Turner and Louis Van Overbeke constituted and now constitute and compose a partnership transacting business under the name of Henning Chambers & Company; that the defendants, James C. Willson, Robert J. McBride, Henry T. Short, R. Alexander Robinson and Otto C. Ruth, Jr., constituted and now constitute and compose a partnership doing business under the firm name of James C. Willson & Company; that the defendants, W. Newman Clark and Alvin P. Bohmer constituted and now constitute and compose a partnership doing business under the firm name of John L. Dunlap & Company; that the defendants, Milton S. Trost (a resident of Kentucky), and Julian S. Stein, C. Prevost Boyce, Henry C. Evans and W. T. Childs and others (all of whom are non-residents of the State of Kentucky), constituted and now constitute a partnership transacting business under the firm name of Stein Brothers & Boyce; that the defendant, A. E. Latta, is the sole partner of and the owner composing the firm or business operated under the name of Wakefield & Company; that the defendants, D. K. Murphy and J. C. Murphy constituted and now constitute a partnership doing business under the firm name of D. K. Murphy & Brother.

Plaintiff states that out of a total of Trustees' Participation Certificates for an aggregate number of 570,550 shares of the par value of \$10.00 each outstanding, Trustees' Participation Certificates for an aggregate number of 540,484 shares were assigned and transferred unto the BancoKentucky Company, a corporation organized and existing under the laws of the State of Delaware, in exchange for shares of the capital stock of said BancoKentucky Company at the rate of two shares of such corporate stock for each share represented by said Trustees' Participation Certificates, and that a large number of the defendants exchanged their Trustees' Participation Certificates for shares of the capital stock of The BancoKentucky Company, and that the defendants listed hereinabove in GROUP I respectively exchanged their Trustees' Participation Certificates, representing the number of shares of the par value of \$10.00 each shown in said list, for shares of stock of The BancoKentucky Company.

Plaintiff states that on the first day of September, 1929, The Louisville Trust Company was indebted to various and sundry creditors in an aggregate sum in excess of \$6,000,000.00, and that this liability that existed at said time to such creditors continued until the closing of The Louisville Trust Company as hereinbefore set out, and that no part thereof was paid, discharged or released until after the reorganization and reopening of The Louisville Trust Company as hereinbefore set out, and that more than \$1,750,000.00 of aforesaid indebtedness existing on September 1, 1929, still remains unpaid and that the creditors of The Louisville Trust Company to whom such liability is owing are legally and equitably entitled to all proceeds recoverable in this action.



Plaintiff states that BancoKentucky Company was organized for the purpose of acquiring and it did acquire more than one-half of the entire capital stock of The Louisville Trust Company, and that such acquisition of more than one-half of the capital stock of The Louisville Trust Company by The BancoKentucky Company was in direct violation of the statutes of the Commonwealth of Kentucky, and that those of the defendants herein named who exchanged Trustees' Participation Certificate-shares for shares of the capital stock of The BancoKentucky Company, as hereinbefore set out, were parties to and co-operated and participated in such illegal acquisition by said BancoKentucky Company of said stock, and that said defendants still remain subject and now are subject to the maximum liability as stockholders of The Louisville Trust Company, to the same extent, measured by their beneficial ownership of Louisville Trust Company stock as hereinbefore set out, as if they had not respectively assigned their Trustees' Participation Certificates to The BancoKentucky Company in exchange for shares of its capital stock but had continued to retain ownership of their respective Trustees' Participation Certificates.

Plaintiff states that by virtue of the judgment and orders hereinbefore set out, directing the assessment and collection of the stockholders' liability as aforesaid, plaintiff has assessed against the defendants herein respectively the sums as shown in the last column opposite their names; that each of said defendants is indebted unto this plaintiff in the amount so shown in the last column opposite such defendants names; and that this plaintiff is entitled to recover of the defendants respectively the amounts so shown, and that all of said sums are due and are wholly unpaid.

WHEREFORE plaintiff prays for a judgment against each of the defendants herein in the amounts as set opposite their respective names in the last column, together with interest thereon at the rate of six per cent (6%) per annum from date until paid, and plaintiff prays for all other legal and equitable relief that may be necessary or proper.

STATE OF KENTUCKY  
COUNTY OF JEFFERSON.

JOHN C. WICKLIFFE, JR.,  
Receiver of The Louisville Trust Company.

The affiant, John C. Wickliffe, Jr., says that he is Receiver of The Louisville Trust Company and that he believes the statements contained in the foregoing petition to be true.

JOHN C. WICKLIFFE, JR.

Subscribed and sworn to before me in said county by John C. Wickliffe, Jr., on this the 10th day of September, 1931.

My commission expires March 31, 1934.

ESTELLE M. FLEISCHER  
Notary Public, Jefferson County, Kentucky.

Exhibit 155

No. 205,178.

JEFFERSON CIRCUIT COURT,  
CHANCERY BRANCH,  
SECOND DIVISION.

COMMONWEALTH OF KENTUCKY, On  
relation of O. S. Denny,  
Banking Commissioner,

Plaintiff,

vs. JUDGMENT

THE LOUISVILLE TRUST COMPANY,

Defendant.

At a court held on the 3rd day of July, 1931.

The Fidelity and Columbia Trust Company, Receiver of The Louisville Trust Company, having in its hands for administration and settlement the estate of The Louisville Trust Company, under the order and appointment of this Court heretofore made herein, and said Receiver having filed its petition in this proceeding seeking authority to assess, and to bring such actions and take such proceedings as may be necessary and proper to enforce, the maximum liability imposed upon the stockholders of The Louisville Trust Company and upon such other persons and estates subject to the liability imposed by Chapter 21, Section 547, Kentucky Statutes, and such other statutes as relate thereto; and this cause having been heard and submitted upon aforesaid petition of said Receiver and the testimony introduced in support thereof and the Court being advised:

IT IS CONSIDERED AND ADJUDGED by the Court that at the time of the closing of The Louisville Trust Company on November 17, 1930, The Louisville Trust Company was and now is insolvent to such an extent that the amount of its indebtedness over and above the total value of its assets then was and now is in excess of \$1,750,000.00, the aggregate amount of the par value of its shares of capital stock outstanding in the hands of its stockholders at the time of the closing of said company as aforesaid, and that it is necessary, under and for the proper administration of the assets and settlement of the estate of The Louisville Trust Company in the hands of its aforesaid Receiver, that an assessment of the maximum liability imposed by law upon the stockholders of The Louisville Trust Company and all persons and estates subject to such stockholders' liability be now made by said Fidelity and Columbia Trust Company, in its capacity as Receiver of The Louisville Trust Company, and that said Receiver bring such actions and take such proceedings as may be necessary and proper to enforce the maximum liability as aforesaid of all persons and estates subject thereto.

It is therefore FURTHER ADJUDGED that said Fidelity and Columbia Trust Company, Receiver of The Louisville Trust Company be and it is now ordered and directed to assess the maximum liability imposed by statute, measured by the par value of their respective shares of stock of The Louisville Trust Company, against all present stockholders of The Louisville Trust Company and against all its former stockholders who are still subject to such assessment, including all other persons and estates subject to the assessment on account of such stockholders' liability, and said Receiver is further ordered and directed to bring such actions and to take such proceedings as may be necessary and proper to collect each and all of said assessments and to enforce the maximum liability of all stockholders, other persons and estates subject to said liability under the statutes in such case made and provided.

This judgment may be executed forthwith.

LAFON ALLEN.

1931: July 3.

Attest: Louis Viasman, clerk, by H. W. Schaeffer, deputy clerk.

EXHIBIT "A"

1931, September 10, filed with petition. Attest, Louis Viasman, by G. Laker, deputy clerk.

**Exhibit 156**

*District Court of the United States for  
the Western District of Kentucky*

*A. M. Anderson, Receiver, Plaintiff*

*vs. No. 1046*

*David J. Abbott, et al. Defendants*

*Plaintiff's Defendants' Exhibit No. 156*

Jefferson Circuit Court

Chancery Branch

Second Division

No. 205-178

Commonwealth of Kentucky, on  
relation of O. S. Denny,  
Banking Commissioner,

Plaintiff,

- vs -

J U D G M E N T

The Louisville Trust Co.,

Defendant.

\* \* \* \* \*

At a Court held on the 3rd day of July, 1931.

The Fidelity and Columbia Trust Company, Receiver of  
The Louisville Trust Company, having in its hands for adminis-  
tration and settlement the estate of The Louisville Trust  
Company, under the order and appointment of this Court here-  
tofore made herein, and said Receiver having filed its petition  
in this proceeding seeking authority to assess, and to bring  
such actions and take such proceedings as may be necessary  
and proper to enforce, the maximum liability imposed upon the  
stockholders of the Louisville Trust Company and upon such  
other persons and estates subject to the liability imposed  
by Chapter 32, Section 547, Kentucky Statutes, and such  
other statutes as relate thereto; and this cause having been  
heard and submitted upon aforesaid petition of said Receiver  
and the testimony introduced in support thereof, and the  
Court being advised,:

IT IS CONSIDERED AND ADJUDGED by the Court that at



**Exhibit 156**

the time of the closing of The Louisville Trust Company on November 17, 1930, The Louisville Trust Company was and now is insolvent to such an extent that the amount of its indebtedness over and above the total value of its assets then was and now is in excess of \$1,750,000.00, the aggregate amount of the par value of its shares of capital stock outstanding in the hands of its stockholders at the time of the closing of said company as aforesaid, and that it is necessary, under and for the proper administration of the assets and settlement of the estate of The Louisville Trust Company in the hands of its aforesaid Receiver, that an assessment of the maximum liability imposed by law upon the stockholders of The Louisville Trust Company and all persons and estates subject to such stockholders' liability be now made by said Fidelity and Columbia Trust Company, in its capacity as Receiver of the Louisville Trust Company, and that said Receiver bring such actions and take such proceedings as may be necessary and proper to enforce the maximum liability as aforesaid of all persons and estates subject thereto.

It is therefore FURTHER ADJUDGED that said Fidelity and Columbia Trust Company, Receiver of The Louisville Trust Company, be and it is now ordered and directed to assess the maximum liability imposed by statute, measured by the par value of their respective shares of stock of The Louisville Trust Company, against all present stockholders of The Louisville Trust Company and against all its former stockholders who are still subject to such assessment, including all other persons and estates subject to the assessment, on account of such stockholders' liability, and said Receiver is further ordered and directed to bring such action and to

**Exhibit 156**

take such proceedings as may be necessary and proper to collect each and all of said assessments and to enforce the maximum liability of all stockholders, other persons and estates subject to said liability under the statutes in such case made and provided.

This judgment may be executed forthwith.

Lafon Allen

JUDGE

A COPY

ATTEST: JOHN H. ALSMILLER, CLERK

BY *John P. Morton* D.C.

Entered Judgment Book 63

Page 426

2021

Exhibit 157

FEDERAL RESERVE BANK

District Court of the United States for  
the Western District of Kentucky

OF  
ST. LOUIS

A. M. Anderson, Receiver,.....Plaintiff  
vs. No. 1046

David J. Abbott, et al.....Defendants

Plaintiff's ~~Defendants'~~ Exhibit No. 15.2

October 24, 1929.

Mr. Robert F. Vaughan,  
Marion E. Taylor Bldg.,  
Louisville, Ky.

Dear Mr. Vaughan:

I wish to thank you for your letter of the 16th inst., advising that another stockholders' meeting was held on October 15 at which time it was voted to make the liquidation of the National Bank of Kentucky effective November 15. (We assume close of business that date.)

In an effort to avoid an interruption in the membership in the institution, as requested by you, we have worked out the procedure suggested in the attached letter to Mr. Chas. F. Jones, Cashier of the bank. If this procedure is satisfactory the form furnished Mr. Jones should be executed and returned to me as soon as possible in order that it may be forwarded to the Federal Reserve Board in Washington. It should be accompanied by a copy of the articles of incorporation of the new institution which I assume sets out the powers which it will exercise.

Awaiting your further favors, I am

Yours very truly,

C. M. Stewart (Signed)  
Asst. Federal Reserve Agent.



Exhibit 158

  
LAW OFFICES  
ROBERT F. VAUGHAN  
MARION E. TAYLOR BUILDING  
LOUISVILLE, KENTUCKY

October 11th, 1929.

Federal Reserve Bank of St. Louis,  
St. Louis, Mo.

Attention: Mr. Martin, Federal Reserve Agent.

Dear Mr. Martin:

I am writing you as attorney for the National Bank of Kentucky, which has been authorized to dissolve in accordance with Sections 5220 and 5221, United States Revised Statutes, and is about to be converted into a State Bank, under the laws of Kentucky, to be known as The Bank of Kentucky. The Comptroller of the Currency has approved the resolution of the stockholders, and the articles of incorporation of the new bank will be filed tomorrow.

Under the terms of the resolution of the stockholders, the dissolution becomes effective October 15th, 1929. The directors have authorized application for cancellation of shares in the Federal Reserve Bank, and the new State Bank will desire to become a member of the System and subscribe for the identical number of shares.

Will you kindly send me the necessary forms for accomplishment this and advise how the liabilities of the National Bank of Kentucky can be properly taken care of in the meantime? You will recall that we recently went through this same process with the old Louisville National Bank & Trust Company, at the time of its merger with The Louisville Trust Company.

With very kindest regards, I am,

Yours very truly,

*Robert F. Vaughan*

RFV:BO'B

District Court of the United States for  
the Western District of Kentucky  
A. M. Anderson, et al. Plaintiff  
vs. The Bank of Kentucky, et al. Defendants  
Filed for record October 11, 1929. No. 158

Exhibit 158-1

COMMONWEALTH OF KENTUCKY  
OFFICE SECRETARY OF STATE  
Frankfort

Ella Lewis  
Secretary of State

Received of Gavin Cochran for the Bank of Kentucky  
the sum of \$4.50 as fee for recording articles of said bank.

This October 12, 1929.

Ella Lewis (Signed)  
Secretary of State.

District Court of the United States for  
the Western District of Kentucky  
A. M. Anderson, Receiver, ..... Plaintiff  
vs. No. 10,76  
David J. Abbott, et al ..... Defendants  
Plaintiff's Exhibits' Exhibit No. 15. & 1

## Exhibit 158-2

FEDERAL RESERVE BANK  
OF  
ST. LOUIS

October 12, 1929.

Mr. Robert F. Vaughan,  
Marion E. Taylor Bldg.,  
Louisville, Ky.

Dear Mr. Vaughan:

This morning I received your letter of October 10, stating that the National Bank of Kentucky is about to go into voluntary liquidation, and asking for the necessary forms for use in applying for surrender of the stock which it holds in this institution. Your letter of October 11, addressed to Mr. Martin, has also been referred to me in his absence.

From your letter to Mr. Martin, it is noted that the dissolution of the National Bank of Kentucky becomes effective October 15, 1929, and that it will be succeeded by a new State bank which desires to become a member of the Federal Reserve System.

In accordance with Section 5 of the Federal Reserve Act and Regulation 1, issued by the Federal Reserve Board, herewith I send you three copies of Form 86 for use by the Liquidating Agent or Committee of the National Bank of Kentucky in applying for surrender of the stock which it holds in the Federal Reserve Bank of St. Louis. The application should be executed in triplicate, two copies being returned to me and one retained for its files. The stock certificate should also be returned in order that it may be canceled.

I also enclose a copy of Form 83a for use by the new State bank in making application for membership in the Federal Reserve System. After it has been executed, it should be returned to me together with a copy of the charter and articles of incorporation, with amendments, if any. Regulation H, issued by the Federal Reserve Board, covers the membership of State banks and trust companies.

It is our practice to examine all State banks applying for membership in the Federal Reserve System and, if agreeable, we will arrange to visit the new institution as soon as possible.

You ask how the liabilities of the National Bank of Kentucky can be properly taken care of between the date the national bank goes into voluntary liquidation and the date the new State bank becomes a member of the System. The maturing paper of the national bank can be taken care of by the State bank opening a nonmember clearing account with our Louisville Branch and authorizing it to charge the paper, as it matures, against said account.



Exhibit 158-2

-2-

Upon receipt of the enclosed applications, we shall be pleased to give them prompt attention.

Yours very truly,

C. M. Stewart (Signed)  
Asst. Federal Reserve Agent.

P.S. A copy of the Federal Reserve Board's Regulations is enclosed.

2026

Exhibit

158-3

LAW OFFICES

ROBERT F. VAUGHAN

MARION E. TAYLOR BUILDING

LOUISVILLE, KENTUCKY

October 15th, 1929.

Office of the Comptroller of the Currency.

Washington, D. C.

Attention - Mr. Quinn

Dear Mr. Quinn:

The Federal Reserve Bank of St. Louis was unable to examine the Bank in time for an effective dissolution as of October 15th. It was therefore impractical to make the transfer now to the new State Bank, since it was desired that the latter become a Member of the Federal Reserve System, with the privileges of re-discount, etc.

When I discovered this condition, I called your office and in your absence talked to Mr. Awalt, who recommended that the former Resolution be rescinded and a new one adopted, fixing the effective date of liquidation as of November 15th, 1929.

Accordingly, a meeting was held this afternoon and this action was taken. I enclose you certified copy of the Resolution which I will thank you to substitute for the one previously sent.

With kind regards, I am,

Yours sincerely,

*Robert F. Vaughan*

RFV/BO'S  
(Encl.)

2027

158 3

Exhibit 158-4

LAW OFFICES

ROBERT F. VAUGHAN

MARION E. TAYLOR BUILDING

LOUISVILLE, KENTUCKY

October 16, 1929

Federal Reserve Bank of St. Louis  
St. Louis, Missouri

Attention - Mr. C. M. Stewart

Dear Mr. Stewart:

At the time the resolution authorizing liquidation was adopted by the stockholders of the National Bank of Kentucky, it was not contemplated that the Federal Reserve Bank of St. Louis would require an examination of the successor state bank before admitting it to membership. We were fully cognizant of the general rule in this regard as applied to an entirely new institution or to an applicant theretofore having no connection with the Federal Reserve System, but we thought that rule would be waived (as it was recently in connection with The Louisville Trust Company) by virtue of the fact that the National Bank of Kentucky had been connected with the System from the beginning and further, that the state bank succeeded to all the assets and liabilities of the national association.

After conferring with Mr. Moore (of the local Branch) and finding that the Federal Reserve Bank of St. Louis would insist upon the rule, I communicated with the Comptroller of the Currency, and we held another stockholders' meeting on yesterday, prior to the effective operation of the former resolution, and rescinded that resolution and adopted a new one, making liquidation effective as of November 15th. This was communicated to Mr. Moore who told me that he would wire Mr. Atterberry.

Will you kindly arrange to have the examination of the Bank made within this extended period, so that when the transfers are made to the state bank, it will be immediately admitted to membership without any interruption of the rediscounting privileges?

Thanking you, I am

Yours sincerely,

*Robert F. Vaughan*

RFV:IS

158-4

2028



Exhibit 158-5

LAW OFFICES

ROBERT F. VAUGHAN

MARION E. TAYLOR BUILDING  
LOUISVILLE, KENTUCKY

October 19th, 1929.

Mr. Charles F. Jones,  
National Bank of Kentucky,  
Louisville, Kentucky.

Dear Mr. Jones:

Please sign the enclosed statement as Cashier, and return to Miss Ella Lewis, Secretary of State, with One Dollar (\$1.00) recording fee.

I also enclose you Certificate of Incorporation and a copy of the Articles of Incorporation, all of which should be inserted in the Minute Book of the new Bank.

Yours sincerely,

*Robert F. Vaughan*

RFV/BO'B

2029

Exhibit 158-6

LAW OFFICES  
ROBERT F. VAUGHAN  
MARION E. TAYLOR BUILDING  
LOUISVILLE, KENTUCKY

October 23rd, 1929.

Mr. W. A. Dicken,  
Deputy Banking Commissioner,  
Frankfort, Kentucky.

Re: Articles of Incorporation  
The Bank of Kentucky

Dear Sir:

As per your recent communication addressed to The Bank of  
Kentucky, Louisville, Kentucky, I enclose you herewith copy of Articles  
of Incorporation of The Bank of Kentucky.

Yours very truly,

ROBERT F. VAUGHAN

By:

RFV/BO'B

Deputy Banking Commissioner  
Plaintiff  
Defendants  
Filed for record in the office of the  
Deputy Banking Commissioner  
No. 158-6

Exhibit 158-7

LAW OFFICES  
ROBERT F. VAUGHAN  
MARION E. TAYLOR BUILDING  
LOUISVILLE, KENTUCKY

October 29th, 1929.

Mr. C. M. Stewart,  
Asst. Federal Reserve Agent,  
Federal Reserve Bank of St. Louis,  
St. Louis, Missouri.

Dear Mr. Stewart:

I have your letter of October 24th with enclosure, for which I thank you. Mr. James B. Brown, President, has been out of town a great deal lately and I have not had a chance to discuss with him the matters referred to in your letter. I expect to see him before the Board Meeting on Friday and will probably communicate with you on the following day.

Thanking you for past favors, I am

Yours very truly,

*Robert F. Vaughan*

RFV/BO'B

Plaintiff

Defendants

No. 158-7

2031



**Exhibit 158-8**

LAW OFFICES

**ROBERT F. VAUGHAN**

MARION E. TAYLOR BUILDING

LOUISVILLE, KENTUCKY

November 6th, 1929.

National Bank of Kentucky,  
Fifth and Main Streets,  
Louisville, Kentucky.

For the attention of:  
Mr. James B. Brown, President, or  
Mr. Charles F. Jones, Cashier.

Dear Mr. Brown:

On October 7th, 1929, the stockholders of the National Bank of Kentucky adopted a resolution authorizing the voluntary dissolution of the bank under the Acts of Congress, the liquidation to become effective October 15th, 1929. At the same meeting, the directors of the bank were authorized to organize the State Bank under the laws of Kentucky, into which the National Bank would be converted at the effective date of liquidation. Subsequently this State Bank was organized by filing Articles of Incorporation, as provided by law.

On October 12th, it was definitely ascertained that the Federal Reserve Bank of St. Louis would insist upon an examination of the new bank before admitting it to membership in the System. Since this examination could not be completed prior to the effective date of liquidation, the stockholders on October 15th, 1929, rescinded the former resolution and adopted a new resolution, fixing November 15th, 1929, as the effective date, so as to enable the Reserve Bank to make this examination, and admit the new bank to membership before the old bank went into liquidation. This was done, of course, to preserve the rediscount privileges of the National Bank of Kentucky until the new bank was qualified as a member, and entitled to exercise these privileges.

Some days ago I received a communication from Mr. Stewart, Assistant Federal Reserve Agent at St. Louis, enclosing a copy of a letter he had written to Mr. Jones, in which we were advised that an examination would be required of the new bank before admitting it to membership, and suggesting that in the meantime the National Bank of Kentucky might file application for membership on behalf of the new bank.

I am writing this letter merely to remind you of the fact that under the terms of the last resolution adopted, the National Bank of Kentucky will go into voluntary liquidation at the close of business on November 15th,

District  
the W

A. M. Anderson, Plaintiff

David J. Anderson, Defendants

2032

Plaintiff's Defendants' Exhibit No. 158-8

Exhibit 158-8

10/1/41

#2.

at which time our rediscount facilities and privileges will be withdrawn. The time within which an examination could be made is very short. I would, therefore, suggest immediate action upon one of the following courses:

1. Apply immediately for membership on behalf of The Bank of Kentucky, in accordance with Mr. Stewart's letter, and request the earliest examination possible; or,
2. Rescind the resolution of the stockholders authorizing liquidation as of November 15th.

In the event the latter course is adopted, we should consider what to do with the newly organized The Bank of Kentucky. It is, of course, possible for the stockholders and directors of this Bank to formally dissolve the corporation under the laws of Kentucky, but of course, we do not desire to do this unless the whole scheme of denationalization is to be abandoned.

I would appreciate your advising me at your earliest convenience what course is to be followed so that I can prepare the necessary legal papers.

Yours sincerely,

*Robert F. Vaughan*

RFV/BO'B

# Exhibit 158-9

LAW OFFICES  
**ROBERT F. VAUGHAN**  
MARION E. TAYLOR BUILDING  
LOUISVILLE, KENTUCKY

November 12th, 1929.

Office of the Comptroller of the Currency  
Washington, D. C.

Attention - Mr. Quinn

Dear Mr. Quinn:

I enclose you herewith a resolution unanimously adopted by the stockholders of the National Bank of Kentucky, at a meeting held November 8th, 1929. The effect of this resolution is to again extend the effective date of liquidation of the National Bank of Kentucky, and this time the extension is to the close of business on December 28th, 1929.

The unusual conditions prevailing during the last three weeks have required so much of the time of the officers of the bank, as to make it impossible to carry forward the program for the denationalization of the bank at this time.

At a conference between Mr. James B. Brown, Mr. Charles F. Jones, (Cashier) and myself, it was estimated that by the close of business on December 28th, 1929, conditions will have been stabilized to a point where we can resume the program for the National Bank of Kentucky, begun in October. We are therefore fixing this date (December 28th) as the effective date of liquidation.

I am sorry to trouble you again, but of course, I am sure that you fully appreciate the situation.

I am sending you under separate cover, a personal memorandum which might be of interest to you.

With kind regards and much appreciation for your past courtesies, I am

Yours sincerely,

*Robert F. Vaughan*

RFV/BO:B  
(Encl.)

2034



Exhibit 158-10

TREASURY DEPARTMENT  
WASHINGTON

November 14, 1929.

Mr. Robert F. Vaughan,  
Marion E. Taylor Building,  
Louisville, Ky.

In re: Voluntary liquidation of National  
Bank of Kentucky of Louisville.

Dear Sir:

The receipt is acknowledged of your letter of November 12, enclosing resolution of shareholders rescinding prior resolution providing for the liquidation of The National Bank of Kentucky of Louisville effective at close of business on Nov. 15, 1929, and providing for the liquidation of that bank effective as of close of business on December 29, 1929.

The resolution is in proper form and has been placed on file and you are advised that The National Bank of Kentucky of Louisville will be formally reported in voluntary liquidation on December 30, 1929, effective as of close of business December 29, 1929.

Respectfully,

Signed E. H. Gough

Deputy Comptroller

Exhibit 158-11

LAW OFFICES  
ROBERT F. VAUGHAN  
MARION E. TAYLOR BUILDING  
LOUISVILLE, KENTUCKY

November 18, 1929.

Mr. Charles F. Jones, Cashier,  
National Bank of Kentucky,  
Fifth and Main Sts.,  
Louisville, Kentucky.

Dear Mr. Jones:

I hand you herewith a letter from the Office  
of the Comptroller of the Currency which you can show to any  
representative of the Federal Reserve System who may in the  
future question our status.

Yours sincerely,

*Robert F. Vaughan*

RFV/BO'B  
(Encl.)

District  
the U.  
A. M. A. v. B. Plaintiff  
David A. v. B. Defendant's  
Plaintiff's v. Defendant's Exhibit No. 158-11

2036

Exhibit 158-12

LAW OFFICES  
ROBERT F. VAUGHAN  
MARION E. TAYLOR BUILDING  
LOUISVILLE, KENTUCKY

November 18th, 1929.

Office of Comptroller of the Currency,  
Washington, D. C.

Attention: Mr. E. H. Gough,  
Deputy Comptroller.

Dear Mr. Gough:

This will acknowledge receipt of your letter of November 14, 1929, advising that the National Bank of Kentucky of Louisville will be formally reported in voluntary liquidation on December 30, 1929, effective as of close of business December 29, 1929, for which I thank you.

Yours very truly,

*Robert F. Vaughan*

RFV/BO'B



Exhibit 158-13

LAW OFFICES  
ROBERT F. VAUGHAN  
MARION E. TAYLOR BUILDING  
LOUISVILLE, KENTUCKY

December 21, 1929

Comptroller of the Currency  
Washington, D. C.

Attention - Mr. Quinn

Dear Mr. Quinn:

I am enclosing you herewith resolution of the stockholders of the National Bank of Kentucky, rescinding their former resolution of November 8th and readopting same with the provision however that the effective date shall be March 29, 1930.

This extension was necessary and is for the best interests of the Bank and the stockholders as decided by them and the Directors at the meeting held on yesterday.

Under the former resolution the Bank of Kentucky became denationalized on December 29, 1929. I would appreciate it very much if you would kindly write a letter to the Federal Reserve Bank of St. Louis; attention of the Federal Reserve Agent, Mr. Martin or Mr. Stewart, certifying that the effective date of the liquidation may be postponed to March 29, 1930.

With Season's greetings and best wishes for the New Year, I am

Yours sincerely,

*Robert F. Vaughan*

RFV:IS  
Encl.

District Court of the  
the Western District

A. M. Anderson, Receiver, ..... Plaintiff  
vs. No. 1646

David J. Abbott, et al ..... Defendants

Plaintiff's Exhibits' Exhibit No. 158-13

Exhibit 158-14

TABLE OF SERVICE CHARGES	
TELEGRAMS	CABLES
TELEGRAM	FULL RATE
DAY LETTER	DEFERRED
NIGHT MESSAGE	NIGHT LETTER
NIGHT LETTER	WEEK END LETTER

Patrons should check class of service desired; otherwise message will be transmitted as a full-rate communication.

# WESTERN UNION

NEWSPAPER CANTON, PRESIDENT

A. C. WILLEYER, FIRST VICE-PRESIDENT

1386-A	
CHECK	
ACCT'G INFMN.	
TIME FILED	

Send the following message, subject to the terms in back hereof, which are hereby agreed to

JBS11 23 DL-K WASHINGTON DC 26 1240P

1939 DEC 26 AM 11 58

ROBERT F. VAUGHAN

MARION E TAYLOR BLDG LOUISVILLE KY

RESOLUTIONS PROVIDING FOR CHANGE IN LIQUIDATION DATE YOUR BANK  
EXAMINED AND FOUND TO BE IN PROPER FORM FEDERAL RESERVE BANK  
ADVISED TODAY LIQUIDATION POSTPONED TO MARCH TWENTY NINE

R F QUINN

District Court of the United States for  
the Western District of Kentucky

C O P Y

A. M. Anderson, Receiver, Plaintiff

vs. No. 1046  
David J. Abbott, et al Defendants

Plaintiff's Defendants' Exhibit No. 158-14

THE QUICKEST, SUREST AND SAFEST WAY TO SEND MONEY IS BY TELEGRAPH OR CABLE.

2039

Exhibit 158-15

NATIONAL BANK OF KENTUCKY

January 22, 1930.

Mr. Robert F. Vaughan,  
Marion E. Taylor Bldg.,  
Louisville, Ky.

Dear Mr. Vaughan:-

Enclosed herein please find papers relative to  
report of condition for "The Bank of Kentucky," as of December  
31st, 1929.

Please explain this matter to the department.

Very truly yours,

W. T. ZurSchmiede, (Signed)  
Cashier.

Thanks

WTZ:CK  
enc'l.

158-15



Exhibit 158-16

LAW OFFICES  
ROBERT F. VAUGHAN  
MARION E. TAYLOR BUILDING  
LOUISVILLE, KENTUCKY

January 25, 1930

Mr. West Jenny  
Banking Commissioner  
New State Capitol  
Frankfort, Kentucky

Dear Mr. Jenny:

Your call for a statement of the condition of The Bank of Kentucky at the close of business December 31st, 1929, has been referred to me as its attorney. I desire to explain the present situation of this bank, following receipt of which by you, I would appreciate your advice as to just the character of report which should be filed.

As you doubtless are aware, the stock of the National Bank of Kentucky and the stock of The Louisville Trust Company is owned by a group of six trustees under a trust agreement dated April 22, 1917. Trustees Participating Certificates, evidencing proportionate interest in the indivisible trust estate held by them, are issued and outstanding; and these Certificates are listed on the Board under the title "National Bank of Kentucky."

Early last fall, the Directors of the two institutions, together with Mr. James B. Brown, President of the National Bank of Kentucky, and Mr. Richard Reun, President of The Louisville Trust Company, were favorably disposed towards denationalization of the National Bank of Kentucky and the organization of a state bank under the laws of Kentucky as its successor; and it was at one time considered (though never decided) that a merger of The Bank of Kentucky and The Louisville Trust Company, under state law, might be of

Exhibit 158-16

32.

material advantage in the prosecution of the business conducted by the two banks.

After considerable discussion in the Board, I was employed to take steps to denationalize the National Bank of Kentucky. I obtained the necessary consent of the stockholders (trustees as above) and filed with the Comptroller of the Currency a resolution authorizing the dissolution of the bank, effective October 15, 1929. Later a resolution was adopted extending this period to March 30, 1930.

Meanwhile we had filed articles of incorporation for The Bank of Kentucky (a Kentucky corporation) looking to the ultimate acquisition by this institution of all of the assets of the National Bank of Kentucky. But since the effective date of the liquidation has been postponed, The Bank of Kentucky (a Kentucky corporation) has remained nothing more than a name; it has no assets whatever and possesses nothing but the charter from the state authorizing it to do business when and as the denationalization becomes effective.

As you know, conditions have materially changed all over the country since October, 1929, and it is not yet determined definitely whether or not the denationalization will become effective. We will know pretty definitely about this within the next thirty or sixty days. If the plan of denationalization is abandoned, we will simply surrender the state charter.

Under the above circumstances, I would appreciate your advice as to the form of report to be filed with your department. I have in mind

Exhibit 158-16

43.

a report giving the names of the Directors and embodying an affidavit setting up substantially the foregoing facts. May I have your advice in this matter, and oblige,

Yours very truly,

*Roberto Vaighan*

RFV/BO'B



Exhibit 158-11

LAW OFFICES  
**ROBERT F. VAUGHAN**  
MARION E. TAYLOR BUILDING  
LOUISVILLE, KENTUCKY

March  
Twenty-fifth  
Nineteen  
Thirty

Comptroller of the Currency  
Treasury Building  
Washington, D. C.

Attention - Mr. Quinn

Dear Mr. Quinn:

The stockholders of the National Bank of Kentucky have decided to remain in the national system and to withdraw their resolution of liquidation.

I enclose you herewith a certified copy of the minutes of the meeting in which this action was taken.

Will you kindly acknowledge receipt of the resolution; also, kindly wire, at our expense, the Federal Reserve Agent at St. Louis, advising that the resolution for denationalization has been rescinded and that therefore the bank will not go into voluntary liquidation, effective at the close of business on March 29th.

This request is made so that there will be no interruption to the facilities of the National Bank of Kentucky with the local branch of the Federal Reserve Bank of St. Louis.

With kindest regards and many thanks for your former courtesies, I am

Sincerely yours,

*Robert F. Vaughan*

RFV/BO'B  
(Encl.)

158-17

2044

Exhibit 159

LAW OFFICES

ROBERT F. VAUGHAN

MARION E. TAYLOR BUILDING

LOUISVILLE, KENTUCKY

June  
Fifth  
Nineteen  
Thirty

Mr. James B. Brown,  
C/o National Bank of Kentucky,  
Louisville, Kentucky.

Dear Mr. Brown:

I am enclosing a memorandum of the services rendered during last Fall and Winter for the National Bank of Kentucky, in connection with the proposed surrender of its National Charter, and in connection with the organization of the State Bank, known as the Bank of Kentucky as successor to the National Bank.

I have attached to this memorandum a statement of some small expenses incurred by my office in connection with the work.

In view of the fact that the Bank changed its plans and therefore did not avail itself of the new State Charter, I feel that a fee of about \$5,000.00 would be fair. However, I am going to leave this matter entirely in your hands, with the assurance that whatever you do about it will be satisfactory with me.

I have delayed sending any statement in this matter because I did not care to burden you with my personal affairs while you were so busily engaged on the great program for the Banco Kentucky Company.

With kindest regards and sincere appreciation for your consideration, I am

Yours sincerely,

*Robert F. Vaughan*

RFV:NC

Exhibit · 159

The National Bank of Kentucky  
Louisville, Kentucky

May 31st, 1930

IN ACCOUNT WITH

**ROBERT F. VAUGHAN**

LAWYER

MARION E. TAYLOR BUILDING, LOUISVILLE, KY

Expenses advanced (Telephone calls, Recording fees, Etc.)

39 05

Services rendered



## Exhibit 159

MEMORANDUM OF SERVICES RENDERED IN CONNECTION WITH THE  
DENATIONALIZATION OF THE NATIONAL BANK OF KENTUCKY AND THE  
ORGANIZATION OF THE BANK OF KENTUCKY (A STATE BANK)

About October 1st I was employed to do the above work, through Mr. Charles F. Jones who called me over the telephone. I went to his office at the Bank and spent the remainder of the day in outlining the plan of procedure, copy of which was furnished to him. It was decided to rush the procedure as rapidly as possible, fixing October 15, 1929 as the effective date of liquidation. The following papers were then prepared by me:

Waiver of notice of stockholders meeting.

Resolution of the Trustees authorizing Henry Vogt as Chairman to sign this waiver on behalf of the Trustees.

Minutes of the stockholders meeting, containing the resolution authorizing voluntary liquidation, the appointment of a liquidating agent, etc., in conformity with the law and with the rules of the Comptroller's office.

Resolution of the Board of Directors of National Bank of Kentucky authorizing withdrawal of bonds securing circulation.

Application of National Bank of Kentucky to the Federal Reserve Bank of St. Louis for cancellation of shares in the Reserve Bank.

Application in behalf of the Bank of Kentucky (the State Bank) for membership in the Federal Reserve Bank of St. Louis.

Resolution of the Board of Directors of National Bank of Kentucky authorizing such application.

Articles of Incorporation of The Bank of Kentucky (the State Bank).

Consent of the Advisory Committee and resolution of the Trustees authorizing the Directors of National Bank of Kentucky to file these Articles of Incorporation on behalf of the new State Bank.

## Exhibit 159

#2.

Contract between National Bank of Kentucky and the new State Bank, wherein the former agreed to transfer all its property to the latter, in consideration of which the latter assumed all liabilities of the former, except circulation.

Resolution of the Trustees consenting to the above, and minutes of Trustees meeting in this regard.

(Note: - Proper resolutions were filed with the Comptroller, who approved same, the Articles of Incorporation of the new Bank were filed with the Secretary of State and approved by the Banking Commissioner, and all other steps had been taken necessary to complete the denationalization by October 15, 1929 when we received word from the Federal Reserve Bank of St. Louis that it would require an examination of the new Bank before admitting it to membership. As the time was too short for this examination, I called up Mr. C. M. Stewart, Assistant Federal Reserve Agent of St. Louis and tried to get him to waive this requirement as he had done in the case of The Louisville Trust Company in its merger with the Louisville National Bank. I also went to see Mr. Moore of the local branch of the Federal Reserve Bank. Neither of these attempts was successful, and we therefore decided to postpone the effective date of dissolution from October 15 to November 15, 1929. This decision made necessary the following steps and the following papers):

Called the office of the Comptroller of the Currency and arranged for an extension, together with certification of same from his office to the Federal Reserve Bank of St. Louis.

New waiver of notice of stockholders, together with the resolution of the Trustees.

New set of minutes of stockholders meeting, adopting resolution rescinding the first resolution and extending the effective date to November 15, 1929.

Notified the Banking Commissioner of Kentucky of this change in plans.

Had all these papers signed and attested and forwarded to the Comptroller's office.

After the stock market collapse in November, it was decided to postpone the effective date of dissolution to November 28, 1929, so I went through the same procedure as above, in order to extend the time to said date.

The same procedure was again followed in December, the time being extended to March 29, 1930.

Exhibit 159

43.

About the middle of March it was finally decided to abandon the plan of denationalization. I thereupon prepared new waivers of notice and new set of minutes for the stockholders meeting, including a resolution rescinding altogether the former resolution for the liquidation of Bank of Kentucky. I had these papers properly signed and attested and forwarded to the Comptroller's office, and in addition called him over the telephone and explained the situation then existing.

I then notified the Banking Commissioner at Frankfort of the foregoing so that he would not be calling for statements of condition, etc. of the State Bank.

RF:IS



## Exhibit 160

Excerpt from Minutes of Meeting  
of the

State Board of Education of Kentucky

A. M. Anderson, Receiver.....Plaintiff

vs. No. 1046

David J. Abbott, et al.....Defendants

Plaintiff's ~~Defendants'~~ Exhibit No. 160

"Frankfort, Kentucky  
September 18, 1929

"Upon call of the Chairman, the State Board of Education convened in the office of the Superintendent of Public Instruction, Frankfort, Kentucky, on Wednesday, September 18, 1929, 2:00 P.M., with the following members present:

Miss Ella Lewis, Secretary of State,  
Hon. J. W. Cammack, Attorney General, and  
Supt. W. C. Bell, Chairman.

"Mr. James Cammack, Jr., staff member of the Department of Education, was also present.

"The meeting was held for the purpose of considering the sale of trustees' participation certificates in the National Bank of Kentucky, Louisville, and The Louisville Trust Company, Louisville, held by the State Board of Education in the name of the State School Fund of the Commonwealth.

"After quite a discussion in regard to the sale of the trustees' participation certificates referred to above, it was moved by General Cammack, seconded by Miss Lewis, that the following resolution be adopted by the Board:

"WHEREAS, the Commonwealth of Kentucky owns and there is held by the State Board of Education 776 trustees' participation shares of the capital stock of the National Bank of Kentucky and The Louisville Trust Company, which stock is set aside as a part of the permanent common school fund of Kentucky, the income from said stock being used for the benefit of the common schools of the Commonwealth, and

"WHEREAS, the directors of the above named Bank and Trust Company notified holders of trustees' participation shares on July 19, 1929, that a corporation known as the Banco-Kentucky Company had been organized under the laws of Delaware with an authorized capital of 2,000,000 shares having a par value of \$10.00 per share,

Exhibit No. 5.

## Exhibit 160

the shares to be sold at \$25.00 each and that holders of trustees' participation shares could exchange these trustees' participation shares for shares in the new Company at the rate of two shares of stock in the Banco-Kentucky Company for one share of stock in the National Bank of Kentucky and The Louisville Trust Company, and

"WHEREAS, the State Board of Education, desiring to know more of this new enterprise, suggested by letter to Mr. Richard Bean, President of The Louisville Trust Company, on August 5 that some one appear before the State Board of Education to discuss this proposed plan of reorganization, and

"WHEREAS, Mr. Richard Bean appeared before the Board on August 13 and discussed the economic phases of the enterprise, and

"WHEREAS, there being doubt as to the legal right of the State Board of Education to make a sale of said shares under Section 185 of the Constitution and it appearing to the Board that the Legislature alone should authorize a sale of said shares and authorize the Sinking Fund Commission to invest the proceeds arising from the sale of said shares in such stock, bond or securities as it may recommend, a request was made of said Bank and Trust Company for their interpretation of the authority of the Board of Education to sell said shares and the Sinking Fund Commission to reinvest the proceeds of the sale of the same, and

"WHEREAS, Mr. T. K. Helm, Attorney for said Bank and Trust Company, wrote the Attorney General on August 29 to the effect that he thought the transfer could be made without an act of the Legislature and later appeared before the Board on September 11 and confirmed his former opinion with the suggestion that it would be advisable to proceed under the declaratory judgment act should the State Board of Education decide to sell said shares and the Sinking Fund Commission decide to invest the proceeds of the sale in the Banco-Kentucky Company, in view of the fact that an act of the Legislature, which is Chapter 56, page 241, Acts

## Exhibit 160

of 1920, was passed to authorize the change in 1919, made necessary by the consolidation of the Bank of Kentucky with certain other banks in Louisville, Kentucky, so far as the Commonwealth's stock was concerned, and

"WHEREAS, Mr. A. J. Carroll, Mr. T. K. Helm and Mr. Richard Bean appeared before a joint meeting of the State Board of Education and the Sinking Fund Commission on September 17, 1929, and reiterated what had formerly been said as to the legal and economic phases of the new enterprise so far as the Commonwealth is concerned, and

"WHEREAS, at all times the State Board of Education has felt, and has so stated, that an extension of time beyond September 19, 1929, the last day for making the exchanges of the stock referred to above, should be granted to the Commonwealth in accordance with Section 8, Subsection (a), of the notice published by The Louisville Trust Company and the National Bank of Kentucky to their stockholders dated July 19, 1929, in order that the Legislature might, in accordance with Section 185 of the Constitution, exercise discretion as to whether or not this sale and reinvestment should be made; the Board of Education feeling at all times that the Legislature, being the sole body possessing the authority to authorize a sale of the Commonwealth's stock in the National Bank of Kentucky and The Louisville Trust Company, should be given an opportunity at the 1930 session to determine whether it would or would not authorize the sale and reinvestment as set out above, and

"WHEREAS, the Board of Education has been advised that the Directors of the institutions set out above contemplate making no extension of time in behalf of the Commonwealth,

"BE IT RESOLVED THAT the National Bank of Kentucky, The Louisville Trust Company and the Banco-Kentucky Company be notified by a night letter of this date that the State Board of Education is firm in its belief that it has no legal



## Exhibit 160

authority to sell trustees' participation shares in said Bank and Trust Company, which shares belong to the Commonwealth and are a part of the permanent school fund.

"BE IT FURTHER RESOLVED THAT the officials of the above named institutions are earnestly urged to extend to the Commonwealth sufficient time for the 1930 Session of the Legislature to exercise its discretion under Section 185 of the Constitution as to the sale and reinvestment of the stock on the same terms as were granted to holders of trustees' participation shares on July 19, 1929.

"BE IT FURTHER RESOLVED THAT a copy of this resolution be sent to the President of the 1930 State Senate and to the Speaker of the 1930 House of Representatives with the request that it be read to each body and with the further request that a legislative committee be appointed to investigate the present condition of the stock held by the Commonwealth in the National Bank of Kentucky and The Louisville Trust Company to determine whether or not the stock should remain invested as at present or whether it should be sold and reinvested in the Banco-Kentucky Company or in some other good interest-bearing stock.

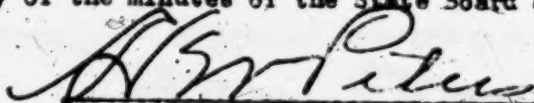
"BE IT FURTHER RESOLVED THAT copies of this resolution be sent to members of the Sinking Fund Commission and to the National Bank of Kentucky, The Louisville Trust Company and the Banco-Kentucky Company.

"The vote upon the above resolution was as follows:

Miss Lewis ----- Aye  
Gen. Cammack --- Aye  
Supt. Bell ----- Aye.

All voted 'Aye', and it was so ordered."

I, H. W. Peters, Superintendent of Public Instruction, hereby certify that the foregoing is a true and correct copy of the minutes of the State Board of Education on file in my office.



Superintendent Public Instruction and  
Chairman of the State Board of Education.

## Exhibit 161

LAW OFFICES

TRABUE, DOOLAN, HELM & HELM  
1211-1225 INTER-SOUTHERN BUILDING  
LOUISVILLE, KY.

August 29th., 1929.

Hon. J. W. Cammack,  
Attorney General,  
Frankfort, Kentucky.

Dear General:

Mr. Richard Bean, President of the Louisville Trust Company, has told me about his conference with you and members of the State Board of Education in regard to the Trustees' Participation Certificates in the National Bank of Kentucky and the Louisville Trust Company held by the State Board of Education for the school fund. He has requested that I take the matter up with you to see if some solution can be found for the proposed exchange of such certificates for stock in the new Banco Kentucky Company.

It seems to me that there are two questions involved:

First, as to the power of the Board of Education to sell or exchange the Trustees' Certificates, and

Second, the economic wisdom of the reinvestment in the Banco Kentucky stock by the Sinking Fund Commissioners.

It is the first of these questions, I assume, your office will be called upon to express an opinion for the guidance of other State officials.

Personally, I have no doubt of the power of the State Board of Education to make this sale. My conclusion is reached from a careful study of the Constitutional provisions in Sections 184 and 185, and the underlying history.

You will recall that Article XI of the Constitution of 1849 set up a trust fund for the common school fund, which included \$73,500 of stock of the Bank of Kentucky. Section 184 of the present Constitution contains similar provisions.

In the Constitutional Debates of 1891, Vol. III, page 457, in reporting upon a new provision authorizing the sale of this stock, the Committee explained the purpose of Section 185 as follows:

"Upon examination of the present Constitution, it was a matter of doubt whether the Legislature could by any act dispose of its stock in the Bank of Kentucky; whether the State was not bound under the provisions of the present Constitution to keep the stock in the way it is now held. This Fund amounts to \$73,000.00. While there is nothing to be said against that admirably managed and solvent

Exhibit 161

22.

institution, the object of the provision is simply to put it in the power of the Legislature to dispose of the stock, if, in the course of years any necessity should arise for doing so to secure the fund."

To carry out this purpose Section 185 of the Constitution was adopted and refers back to the "said school fund" mentioned in Section 184, and provides, in substance, for the disposition of the securities held in the school fund as follows:

"The General Assembly shall make provision by law for the payment of the interest of said school fund and may provide for the sale of the stock in the Bank of Kentucky, and in case of a sale of all or any part of said stock, the proceeds of sale shall be invested by the sinking fund commissioners in good interest bearing stocks or bonds which shall be subject to sale and reinvestment from time to time \* \* \*".

From Section 185 it is to be noted: first, that the Legislature is given the power to pass a law authorizing State boards or officials to sell stock in the Bank of Kentucky. The General Assembly has delegated such power to the State Board of Education as follows:

By Section 4377 of the Statutes the Board of Education is created a body corporate, and by Section 4378 of the Statutes such corporate body is authorized as follows:

"The corporation shall take, hold and dispose of real and personal estate for the benefit of the common schools of the State."

It seems clear to me that the words "take, hold and dispose of", used in this section, relate to a complete cycle (a) of acquiring, (b) possessing, and (c) selling, the trust funds set apart to the State Board of Education, including that specifically mentioned in the Constitution, Section 184.

In view of the report on the purpose of Section 185 where the stock of the Bank of Kentucky was specifically referred to as being the stock it might be deemed advisable "to dispose of", it seems to me that the words "to dispose of" must be given that ordinary judicial and commonsense meaning. You will recall from our previous correspondence and conversations that the words "to dispose of" have been held many times to be broader than the words "to sell". The words "to dispose of" may mean to barter or exchange or any form of alienation. This was held by the Supreme Court in the case of *Phelps v. Harris*, 101 U.S.370, where it was said:

"The expression 'to dispose of' is very broad and signifies more than 'to sell'. Selling is but one mode of disposing of property."



## Exhibit 161

The Court of Appeals in Sherrill v. Ouerbacker, 182 Ky., and again in Carpenter v. Allen, 198 Ky., 252, has held that the words "to dispose of" includes every form of alienation.

Black's Law Dictionary defines the word "dispose" as:

"To alienate or direct the ownership of property; \* \* \* called a word of large extent."

Hence, we find that under the Constitution of 1849 in 1891 there was a question raised as to whether the Legislature might authorize sale of the trust fund held for common school purposes, and for that very reason such power was given in Section 185 to the General Assembly, and the General Assembly, by Sections of the Statutes 4377 and 4378, has conferred the power on the Board of Education in the broadest possible terms - not simply to sell or exchange but to dispose of the property held by it.

Secondly, Section 185 of the Constitution is equally clear and self-executing in providing that "the proceeds of sale shall be invested by the Sinking Fund Commissioners in good interest bearing stocks or bonds, i.e."

If any doubt exists as to the power of the State Board of Education to dispose of the Trustees' Participation Certificates because of the power of the Sinking Fund Commissioners to reinvest, I suggest that the two Boards might hold a joint meeting and adopt the same resolution, meet in rotation as was done before. So far as the power of the Sinking Fund Commissioners to sell is concerned, Kentucky Statutes, Section 4592, provides:

"The Commissioners shall have authority to sell any bank stock owned by the Commonwealth and apply the proceeds to the payment or to the purchase of the bonds of the State."

It seems to me to be legally necessary to separate this sentence and treat it as a power in the Sinking Fund Commissioners to sell, and to ignore as surplusage the method suggested by the Legislature for reinvestment, because as to the shares of stock in the Bank of Kentucky the Constitution itself by Section 185 has specifically directed that if this stock is sold, the proceeds "shall be invested by the Sinking Fund Commissioners in other good interest bearing stocks or bonds which shall be subject to sale and reinvestment from time to time."

Whether or not the Legislature's intention as to the sale of the bank's stock can be limited by the Constitution in so far as that power was conferred upon the Sinking Fund Commissioners, it certainly was within the power of the Legislature to delegate such power to the State Board of Education and even as to the Sinking Fund Commissioners I believe that the power to sell was delegated, and that only the Legislature's restriction as to how the proceeds should be reinvested would be rejected as contrary to the Constitution.

44.

From the foregoing, it seems to be perfectly clear that the Board of Education has the power to sell and that the Sinking Fund Commissioners have the power to reinvest, and that by joint or concurring resolution the two have all the Legislative power that is necessary to carry out this exchange if the economic advantages of the exchange are approved by the respective boards.

There are precedences for the proposed action although none of them go to the full extent of the present exchange. The stock in the Sinking Fund was originally in the old Bank of Kentucky, a state corporation, which included branches at Lexington and Frankfort. When these branches were separated from the parent company the State received its share in the separate institutions. When the Bank of Kentucky was nationalized it ceased to be a State institution and the exchange of stock from a state to a national institution was effected with the consent of the State officials. The National Bank of Kentucky to a very large extent changed its identity, location and officials when it was merged with the National Bank of Commerce and the American Southern National Bank, which latter included four or five absorbed state institutions in its organization; and, finally about two years ago the stock therein was exchanged into Trustees' Participation Certificates which represented an interest of 80% in the Bank of Kentucky stock and 20% in the Louisville Trust Company stock.

The now proposed change in the holding of Trustees' Participation Certificates is the unanimous recommendation of the same men who proposed and put through the other changes which have certainly proved very profitable to the stockholders, including the State of Kentucky.

The \$73,500 of stock in the Bank of Kentucky, referred to in the Constitution, you will recall was supplemented by the purchase by Auditor Samuel H. Stone in 1897 of an additional 63 shares. However, in April 1920, incident to one of the mergers the State received a refund of \$45,921.40, and even after this refund the original investment has now present market value of over \$364,000, in addition to receiving during all of the time very handsome dividend returns.

It is true that the present BancorKentucky Company's form is somewhat different from that which I had in mind and discussed with you early last year, but nevertheless it is an extension of the same idea referred to in my letter to you of March 27th., 1923, when I said "As I explained to you, the Bank is also contemplating further cooperative moves". In the opinion of all the officials and directors the present move tends to offer the best prospects of profit to the stockholders, and is regarded as absolutely sound. In fact, the plan is conditioned upon the holders of at least the majority in amount of Trustees' Participation Certificates owning at least a majority in amount of the stock in the BancorKentucky Company, which is under the same management and officers as have heretofore successfully conducted the other institutions.

If I can be of any assistance to you in preparing resolutions or explaining the matter in detail to the Board of Education, or the

Exhibit 161

#8.

Sinking Fund Commissioners I hope you will feel free to call upon me and I will gladly co-operate to expedite matters.

Yours very truly,

TKH:DP



# Exhibit 162

Louisville, Ky., July 19, 1929.

## SUBSCRIPTION TO SHARES

OF

### THE BANCO KENTUCKY COMPANY

INCORPORATED

(Under the Reorganization Plan as outlined in the letter of the Trustees,  
dated July 19, 1929.)

To the Trustees of

NATIONAL BANK OF KENTUCKY  
AND

THE LOUISVILLE TRUST COMPANY:  
421 WEST MARKET ST.

I hereby deposit with you endorsed in blank and witnessed Trustees' Participation Certificates for an aggregate of 3 Participation Shares of the National Bank of Kentucky and The Louisville Trust Company, in exchange for which you are to cause to be issued to me Two (2) shares of stock of The Banco Kentucky Company for each Participation Share herewith deposited, fully paid and non-assessable, under the terms of the Reorganization Plan as outlined in your letter of July 19, 1929, if when and as said Plan shall become effective as therein provided.

Signature of Stockholder.

Address

## Exhibit 163

EDMUND F. TRABUE  
JOHN C. DOOLAN  
T. A. HELM  
JAMES P. HELM, JR.  
JOHN BLAKEY HELM  
HARRIS W. COLEMAN  
JAMES W. STITES  
THOMAS J. WOOD  
NELSON HELM

LAW OFFICES  
TRABUE, DOOLAN, HELM & HELM  
1210-1225 INTER SOUTHERN BUILDING  
LOUISVILLE, KY.

April 30, 1930.

Mr. C. F. Jones,  
Vice-President, National Bank of Kentucky,  
Louisville, Ky.

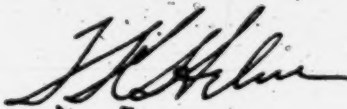
Dear Sir:

I am enclosing a self-explanatory statement of what was a complete bust owing to the adverse position of Senator Young. I think the statement is self-explanatory in that it shows actual cash disbursements and a reasonable per diem for out of the office services.

I do not know whether this should be charged to the Banco Kentucky Company or the Bank of Kentucky or to the Trustees, and am submitting the whole matter to your discretion in the hope that you will advise me as to how and when it should be paid.

Yours very truly,

TKH-ML.



District Court  
the U. S.  
A. M. Jones  
David J.  
Plaintiff's Expenditures  
Plaintiff  
Expenditures  
742

SOUTHERN BUILDING.

Exhibit 163

LOUISVILLE, Ky., April 30, 1930.

National Bank of Kentucky  
of  
The Banco Kentucky Company

IN ACCOUNT WITH

TRABUE, DOOLAN, HELM & HELM, ATTORNEYS.

To meetings with Board of Education and Sinking Fund Commissioners to stand by their previous determination recommending a transfer of the State of Kentucky's Trustees' Participation Certificates for National Bank of Kentucky-Louisville Trust Company stock into the stock of the Banco-Kentucky Company.

Preparation, introduction and promotion of Senate Resolution 29, defeated by Senator Allie Young when all others were favorable.

3 trips to Frankfort, one extending from 2:00 P.M. to the following night at 10:30 P.M.

Cash disbursements

\$ 31.20

Fee for services on time basis

300.00

Total - \$331.20



# Exhibit 164

EDMUND F. TRABUE  
JOHN C. DOOLAN  
T. A. HELM  
JAMES P. HELM, JR.  
JOHN BLAREY HELM  
HARRIS W. COLEMAN  
JAMES W. BYTES  
THOMAS J. WOOD  
NELSON HELM

Law OFFICES  
TRABUE, DOOLAN, HELM & HELM  
1210-1225 INTER-SOUTHERN BUILDING  
LOUISVILLE, KY.

February 14, 1930.

By Messenger.

IMPORTANT.

Mr. Chas. F. Jones,  
Vice-President, The Bank of Kentucky,  
Fifth & Main Sts.,  
Louisville, Ky.

District Court of the  
the Western District of Kentucky

A. M. Anderson, Receiver.....Plaintiff

David J. Anderson, et al.....Defendants

Plaintiff's Defendants Exhibit No. 164

Dear Sir:

Mr. Brown did not attend The Louisville Trust Company Directors' meeting, as you anticipated, and I, therefore, could not pursue further with him the two subjects concerning which I telephoned you this morning.

(1) I am enclosing a letter just received from Superintendent W.C. Bell, as Chairman of the State Board of Education, stating that there will be a meeting on Monday, February 17th. This is the same meeting concerning which I telephoned you on February 4th was called for the 5th, but was not held on that day.

Attorney General Cammack informed me on the 4th that from recent talks with Superintendent Bell he thought that the Board would recede from or take back their action, requesting an extension of time, and expressing a favorable opinion for the exchange of the Trustees' Participation Certificates into Banco Kentucky Company stock.

I expressed surprise at this proposed action as the stock has been withheld for the State at their request, and stated that I could not assume responsibility of attending the meeting and insisting on a resolution being introduced, though I felt very much like it should be done to carry out the moral obligation of the officers of the State. I told him I would notify you, and did so, and have received the attached letter from Superintendent Bell, which may be interpreted in the light of what I have said.

(2) The suggested repeal of that part of the Statute which prohibits any one person or company directly or indirectly owning more than 50% of the stock in a Kentucky bank or trust company, needs some active and intensive attention. The Jurisprudence Committee of the Kentucky Bankers Association has not made this one of its measures but adopted a "hand-off" policy. The Banking Commissioner has expressed a willingness to promote such a Bill, but the Attorney General seems reluctant to aid him in doing so. The situation is complicated by the fact that Mr. A. H. Eckels, President of the Planters Bank and Trust Company of Hopkinsville, is actively promoting a Bill to permit State banks having a capital and surplus of \$100,000.

Exhibit 164

to establish branches in their own and adjoining counties by the purchase of existing small banks. Ex-Senator J.K. Waller of Morganfield, would be interested in the Bill if the capital and surplus limitation of the parent bank were reduced to \$150,000.00, and if the right to acquire branches were limited to the county.

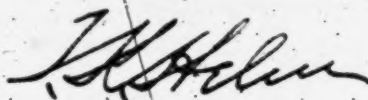
All these diversified and conflicting advices have gotten the State officials up in the air at a time when they say the whole legislative situation is most complicated with personal controversies.

I think it very obvious that the interests and the legal rights of The BancoKentucky Company should be protected by the first amendment repealing the limitation to the ownership of not exceeding 50% of the stock of any bank or trust company in Kentucky, and unless the people interested get together and outline their plans, I doubt very seriously the chance of passing any remedial bill; and I have been ready, as you know, for several months to go to this subject with you and Mr. Brown to consider what may be accomplished, or have you take it up with some one else, if you prefer, to try to protect the BancoKentucky Company in the way suggested.

The suggested way for enforcing this law is to deny voting power to the person owning more than a majority of the stock, and to suspend all dividends thereon until the stock is disposed of, and there may be other remedies, and it does not take much argument to see how seriously such a threat, if instigated by competitors, would be to The BancoKentucky Company.

Yours very truly,

TKH-ML.







## EXHIBIT No. BD-1.

STATE OF ILLINOIS }  
COUNTY OF COOK } ss.

I, WARREN A. MARLER, of the City of Chicago, in the County of Cook and State of Illinois, being duly sworn do say that I am Assistant Secretary of The Chicago Stock Exchange; that in the performance of my official duties I have had knowledge of the transactions occurring on the said Exchange; that in the past transactions were had in the stock of The BancoKentucky Company on said Exchange.

Deponent further says that the annexed statements containing nine pages are true and exact extracts from the official records of the said Exchange and that said records reflect the transactions in the stock of The BancoKentucky Company as they occurred on the said Exchange for the days set forth in said annexed statements.

(Signed) WARREN A. MARLER,  
Assistant Secretary.

(Seal)

Subscribed and sworn to  
before me this 28th  
day of January, A.D. 1932.

(Signed) MARTIN E. NELSON,  
Notary Public.

My commission expires Jan. 31, 1933.

Exhibit No. BD-1

## THE BANCOKENTUCKY CO. COMMON.

1929 Oct	Weekly Sales	Daily Sales	High	Low	Close	Monthly Sales
1						
2						
3						
4						
5						
6						
7						
8		2,000	28½	27¼	28½	
9		4,100	30¾	28¾	30½	
10		1,950	30½	30	30½	
11		4,400	32	31	31½	
12		Holiday				
13		Sunday				
14		2,000	33⅛	32⅛	33⅛	
15		5,900	34¼	33¼	33½	
16		2,500	34¼	32¾	32¾	
17		1,900	33¾	32½	33	
18		950	32½	31¾	32	
19		1,500	31⅝	30¾	31½	
20						
21		2,450	30¾	29¾	29¾	
22		1,150	30	29½	30	
23		1,150	29¼	28¾	29	
24		5,650	29	26⅝	27½	
25		1,350	28¼	27¾	28	
26		450	28¼	28	28¼	
27						
28		2,000	28⅛	25¾	25¾	
29		5,500	25	22½	23¼	
30		4,150	24	22½	24	
31		1,950	25	23¾	25	

Sales for Month 53,000.00.

225

Exhibit No. BD-1

1929 Nov	Weekly Sales	Daily Sales	High	Low	Close	Monthly Sales
1		Closed				
2		Closed				
3		Sunday				
4		2,700	26 $\frac{7}{8}$	25	26	
5		Closed				
6		1,150	26	25	25	
7		950	24 $\frac{3}{4}$	23 $\frac{3}{4}$	24 $\frac{3}{4}$	
8		1,600	24 $\frac{3}{4}$	24	24 $\frac{3}{4}$	
9		Closed				
10						
11		Holiday				
12		1,900	23 $\frac{1}{2}$	22 $\frac{1}{2}$	22 $\frac{1}{2}$	
13		3,150	21 $\frac{1}{2}$	19 $\frac{7}{8}$	21 $\frac{1}{2}$	
14		2,300	21 $\frac{1}{2}$	19 $\frac{1}{2}$	21 $\frac{1}{2}$	
15		2,350	22	20	22	
16		Closed				
17						
18		300	22	21 $\frac{7}{8}$	22	
19		500	22	21 $\frac{1}{8}$	22	
20		300	22	21 $\frac{1}{2}$	21 $\frac{3}{4}$	
21		250	21 $\frac{3}{4}$	21 $\frac{1}{8}$	21 $\frac{3}{4}$	
22		300	21 $\frac{3}{4}$	21 $\frac{1}{2}$	21 $\frac{3}{4}$	
23		Closed				
24						
25		3,050	23 $\frac{1}{2}$	21 $\frac{1}{8}$	23 $\frac{1}{2}$	
26		750	23 $\frac{1}{2}$	22 $\frac{1}{2}$	22 $\frac{1}{2}$	
27		150	22 $\frac{1}{2}$	21 $\frac{1}{2}$	22 $\frac{1}{2}$	
28		Holiday				
29		Closed				
30		Closed				

Sales for Month 21,700.



Exhibit No. BD-1

1929 Dec.	Weekly Sales	Daily Sales	High	Low	Close	Monthly Sales
1		Sunday				
2		250	23	23	23	
3		100	22 $\frac{1}{2}$	22 $\frac{1}{2}$	22 $\frac{1}{2}$	
4		550	23 $\frac{1}{2}$	22 $\frac{1}{2}$	22 $\frac{1}{2}$	
5		50	22 $\frac{1}{2}$	22 $\frac{1}{2}$	22 $\frac{1}{2}$	
6		150	22 $\frac{1}{2}$	22	22 $\frac{1}{2}$	
7		100	22 $\frac{1}{2}$	22 $\frac{1}{2}$	22 $\frac{1}{2}$	
8						
9		700	23	22 $\frac{1}{2}$	23	
10		250	23	22 $\frac{1}{4}$	23	
11		50	22 $\frac{7}{8}$	22 $\frac{7}{8}$	22 $\frac{7}{8}$	
12		300	22 $\frac{1}{4}$	22 $\frac{1}{8}$	22 $\frac{1}{8}$	
13		350	22	22	22	
14		50	21 $\frac{7}{8}$	21 $\frac{7}{8}$	21 $\frac{7}{8}$	
15						
16		150	21 $\frac{3}{4}$	21 $\frac{3}{8}$	21 $\frac{3}{4}$	
17		100	22	22	22	
18		700	22	21 $\frac{1}{2}$	21 $\frac{1}{2}$	
19						
20		350	21	20 $\frac{3}{4}$	21	
21		400	21	20 $\frac{1}{2}$	21	
22						
23		750	21 $\frac{1}{2}$	20 $\frac{1}{2}$	20 $\frac{1}{2}$	
24		700	21	19 $\frac{3}{4}$	20 $\frac{1}{2}$	
25		Holiday				
26		500	20	19	19	
27		1,000	20 $\frac{1}{2}$	18 $\frac{1}{2}$	20 $\frac{1}{2}$	
28		200	20	19	20	
29						
30		400	20 $\frac{1}{2}$	20	20 $\frac{1}{2}$	
31		500	20 $\frac{5}{8}$	19 $\frac{3}{4}$	20 $\frac{5}{8}$	

Sales for Month 8,650.

Exhibit 165

227

Exhibit No. BD-1

1930 Jan	Weekly Sales	Daily Sales	High	Low	Close	Monthly Sales
1		Holiday				
2		250	20½	20	20½	
3		450	21	20¾	20¾	
4		150	21	20¾	21	
5		Sunday				
6		300	21½	21	21½	
7		50	21½	21½	21½	
8		300	21½	21	21¼	
9		150	21¾	21¼	21¾	
10		No sales				
11		250	21½	21½	21½	
12						
13		100	21½	21½	21½	
14		700	22	21	21	
15		50	21½	21½	21½	
16		200	21½	21	21	
17		No sales				
18		200	20¾	19¾	20½	
19						
20		1,000	20½	19½	20	
21		500	21	19¾	21	
22		200	21	20¼	21	
23		No sales				
24		150	21	20¼	21	
25		No sales				
26						
27		50	20	20	20	
28		300	20¾	20¼	20¾	
29		200	20¼	19	20¼	
30		No sales				
31		200	20	19	20	

Sales for Month: 5,750.

2065

228

## Exhibit No. BD-1

1930 Feb	Weekly Sales	Daily Sales	High	Low	Close	Monthly Sales
1		50	20	20	20	
2		Sunday				
3		150	20	195 $\frac{1}{8}$	20	
4		100	20	193 $\frac{1}{4}$	20	
5		150	20 $\frac{1}{4}$	193 $\frac{1}{4}$	20 $\frac{1}{4}$	
6		400	20 $\frac{1}{4}$	20	20 $\frac{1}{4}$	
7		300	20 $\frac{1}{4}$	20	20 $\frac{1}{4}$	
8		50	20 $\frac{1}{4}$	20 $\frac{1}{4}$	20 $\frac{1}{4}$	
9						
10		1,150	20 $\frac{1}{4}$	20	20	
11		850	20 $\frac{1}{4}$	20	20 $\frac{1}{4}$	
12		Holiday				
13		100	20 $\frac{1}{4}$	20	20	
14		300	20	20	20	
15		350	20 $\frac{1}{4}$	20	20 $\frac{1}{4}$	
16						
17		250	20 $\frac{1}{4}$	20	20 $\frac{1}{4}$	
18		300	20	20	20	
19		900	20 $\frac{1}{4}$	193 $\frac{1}{4}$	20 $\frac{1}{4}$	
20		50	20 $\frac{1}{8}$	20 $\frac{1}{8}$	20 $\frac{1}{8}$	
21		150	20 $\frac{1}{4}$	20	20 $\frac{1}{4}$	
22		Holiday				
23						
24		150	20 $\frac{1}{4}$	20	20 $\frac{1}{4}$	
25		1,050	21	20 $\frac{1}{4}$	207 $\frac{1}{8}$	
26		100	207 $\frac{1}{8}$	20 $\frac{1}{2}$	207 $\frac{1}{8}$	
27		150	207 $\frac{1}{8}$	20 $\frac{1}{2}$	207 $\frac{1}{8}$	
28		300	207 $\frac{1}{8}$	20 $\frac{1}{8}$	20 $\frac{1}{4}$	

Sales for Month 7,350.



Exhibit No. BD-1

1930 Mar	Weekly Sales	Daily Sales	High	Low	Close	Monthly Sales
1		50	20 <sup>3</sup> / <sub>4</sub>	20 <sup>3</sup> / <sub>4</sub>	20 <sup>3</sup> / <sub>4</sub>	
2		Sunday				
3		650	20 <sup>5</sup> / <sub>8</sub>	20	20 <sup>3</sup> / <sub>8</sub>	
4		50	20 <sup>3</sup> / <sub>8</sub>	20 <sup>3</sup> / <sub>8</sub>	20 <sup>3</sup> / <sub>8</sub>	
5		700	20 <sup>3</sup> / <sub>8</sub>	20	20	
6		1,600	20 <sup>3</sup> / <sub>4</sub>	20	20 <sup>3</sup> / <sub>4</sub>	
7		500	20 <sup>1</sup> / <sub>2</sub>	20 <sup>1</sup> / <sub>2</sub>	20 <sup>1</sup> / <sub>2</sub>	
8		200	20 <sup>5</sup> / <sub>8</sub>	20	20 <sup>1</sup> / <sub>4</sub>	
9						
10		50	20 <sup>1</sup> / <sub>2</sub>	20 <sup>1</sup> / <sub>2</sub>	20 <sup>1</sup> / <sub>2</sub>	
11		No sales				
12		200	20 <sup>5</sup> / <sub>8</sub>	20 <sup>1</sup> / <sub>2</sub>	20 <sup>5</sup> / <sub>8</sub>	
13		200	20 <sup>5</sup> / <sub>8</sub>	20 <sup>5</sup> / <sub>8</sub>	20 <sup>5</sup> / <sub>8</sub>	
14		350	20 <sup>1</sup> / <sub>2</sub>	20 <sup>3</sup> / <sub>8</sub>	20 <sup>3</sup> / <sub>8</sub>	
15		200	20 <sup>1</sup> / <sub>4</sub>	20 <sup>1</sup> / <sub>4</sub>	20 <sup>1</sup> / <sub>4</sub>	
16						
17		No sales				
18		650	21 <sup>1</sup> / <sub>2</sub>	20 <sup>1</sup> / <sub>2</sub>	21 <sup>1</sup> / <sub>2</sub>	
19		500	22 <sup>1</sup> / <sub>2</sub>	21 <sup>1</sup> / <sub>4</sub>	22 <sup>1</sup> / <sub>4</sub>	
20		300	22 <sup>1</sup> / <sub>2</sub>	22	22	
21		850	23	22 <sup>1</sup> / <sub>2</sub>	23	
22		100	23 <sup>1</sup> / <sub>2</sub>	23 <sup>1</sup> / <sub>2</sub>	23 <sup>1</sup> / <sub>2</sub>	
23						
24		100	24	23 <sup>1</sup> / <sub>2</sub>	23 <sup>1</sup> / <sub>2</sub>	
25		150	23 <sup>7</sup> / <sub>8</sub>	23 <sup>5</sup> / <sub>8</sub>	23 <sup>5</sup> / <sub>8</sub>	
26		800	24	23 <sup>3</sup> / <sub>4</sub>	23 <sup>3</sup> / <sub>4</sub>	
27		1,050	24 <sup>1</sup> / <sub>2</sub>	24	24 <sup>1</sup> / <sub>2</sub>	
28		1,800	24 <sup>3</sup> / <sub>4</sub>	24 <sup>1</sup> / <sub>4</sub>	24 <sup>3</sup> / <sub>4</sub>	
29		350	24 <sup>7</sup> / <sub>8</sub>	24 <sup>3</sup> / <sub>4</sub>	24 <sup>7</sup> / <sub>8</sub>	
30						
31		950	25	24 <sup>3</sup> / <sub>4</sub>	24 <sup>7</sup> / <sub>8</sub>	

Sales for Month 12,350.

165

234

Exhibit No. BD-1

1930 Aug	Weekly Sales	Daily Sales	High	Low	Close	Monthly Sales
1		No sales				
2		100	20	20	20	
3		Sunday				
4		No sales				
5		50	20	20	20	
6		100	20	20	20	
7		50	19	19	19	
8		No sales				
9		100	16	16	16	
10						
11		100	17 $\frac{1}{8}$	17	17	
12		400	17	16 $\frac{1}{2}$	17	
13		50	16 $\frac{1}{2}$	16 $\frac{1}{2}$	16 $\frac{1}{2}$	
14		100	16 $\frac{1}{2}$	16 $\frac{1}{2}$	16 $\frac{1}{2}$	
15		No sales				
16		50	16 $\frac{3}{4}$	16 $\frac{3}{4}$	16 $\frac{3}{4}$	
17						
18		No sales				
19		50	16 $\frac{3}{4}$	16 $\frac{3}{4}$	16 $\frac{3}{4}$	
20		50	16 $\frac{3}{4}$	16 $\frac{3}{4}$	16 $\frac{3}{4}$	
21		100	16 $\frac{7}{8}$	16 $\frac{7}{8}$	16 $\frac{7}{8}$	
22		No sales				
23		50	16 $\frac{7}{8}$	16 $\frac{7}{8}$	16 $\frac{7}{8}$	
24						
25		No sales				
26		No sales				
27		No sales				
28		No sales				
29		No sales				
30		Closed				
31						

Sales for Month 1,350.

2055

165

235

## Exhibit No. BD-1

1930 Sep	Weekly Sales	Daily Sales	High	Low	Close	Monthly Sales
1		Holiday				
2		No sales				
3		100	17	16 $\frac{7}{8}$	16 $\frac{7}{8}$	
4		100	17	17	17	
5		50	16 $\frac{7}{8}$	16 $\frac{7}{8}$	16 $\frac{7}{8}$	
6		50	16 $\frac{7}{8}$	16 $\frac{7}{8}$	16 $\frac{7}{8}$	
7		Sunday				
8		50	16 $\frac{7}{8}$	16 $\frac{7}{8}$	16 $\frac{7}{8}$	
9		No sales				
10		No sales				
11		50	17 $\frac{1}{4}$	17 $\frac{1}{4}$	17 $\frac{1}{4}$	
12		No sales				
13		No sales				
14						
15		100	17	17	17	
16		No sales				
17		100	17	17	17	
18		150	16 $\frac{7}{8}$	16 $\frac{1}{2}$	16 $\frac{1}{2}$	
19		No sales				
20		No sales				
21						
22		No sales				
23		No sales				
24		50	16	16	16	
25		No sales				
26		No sales				
27		No sales				
28						
29		No sales				
30		100	15 $\frac{3}{4}$	15 $\frac{3}{4}$	15 $\frac{3}{4}$	

Sales for Month 900.

2076



## Exhibit No. BD-1

1930 Oct	Weekly Sales	Daily Sales	High	Low	Close	Monthly Sales
1		No sales				
2		No sales				
3		100	16	16	16	
4		50	16 $\frac{1}{4}$	16 $\frac{1}{4}$	16 $\frac{1}{4}$	
5		Sunday				
6		300	16	15 $\frac{3}{8}$	15 $\frac{3}{8}$	
7		350	15 $\frac{5}{8}$	15 $\frac{1}{2}$	15 $\frac{1}{2}$	
8		350	15 $\frac{1}{2}$	15 $\frac{1}{8}$	15 $\frac{1}{8}$	
9		350	16 $\frac{1}{4}$	15 $\frac{1}{2}$	15 $\frac{7}{8}$	
10		650	15 $\frac{1}{4}$	15	15	
11		150	15	15	15	
12						
13		Holiday				
14		50	14 $\frac{7}{8}$	14 $\frac{7}{8}$	14 $\frac{7}{8}$	
15		150	14 $\frac{5}{8}$	14 $\frac{1}{2}$	14 $\frac{1}{2}$	
16		No sales				
17		100	14 $\frac{1}{2}$	14 $\frac{1}{2}$	14 $\frac{1}{2}$	
18		No sales				
19						
20		No sales				
21		150	13 $\frac{1}{4}$	13	13 $\frac{1}{4}$	
22		250	12 $\frac{1}{2}$	11 $\frac{3}{4}$	11 $\frac{3}{4}$	
23		200	13	12 $\frac{5}{8}$	13	
24		450	13	12 $\frac{7}{8}$	13	
25		No sales				
26						
27		100	13	13	13	
28		300	13	13	13	
29		350	13	12 $\frac{1}{2}$	12 $\frac{1}{2}$	
30		350	12 $\frac{7}{8}$	12 $\frac{1}{2}$	12 $\frac{1}{2}$	
31		400	12 $\frac{3}{8}$	12	12	

Sales for Month 5,150.

165

237

## Exhibit No. BD-1

1930 Nov	Weekly Sales	Daily Sales	High	Low	Monthly Close Sales
1		No sales			
2		Sunday			
3		200	12	12	12
4		Holiday			
5		1,100	12	11	11
6		1,300	11 $\frac{1}{8}$	9 $\frac{3}{4}$	9 $\frac{7}{8}$
7		1,550	9 $\frac{3}{4}$	9	9
8		500	9 $\frac{1}{8}$	9	9
9					
10		1,700	9	8 $\frac{1}{2}$	9
11		Holiday			
12		2,800	8 $\frac{1}{2}$	7 $\frac{1}{2}$	8
13		800	7 $\frac{1}{2}$	7 $\frac{1}{2}$	7 $\frac{1}{2}$
14		3,100	8	7 $\frac{7}{8}$	7 $\frac{7}{8}$
15		2,200	7 $\frac{7}{8}$	6 $\frac{1}{2}$	7
16					
17		23,500	2	1 $\frac{1}{8}$	1 $\frac{1}{2}$
18		34,800	1 $\frac{3}{4}$	$\frac{7}{8}$	1
19		17,800	$\frac{7}{8}$	$\frac{1}{2}$	$\frac{5}{8}$
20		16,700	$\frac{5}{8}$	$\frac{3}{8}$	$\frac{1}{2}$
21		2,100	$\frac{1}{2}$	$\frac{3}{8}$	$\frac{1}{2}$
22		17,700	$\frac{3}{8}$	$\frac{1}{8}$	$\frac{1}{4}$
23					
24		5,800	$\frac{1}{2}$	$\frac{1}{4}$	$\frac{3}{8}$
25		7,000	$\frac{5}{8}$	$\frac{1}{4}$	$\frac{1}{4}$
26		4,000	$\frac{1}{2}$	$\frac{3}{8}$	$\frac{3}{8}$
27		Holiday			
28		4,300	$\frac{3}{8}$	$\frac{1}{4}$	$\frac{1}{4}$
29		6,200	$\frac{3}{8}$	$\frac{1}{4}$	$\frac{3}{8}$
30					

Sales for Month 155,150.

2075

## Exhibit No. BD-1

1930 Dec	Weekly Sales	Daily Sales	High	Low	Close	Monthly Sales
1		1,200	$\frac{3}{8}$	$\frac{3}{8}$	$\frac{3}{8}$	
2		2,500	$\frac{3}{8}$	$\frac{1}{4}$	$\frac{3}{8}$	
3		200	$\frac{3}{8}$	$\frac{3}{8}$	$\frac{3}{8}$	
4		3,050	$\frac{3}{8}$	$\frac{1}{4}$	$\frac{3}{8}$	
5		2,250	$\frac{1}{4}$	$\frac{1}{4}$	$\frac{1}{4}$	
6		700	$\frac{3}{8}$	$\frac{1}{4}$	$\frac{1}{4}$	
7		Sunday				
8		3,300	$\frac{1}{4}$	$\frac{1}{4}$	$\frac{1}{4}$	
9		3,500	$\frac{3}{8}$	$\frac{1}{4}$	$\frac{1}{4}$	
10		3,200	$\frac{1}{4}$	$\frac{1}{4}$	$\frac{1}{4}$	
11		5,800	$\frac{1}{4}$	$\frac{1}{8}$	$\frac{1}{4}$	
12		900	$\frac{1}{4}$	$\frac{1}{8}$	$\frac{1}{4}$	
13		700	$\frac{1}{8}$	$\frac{1}{8}$	$\frac{1}{8}$	
14						
15						
16		1,100	$\frac{1}{8}$	$\frac{1}{8}$	$\frac{1}{8}$	
17		1,000	$\frac{1}{8}$	$\frac{1}{8}$	$\frac{1}{8}$	
18		2,500	$\frac{1}{8}$	$\frac{1}{8}$	$\frac{1}{8}$	
19		1,150	$\frac{1}{8}$	$\frac{1}{8}$	$\frac{1}{8}$	
20		1,650	$\frac{1}{8}$	$\frac{1}{8}$	$\frac{1}{8}$	
21						
22		1,350	$\frac{1}{4}$	$\frac{1}{8}$	$\frac{1}{4}$	
23		4,150	$\frac{1}{4}$	$\frac{1}{8}$	$\frac{1}{8}$	
24		2,500	$\frac{1}{8}$	$\frac{1}{8}$	$\frac{1}{8}$	
25		Holiday				
26		1,200	$\frac{1}{8}$	$\frac{1}{8}$	$\frac{1}{8}$	
27		4,300	$\frac{1}{8}$	$\frac{1}{8}$	$\frac{1}{8}$	
28						
29		7,400	$\frac{1}{8}$	$\frac{1}{8}$	$\frac{1}{8}$	
30		6,400	$\frac{1}{8}$	$\frac{1}{8}$	$\frac{1}{8}$	
31		3,200	$\frac{1}{8}$	$\frac{1}{8}$	$\frac{1}{8}$	

Sales for Month 65,200.



165

239

## Exhibit No. BD-1

1931 Jan	Weekly Sales	Daily Sales	High	Low	Close	Monthly Sales
1		Holiday				
2		1,200	$\frac{1}{8}$	$\frac{1}{8}$	$\frac{1}{8}$	
3		130	$\frac{1}{4}$	$\frac{1}{8}$	$\frac{1}{4}$	
4		Sunday				
5		600	$\frac{1}{4}$	$\frac{1}{8}$	$\frac{1}{4}$	
6		1,000	$\frac{1}{4}$	$\frac{1}{4}$	$\frac{1}{4}$	
7		7,200	$\frac{1}{4}$	$\frac{1}{8}$	$\frac{1}{8}$	
8		Removed from the list.				

Sales for Month 10,150.

2080

Exhibit 165

230

Exhibit No. BD-1

1930 Apr	Weekly Sales	Daily Sales	High	Low	Close	Monthly Sales
1		300	25	24 $\frac{1}{2}$	24 $\frac{3}{4}$	
2		900	24 $\frac{7}{8}$	24 $\frac{1}{2}$	24 $\frac{3}{4}$	
3		300	24 $\frac{3}{4}$	24 $\frac{1}{2}$	24 $\frac{1}{2}$	
4		50	24	24	24	
5		300	24	23 $\frac{1}{2}$	23 $\frac{1}{2}$	
6		Sunday				
7		300	23 $\frac{1}{2}$	23	23 $\frac{1}{4}$	
8		200	23 $\frac{1}{4}$	23 $\frac{1}{8}$	23 $\frac{1}{8}$	
9		150	23 $\frac{1}{4}$	23 $\frac{1}{8}$	23 $\frac{1}{8}$	
10		850	23 $\frac{1}{2}$	23 $\frac{1}{8}$	23 $\frac{1}{8}$	
11		250	23 $\frac{1}{8}$	23 $\frac{1}{8}$	23 $\frac{1}{8}$	
12		No sales				
13						
14		No sales				
15		350	23	23	23	
16		600	23 $\frac{3}{4}$	23 $\frac{1}{4}$	23 $\frac{1}{4}$	
17		100	23 $\frac{1}{2}$	23 $\frac{1}{2}$	23 $\frac{1}{2}$	
18		Holiday				
19		Closed				
20						
21		1,000	24 $\frac{1}{4}$	23 $\frac{1}{2}$	24 $\frac{1}{4}$	
22		200	24	24	24	
23		500	24	23 $\frac{1}{2}$	24	
24		100	23 $\frac{1}{2}$	23 $\frac{1}{4}$	23 $\frac{1}{4}$	
25		No sales				
26		750	23 $\frac{5}{8}$	23 $\frac{1}{8}$	23 $\frac{1}{2}$	
27						
28		450	23 $\frac{1}{2}$	23 $\frac{1}{4}$	23 $\frac{1}{2}$	
29		400	23 $\frac{3}{8}$	23 $\frac{1}{4}$	23 $\frac{1}{4}$	
30		350	23 $\frac{1}{4}$	23 $\frac{1}{8}$	23 $\frac{1}{4}$	

Sales for Month 8,100.



231

## Exhibit No. BD-1

1930 May	Weekly Sales	Daily Sales	High	Low	Close	Monthly Sales
1		No sales				
2		950	23	23	23	
3		2,750	23	22 $\frac{1}{8}$	23	
4		Sunday				
5		2,700	23 $\frac{1}{8}$	23	23	
6		600	23	23	23	
7		400	23	23	23	
8		No sales				
9		100	23 $\frac{1}{8}$	23 $\frac{1}{8}$	23 $\frac{1}{8}$	
10		100	23 $\frac{1}{8}$	23	23	
11						
12		200	23	23	23	
13		650	23 $\frac{1}{8}$	23	23	
14		1,000	23	23	23	
15		800	23	23	23	
16		250	23	23	23	
17		No sales				
18						
19		700	23	23	23	
20		200	23	23	23	
21		150	23	23	23	
22		1,600	23	23	23	
23		900	23	23	23	
24		300	23	23	23	
25						
26		1,150	23	23	23	
27		50	23	23	23	
28		900	23 $\frac{1}{4}$	23	23	
29		100	23 $\frac{1}{8}$	23 $\frac{1}{8}$	23 $\frac{1}{8}$	
30		Holiday				
31		Closed				

Sales for Month 16,550.



232

## Exhibit No. BD-1

1930 Jun	Weekly Sales	Daily Sales	High	Low	Close	Monthly Sales
1		Sunday				
2		1,550	23½	23	23½	
3		1,350	23½	23¼	23¼	
4		150	23¼	23¼	23¼	
5		1,050	23¼	23	23	
6		500	23	23	23	
7		800	23	23	23	
8						
9		1,650	23	23	23	
10		4,300	23	23	23	
11		2,550	23	23	23	
12		5,200	23	23	23	
13		6,200	23	22½	23	
14		700	23	23	23	
15						
16		850	21¾	21	21	
17		650	20¾	20	20¾	
18		300	20	20	20	
19		4,200	20	20	20	
20		300	20	20	20	
21		No sales				
22						
23		300	20	20	20	
24		100	20	20	20	
25		1,300	20	20	20	
26		500	20	20	20	
27		3,100	20	20	20	
28		200	20	20	20	
29						
30		800	20	20	20	

Sales for Month 38,600.

165

233

Exhibit No. BD-1

1930 Jul	Weekly Sales	Daily Sales	High	Low	Close	Monthly Sales
1		No sales				
2		No sales				
3		150	20 $\frac{1}{8}$	20	20	
4		Holiday				
5		Closed				
6		Sunday				
7		550	20 $\frac{1}{4}$	20	20	
8		No sales				
9		100	20 $\frac{1}{8}$	20 $\frac{1}{8}$	20 $\frac{1}{8}$	
10		No sales				
11		No sales				
12		No sales				
13						
14		No sales				
15		50	20 $\frac{7}{8}$	20 $\frac{7}{8}$	20 $\frac{7}{8}$	
16		100	20 $\frac{1}{2}$	20 $\frac{1}{2}$	20 $\frac{1}{2}$	
17		50	20 $\frac{1}{2}$	20 $\frac{1}{2}$	20 $\frac{1}{2}$	
18		100	20 $\frac{1}{2}$	20 $\frac{1}{2}$	20 $\frac{1}{2}$	
19		No sales				
20						
21		150	20 $\frac{1}{2}$	20 $\frac{1}{2}$	20 $\frac{1}{2}$	
22		No sales				
23		150	20 $\frac{3}{4}$	20 $\frac{1}{2}$	20 $\frac{1}{2}$	
24		No sales				
25		300	20 $\frac{1}{2}$	20 $\frac{1}{2}$	20 $\frac{1}{2}$	
26		No sales				
27						
28		No sales				
29		No sales				
30		100	20	20	20	
31		50	20	20	20	

Sales for Month 1,850.

2077

Exhibit 170

Louisville, Ky., July \_\_\_\_\_, 1929.

SUBSCRIPTION TO SHARES

OF

THE BANCO KENTUCKY COMPANY

INCORPORATED

(Under the Reorganization Plan as outlined in the letter of the Trustees,  
dated July 19, 1929.)

To the Trustees of

NATIONAL BANK OF KENTUCKY

AND

THE LOUISVILLE TRUST COMPANY:

421 WEST MARKET ST.

1. I hereby deposit with you endorsed in blank and witnessed Trustees' Participation Certificates for an aggregate of ~~6000~~ Participation Shares of the National Bank of Kentucky and The Louisville Trust Company, in exchange for which you are to cause to be issued to me Two (2) shares of stock of The Banco Kentucky Company for each Participation Share herewith deposited, fully paid and non-assessable, under the terms of the Reorganization Plan as outlined in your letter of July 19, 1929, if, when and as said Plan shall become effective as therein provided.

District Court of the \_\_\_\_\_  
the Western \_\_\_\_\_

A. M. Anderson, Receiver \_\_\_\_\_ Plaintiff

vs. No. 1076  
David J. Abindl, et al \_\_\_\_\_ Defendants

Plaintiff's Defendants' Exhibit No. 170

William S. Speed  
Signature of Stockholder.

Address \_\_\_\_\_



**Exhibit 171**

Louisville, Ky., July \_\_\_\_\_, 1929.

## SUBSCRIPTION TO SHARES

**OF**

# THE BANCO KENTUCKY COMPANY

**INCORPORATED**

(Under the Reorganization Plan as outlined in the letter of the Trustees,  
dated July 19, 1929.)

*To the Trustees of*

## NATIONAL BANK OF KENTUCKY

**AND**

## THE LOUISVILLE TRUST COMPANY:

**421 WEST MARKET ST.**

1. I hereby deposit with you endorsed in blank and witnessed Trustees' Participation Certificates for an aggregate of 270 Participation Shares of the National Bank of Kentucky and The Louisville Trust Company, in exchange for which you are to cause to be issued to me Two (2) shares of stock of The Banco Kentucky Company for each Participation Share herewith deposited, fully paid and non-assessable, under the terms of the Reorganization Plan as outlined in your letter of July 19, 1929, if, when and as said Plan shall become effective as therein provided.

William S. Speed Trustee  
for Alice Speed Stoll  
Signature of Stockholder.

**Signature of Stockholder.**

*District Court of the United States  
the Western District of Missouri*

*A. M. Anderson, Receiver, ..... Plaintiff*

No. 1046

David J. Mott et al. Defendants

### Address

Plaintiff's ~~Defendants'~~ Exhibit No. 17

# Exhibit 172

**Blyth & Co**

CHICAGO  
NEW YORK  
BOSTON  
PHILADELPHIA  
ATLANTA  
SAN FRANCISCO  
LOS ANGELES  
SEATTLE  
PORTLAND ORE.  
LONDON

412-417 INTER SOUTHERN BLDG.

LOUISVILLE, KY.

copy

CABLE ADDRESS  
BLYTHCO

October 14, 1929

Dear Sir:

I want to call your special attention at the present time to BancoKentucky Company, the shares of which have been listed and are quite active on the Chicago Stock Exchange.

Since the formation of this Company, we have kept quite closely in touch with its affairs and after careful consideration of its well-defined programme of development and appreciation of its splendid management, we feel that the future of the Company is very promising. Bank stocks enjoy a distinguished record among corporate investments but probably have been overlooked by most investors because of their high price per share and the low dividend return. BancoKentucky Company stock around its present market is selling at a price which makes it within the range of all investors and we think it may profitably be included in any diversified list of conservative investments.

The rapidly changing conditions in the banking world have brought about a situation in the securities of group banking companies, such as BancoKentucky, which many keen observers think is a parallel case to the public utility situation several years ago and offers the same promising opportunity for investors.

In our opinion, the acquisition by BancoKentucky of practically the entire capital stock of the National Bank of Kentucky and the Louisville Trust Company, as well as the control of the two Cincinnati banks - The Pearl Market and The Brighton Bank - is but the beginning of a very carefully considered programme of expansion which this Company has in mind. The genius of its President, Mr. James B. Brown, in affecting consolidation along sound and successful lines is well-known far beyond this immediate vicinity and scarcely needs comment. In our opinion, he is exceptionally qualified to head a Company of this character and we believe it will be his major achievement thus far and become one of the outstanding group banking companies in the Middle West.

At present prices, I consider the stock quite attractive to anyone wanting an equity security of sound merits and unusual possibilities. I would be pleased to discuss this matter with you further or to have your order to be executed at once around present levels.

Very truly yours,

*Bob M. McDowell*

*Mr. Jones: Mr. Smith and I have  
one thousand or thereabouts yesterday*

## Exhibit 172-a

Please change in all places to --The Banco Kentucky Company

Proof No. 1

### Bank Shares and Group Banking

**B**ANK stocks enjoy a distinguished record among corporate investments but knowledge concerning them is by no means general. Probably they have been overlooked by the average investor because of the high prices per share and the low current dividend return.

At the present time such issues as Banco Kentucky Company shares are available at prices within the range of all investors and the outstanding profitable record of bank stocks in general makes such situations extremely interesting. In connection with this record it is noteworthy that anyone who purchased National Bank of Kentucky stock five years ago and sold in the existing market, by averaging his profit over the period, together with dividends, would have an annual yield of over 35% on his capital. (National Bank of Kentucky is the bank through which Banco Kentucky Company was organized.)

The business of banking is favorable to steady profitable operation. Bank deposits usually increase in due proportion to the increase in wealth of the country. Such an increase is usually more sure than the increase in any one industry. Because a bank's stock in trade is money, additions to capital are more immediately profitable than in most enterprises. Thus, it has become banking practice for the stockholders to consent to low dividends so that the balance of earnings may be reinvested in the bank and compounded for them. It is returned to them through stock dividends and rights.

The formation of corporations which control a number of banking institutions is a comparatively new development in America. One of its obvious merits is that it supplies a supervisory managerial ability available in formulating loan and investment policies which is of a higher calibre than a single moderately sized bank could employ. Another advantage is that it gives diversification of risk, so that the whole group is more financially sound than would be the sum of its parts if separated. This soundness and size instills confidence in the public and makes the development of new business easier both in commercial banking and in trust and investment corporations.

In a strategically located group of banks the inter-bank relationships may be kept more within the group so that profits are increased. The policy of most groups is to retain local staffs and boards of directors so that close relationship with the affairs of the community is maintained.

The advantages of grouping banks are quite similar to those which caused the grouping of public utility properties. Many observers have expressed the opinion, therefore, that bank stocks are in a similar position to public utility stocks six and seven years ago and that they will show the same spectacular development.

### Organization

The Banco Kentucky Company was recently formed to acquire and hold controlling interests in commercial banks throughout the Middle West. By charter broad powers are conferred upon the Company, so that all types of operations in the financial field are permitted.

Upon completion of present transactions the Company will control the National Bank of Kentucky, organized in 1834, the Louisville National Bank and Trust Co., organized 1884 as Louisville Trust Company, both of Louisville, Ky., the Pearl Market Bank & Trust Co., organized 1907, and the Brighton Bank & Trust Co., organized 1898, both of Cincinnati, Ohio. In addition, the Company will have funds of approximately \$8,200,000, which are expected to be used for the acquiring of additional bank institutions.



## History

## Exhibit 172-a

The formation of the Banco Kentucky Company was occasioned under the leadership of Mr. James B. Brown of Louisville, President of the National Bank of Kentucky. Its cash capital was subscribed to by the shareholders of this bank at \$25 per share and practically the entire outstanding stock of the Louisville banks was exchanged for Banco Kentucky stock.

The National Bank of Kentucky, the largest unit in the Company at present, was organized in 1834 as a state bank by the same group of capitalists who organized the Bank of North America in Philadelphia and the Bank of America in New York. The National Bank of Kentucky has a continuous dividend record since its organization for 95 years. At the time of granting the original state charter, the State of Kentucky was given a small block of stock in the bank which it still holds. These shares at present market prices are worth over

(Note change) \$4,250,000 \$500,000.00

## Territory

It is the present policy of the Company to control only middle western institutions. This territory contains the center of population of the United States and represents some of the greatest industrial developments of the country. The Company is confining its interest to institutions in larger cities.

## Growth

The following table shows the consolidated growth of the group of banks as presently constituted:

Year Ended	Total Resources	Deposits	Capital and Surplus Account
1924	.....	.....	.....
1925	.....	.....	.....
1926	.....	.....	.....
1927	.....	.....	.....
1928	.....	.....	.....
1929 (June 30)	\$4,250,000.00	\$500,000.00	\$500,000.00

See schedule

Change to  
Oct. 10.

These totals will, of course, be greatly enlarged by the purchase of institutions contemplated with the \$8,200,000 cash now in the treasury of the Company.

## Capitalization

The Banco Kentucky Company will presently have outstanding 1,750,000 shares of \$10 par value capital stock. It has no other classes of securities outstanding or authorized.

## Earnings

The earnings after Federal taxes and reserves of constituent banks for six months' period ended June 30, 1929, after elimination of earnings applicable to minority stocks, plus a sum equal to 6% on cash now in treasury awaiting investment in banks, equal an amount which would be equivalent to annual earnings of \$1.33 per share on presently outstanding stock. Such calculation gives no effect to benefits expected through the merger of Kentucky banks, or to expected benefits from group management and operation.

## Trust Funds

The present group of banks, in addition to its total resources, as shown, controls approximately \$100,000,000 of trust funds.

## Management

The active executive head of the Banco Kentucky Company is Mr. James B. Brown of Louisville. Mr. Brown has been president of the National Bank of Kentucky for a number of years. He is a director of the following nationally known corporations, as well as many local enterprises: Louisville and Nashville Railroad, Standard Oil Co. of Kentucky, Louisville Gas & Electric Co. and Southern Bell Telephone Co.

The information contained herein has been obtained from sources that we deem reliable and although not guaranteed, is believed by us to be accurate.  
Printed in U. S. A.

Exhibit 172-*b*

*6-18*  
October 7, 1929.

Mr. Charles F. Jones, Cashier,  
National Bank of Kentucky,  
Louisville, Ky.

Dear Mr. Jones:-

Enclosed please find proof of data we  
contemplate using in getting up a folder on Banco  
Kentucky Stock. In addition to what is shown here,  
we anticipate using the list of directors with their  
business affiliations.

I would appreciate having any comments  
you or Mr. Brown care to make regarding this data and  
I would like to have the statistics regarding the de-  
posits and capital and surplus which are missing in  
this analysis.

Trusting I may hear from you shortly regard-  
ing this and with best regards, I am,

Very truly yours,

SSH  
Enc.

District Court of the United States for  
the

A. M. *Chaff* Plaintiff

Defendant *rr. b*

2090

Exhibit 166

Louisville, Ky., July 19, 1929.

SUBSCRIPTION TO SHARES

OF

THE BANCO KENTUCKY COMPANY

INCORPORATED

(Under the Reorganization Plan as outlined in the letter of the Trustees,  
dated July 19, 1929.)

To the Trustees of

NATIONAL BANK OF KENTUCKY

AND

THE LOUISVILLE TRUST COMPANY:

421 WEST MARKET ST.

1. I hereby deposit with you endorsed in blank and witnessed Trustees' Participation Certificates for an aggregate of 50 Participation Shares of the National Bank of Kentucky and The Louisville Trust Company, in exchange for which you are to cause to be issued to me Two (2) shares of stock of The Banco Kentucky Company for each Participation Share herewith deposited, fully paid and non-assessable, under the terms of the Reorganization Plan as outlined in your letter of July 19, 1929, if, when and as said Plan shall become effective as therein provided.

*A. Carroll*

Signature of Stockholder.

*1424 Inter-Southern Bldg*

Address

Exhibit 167

*Sept - 3 - 1929*

2. In accordance with the privilege given me under said Plan of Reorganization, I hereby subscribe for 10 additional shares of The Banco Kentucky Company, at Twenty-five (\$25.00) Dollars per share, and agree to pay the purchase price thereof on call of the Company following the date said Plan becomes effective.

*A. Carroll*

Signature of Stockholder.

*4 Inter-Southern Bldg*

Address.



Exhibit 168

Louisville, Ky., Sept 3, 1929.

SUBSCRIPTION TO SHARES

OF

THE BANCO KENTUCKY COMPANY

INCORPORATED

(Under the Reorganization Plan as outlined in the letter of the Trustees,  
dated July 19, 1929.)

To the Trustees of

NATIONAL BANK OF KENTUCKY

AND

THE LOUISVILLE TRUST COMPANY:

421 WEST MARKET ST.

1. I hereby deposit with you endorsed in blank and witnessed Trustees' Participation Certificates for an aggregate of 64 Participation Shares of the National Bank of Kentucky and The Louisville Trust Company, in exchange for which you are to cause to be issued to me Two (2) shares of stock of The Banco Kentucky Company for each Participation Share herewith deposited, fully paid and non-assessable, under the terms of the Reorganization Plan as outlined in your letter of July 19, 1929, if, when and as said Plan shall become effective as therein provided.

District Court of the United States for  
the Western District of Kentucky

A. M. Anderson, Receiver ..... Plaintiff

David J. Linn, et al ..... Defendants

Plaintiff's Defendants' Exhibit No. 168

Alfred L. Linn  
Signature of Stockholder.

1424 Inter-Southern Bldg.  
Address

Exhibit 169

MRS A. J. CARROLL  
3411 PACIFIC AVE.  
ATLANTIC CITY, NEW JERSEY  
TELEPHONE 4-2441

July 21

My dear Jones;

The enclosed clipping is from the New York World of this date. I thought it might be of special interest at this time.

Did all the records arrive in time? Let me know what was done. Regards to all  
yours  
A. Carroll

THE WORLD: SUND

# FIFTY BANKS MERGE HERE IN 3 YEARS

Only 17 Retain Former Identity; Int  
Competition Is Cut 65 P. C.

Seventeen out of fifty New York banks that have been merged in the last three years retain their former identity. This rapid and unprecedented elimination of independent banking units in this city got its first real impetus with the consolidation of the Mechanics and Metals National Bank and the Chase National Bank on April 12, 1926.

The aggregate capital, according to Clinton Gilbert, head of the bank and insurance stock house of that name, represented by these mergers amounted to \$125,050,000, or within \$15,050,000 of the present capital of the National City Bank, conceded to be second only to Barclay's Bank, Ltd., of England, in capitalization.

"These mergers," Mr. Gilbert explained, "signify in the aggregate a degree of merger activity in the short space of about three years never before enjoyed by the banking fraternity in a similar period, as well as a greater and more effective stimulation of investment interest that would not have been possible under the diffused conditions with the fifty banking institutions. State and National, operating independently. Not only have far stronger institutions been created, but in the cases of national banks which were merged with State banks and trust companies the field of operations has been automatically extended and made more profitable thereby.

"It is of interest to note that there has been a constant relinquishment of national banking charters in favor of State charters through these consolidations, thus giving the continuing institutions the broader powers permitted them as State banks or State banks and trust companies. Trust companies operate under State charter and their trust business provides a substantial percentage of a State institution's earnings, hence one of the reasons for the surrender of the national charter in favor of a State charter when a national bank merges with a State bank.

"At present, out of all these institutions which have been merged with larger ones there are but seventeen which have retained their old or similar identity. The striking thing about this is that the competitive phase of New York City banking, so far as the mergers here listed are concerned, has been reduced by approximately 65 per cent. This does not, of course, take into consideration those institutions which have sprung up in the meantime.

"It is probable," says Mr. Gilbert, "that this list of merged banks will be considerably augmented in the next identical period, the smaller being taken into the fold of the larger institutions powered by greater capital. This deduction is made as a result of the present disposition of the National and State authorities to countenance mergers when they are in the interest of greater banking strength."

Only in one instance, during the period under which has a national bank linked up with a State bank and at the same time retained both national and State charters. This case is that of the National City Bank's acquisition of a controlling stock interest in the Farmers Loan and Trust Company. It is pointed out, however, that this was not a merger in the strict sense of the word in that the City Bank Farmers Trust is operated as a complete unit and held in trust for the benefit of the National City Bank stockholders.

The table, giving the absorbed banks capital at time of merger, continuing institutions and date of mergers, follows:

Absorbed Banks	Capital at Time of Merger	Continuing Institutions	Date of Merger
Mechanics and Metals National, \$10,000,000		Chase National Bank, April 12, 1926	
Peoples Trust Company, \$2,000,000		National City Bank, June 26, 1926	
Peoples Commercial Bank, \$1,000,000		Liberty National Bank, Aug. 1, 1926	
Greenpoint Bank, \$250,000		Manhattan Company, Aug. 1, 1926	
National Butchers and Drivers, \$2,000,000		Irving Bank and Trust, Sept. 20, 1926	
National American Bank, \$1,000,000		Central Mercantile Bank and Trust, Oct. 11, 1926	
American Exchange Pacific National, \$7,500,000		Central Mercantile Bank and Trust, Jan. 1, 1927	
Broadway Central Bank, \$300,000		Howery East River National, Feb. 18, 1927	
Discount National Bank, \$1,000,000		Manover National Bank, April 26, 1927	
Greenwich Bank, \$500,000		Interstate Trust Company, July 3, 1927	
Franklin National Bank, \$1,000,000		Commonwealth Bank, July 3, 1927	
Montauk Bank, \$250,000		Manufacturers Trust Company, Aug. 1, 1927	
Standard Bank, \$250,000		Manufacturers Trust Company, Aug. 1, 1927	
Commonwealth Bank, \$2,000,000		Manhattan Company, Aug. 10, 1927	
Bank of Washington Heights, \$100,000		Municipal Bank, Oct. 31, 1927	
Norstrand Bank, \$250,000		Chase National Bank, Dec. 28, 1927	
Mutual Bank, \$250,000		Brooklyn Trust, Jan. 20, 1928	
Bank of Commerce Island, \$250,000		Interstate Trust Company, Jan. 20, 1928	
Hamilton National Bank, \$1,000,000		Seaboard National, Feb. 1, 1928	
New Netherland Bank, \$500,000		Seaboard National, Feb. 20, 1928	
Terminal Trust, \$700,000		International Germanic Trust, Feb. 20, 1928	
Capital National Bank, \$2,000,000		United Cap. National Bank and Trust, March 10, 1928	
United National Bank, \$2,000,000		United Cap. National Bank and Trust, March 10, 1928	
Longacre Bank, \$1,000,000		United Cap. National Bank and Trust, March 10, 1928	
Hushwick National Bank, \$250,000		Globe Exchange, March 30, 1928	
Howery and East River National, \$1,000,000		Bank of America, April 30, 1928	
Commercial Exchange, \$1,500,000		Bank of America, April 30, 1928	
Central Mercantile Bank and Trust, \$3,500,000		Bank of United States, May 21, 1928	
United Capital National Bank and Trust, \$5,000,000		Manufacturers Trust, June 3, 1928	
Dewey State Bank, \$100,000		Century Bank, June 18, 1928	
Atlantic State Bank, \$250,000		City Trust Company, Aug. 2, 1928	
Harlem National Bank, \$600,000		City Trust Company, Aug. 2, 1928	
Flushing National Bank (L. I.), \$200,000		Bank of United States, Aug. 27, 1928	
Queensborough (L. I.), \$150,000		Manhattan Company, Sept. 28, 1928	
Whitestone National (L. I.), \$100,000		Manhattan Company, Sept. 28, 1928	
Bayville National (L. I.), \$300,000		Manhattan Company, Sept. 28, 1928	
Bank of Glendale, \$1,000,000		Globe Exchange, Dec. 1, 1928	
Seventh National, \$1,000,000		Municipal Bank, Dec. 21, 1928	
First National of Brooklyn, \$1,500,000		Manhattan Company, Dec. 29, 1928	
Garfield National, \$1,000,000		Manhattan Company, Dec. 29, 1928	
State Bank, \$5,000,000		Chase National, Jan. 26, 1929	
Mechanics Bank, \$1,000,000		Manufacturers Trust Company, Jan. 26, 1929	
Colonial Bank, \$1,000,000		Brooklyn Trust, Feb. 8, 1929	
Bank of the Rockaways, \$100,000		Bank of United States, April 1, 1929	
Bank of Commerce, \$300,000		Bank of United States, April 1, 1929	
Bank, \$1,000,000		Guaranty Trust, May 8, 1929	
Municipal Bank, \$5,000,000		Central Hanover Trust, May 15, 1929	
Manover National, \$10,000,000		Chemical Bank and Trust, June 30, 1929	
United States Mortgage and Trust, \$5,000,000			

Fifty banks aggregate \$125,050,000.  
\*Assets taken over by Mutual Trust Company, which is to be merged into the International Germanic Trust Company.



# Exhibit 173

## BLYTH & CO.

### Directors of The Banco Kentucky Company

<b>JOHN S. AKERS</b> Vice Pres. National Bank of Kentucky	<b>C. C. HIEATT</b> President Consolidated Realty Company
<b>HENRY J. ANGERMEIER</b> Vice Pres. National Bank of Kentucky	<b>SAUNDERS P. JONES</b> President Jones-Dabney Company
<b>RICHARD BEAN</b> President The Louisville Trust Company	<b>MILBURN P. KELLEY</b> President Louisville Bedding Company
<b>WILLIAM BLACK</b> President B. F. Avery & Sons	<b>WALTER I. KOHN</b> Capitalist
<b>DR. OSCAR E. BLOCH</b> Physician and Surgeon	<b>S. CLAY LYONS</b> W. L. Lyons & Company
<b>CHARLES H. BOHMER</b> President The Security Bank	<b>CHARLES C. MENGEL</b> President The Mengel Company
<b>GEORGE O. BOOMER</b> Pres. and Treas. Pittsburgh Fuel Co.	<b>BEN J. METCALFE</b> Vice Pres. The Louisville Trust Company
<b>R. R. BOSWELL</b> Vice Pres. The Louisville Trust Company	<b>J. WM. MILLER</b> President Grocers Baking Company
<b>JAMES B. BROWN</b> President National Bank of Kentucky	<b>THOMAS J. MINARY</b> Ch'm of Board, Louisville Railway Co.
<b>R. LEE CALLAHAN</b> President Callahan & Sons	<b>DAVID C. MORTON</b> President Ballard & Ballard
<b>ANTHONY J. CARROLL</b> Attorney	<b>EDWARD J. O'BRIEN, JR.</b> Manager E. J. O'Brien & Company
<b>GEORGE M. CLARK</b> President Ohio Falls Iron Company	<b>HENRY D. ORMSBY</b> Vice Pres. National Bank of Kentucky
<b>SAMUEL W. COONS</b> Ch'm of Board, Standard Oil Co. of Ky.	<b>JOHN B. PIRTLE</b> Vice Pres. The Louisville Trust Co.
<b>ALLEN P. DODD</b> Attorney	<b>BEN ROBERTSON</b> President Reliance Varnish Company
<b>NICHOLAS H. DOSKER</b> Vice Pres. The Louisville Trust Company	<b>ARTHUR M. RUTLEDGE</b> Attorney
<b>STUART E. DUNCAN</b> Ch'm of Board, Penaez-Gaulbert Corp.	<b>WILLIAM SHORT</b> Capitalist
<b>JOSEPH H. DURHAM</b> Vice Pres. American Creosoting Company	<b>WILLIAM S. SPEED</b> President Louisville Cement Company
<b>C. OSCAR EWING</b> President D. H. Ewing's Sons	<b>JOHN STITES</b> Ch'm of Board, the Louisville Trust Co.
<b>JAMES GARNETT</b> Attorney	<b>SAM H. STONE</b> President S. H. Stone & Company
<b>WALTER H. GIRDLER</b> President Girdler Corporation	<b>E. LELAND TAYLOR</b> President Wright & Taylor
<b>ANGEREAU GRAY</b> Vice Pres. The Louisville Trust Company	<b>HORACE A. TAYLOR</b> Capitalist
<b>JAMES J. HAYES</b> Vice Pres. National Bank of Kentucky	<b>ROBERT F. VAUGHAN</b> Attorney
<b>T. KENNEDY HELM</b> Attorney	<b>HENRY VOGT</b> President Henry Vogt Machine Co.
<b>BAYLOR O. HICKMAN</b> Vice President Ewald Iron Company	<b>E. WEINSTOCK</b> Vice Pres. The Louisville Trust Company

The information contained herein has been obtained from sources that we deem reliable and although not guaranteed, is believed by us, to be accurate.

Printed in U. S. A.

# Exhibit 174

District Court of the United States  
the Western District of

A. M. Anderson, Receiver, ..... Plaintiff  
vs. No. 1046

David J. Abbott, et al ..... Defendants

Plaintiff's Defendants' Exhibit No. 174

Louisville, Ky., July \_\_\_\_\_, 1929.

## SUBSCRIPTION TO SHARES

OF

## THE BANCO KENTUCKY COMPANY

INCORPORATED

(Under the Reorganization Plan as outlined in the letter of the Trustees,  
dated July 19, 1929.)

To the Trustees of

NATIONAL BANK OF KENTUCKY

AND

THE LOUISVILLE TRUST COMPANY:

421 WEST MARKET ST.

1. I hereby deposit with you endorsed in blank and witnessed Trustees' Participation Certificates for an aggregate of 1400 Participation Shares of the National Bank of Kentucky and The Louisville Trust Company, in exchange for which you are to cause to be issued to me Two (2) shares of stock of The Banco Kentucky Company for each Participation Share herewith deposited, fully paid and non-assessable, under the terms of the Reorganization Plan as outlined in your letter of July 19, 1929, if, when and as said Plan shall become effective as therein provided.

*Walter H. Fisher*

Signature of Stockholder.

1303 Shelby St.

Address

*Fourth*

# Exhibit 175

2. In accordance with the privilege given me under said Plan of Reorganization, I hereby subscribe for 1500 additional shares of The Banco Kentucky Company, at Twenty-five (\$25.00) Dollars per share, and agree to pay the purchase price thereof on call of the Company following the date said Plan becomes effective.

Signature of Stockholder.

District Court of the United States  
the Western District of

A. M. Anderson, Receiver, ..... Plaintiff

Address.

David J. Abbott, et al ..... Defendants

Plaintiff's Defendants' Exhibit No. 175

2036

Exhibit 176

Dist. of  
the W.  
A. M. Anderson  
Plaintiff  
Defendants  
Plaintiff's Defendants' Exhibit No. 176

Louisville, Ky., July 29, 1929.

SUBSCRIPTION TO SHARES

OF

THE BANCO KENTUCKY COMPANY

INCORPORATED

(Under the Reorganization Plan as outlined in the letter of the Trustees,  
dated July 19, 1929.)

To the Trustees of

NATIONAL BANK OF KENTUCKY

AND

THE LOUISVILLE TRUST COMPANY:

421 WEST MARKET ST.

1. I hereby deposit with you endorsed in blank and witnessed Trustees' Participation Certificates for an aggregate of 2 Participation Shares of the National Bank of Kentucky and The Louisville Trust Company, in exchange for which you are to cause to be issued to me Two (2) shares of stock of The Banco Kentucky Company for each Participation Share herewith deposited, fully paid and non-assessable, under the terms of the Reorganization Plan as outlined in your letter of July 19, 1929, if, when and as said Plan shall become effective as therein provided.

Signature of Stockholder.

Address

Exhibit 177

2. In accordance with the privilege given me under said Plan of Reorganization, I hereby subscribe for additional shares of The Banco Kentucky Company, at Twenty-five (\$25.00) Dollars per share, and agree to pay the purchase price thereof on call of the Company following the date said Plan becomes effective.

Signature of Stockholder.

Address.

Dist. of  
the W.

A. M. Anderson

Plaintiff

Plaintiff

Defendant

Exhibit No. 177

2097



Exhibit 178

Louisville, Ky., Sept 17, 1929.

**SUBSCRIPTION TO SHARES**

OF

**THE BANCO KENTUCKY COMPANY**

INCORPORATED

(Under the Reorganization Plan as outlined in the letter of the Trustees,  
dated July 19, 1929.)

To the Trustees of

**NATIONAL BANK OF KENTUCKY**

AND

**THE LOUISVILLE TRUST COMPANY**

421 WEST MARKET ST.

1. I hereby deposit with you endorsed in blank and witnessed Trustees' Participation Certificates for an aggregate of 1,000 Participation Shares of the National Bank of Kentucky and The Louisville Trust Company, in exchange for which you are to cause to be issued to me Two (2) shares of stock of The Banco Kentucky Company for each Participation Share herewith deposited, fully paid and non-assessable, under the terms of the Reorganization Plan as outlined in your letter of July 19, 1929, if, when and as said Plan shall become effective as therein provided.

The 1,000 shares referred to  
herein is held by the Commission  
deposited as such and an additional 900 shares has  
been deposited by me.

George C. Brown  
Signature of Stockholder.  
231 West Main St  
Louisville Ky  
Address

Louisville, Ky., November 19, 1930

**Special Committee's Report re Sale of  
Cincinnati and Covington Banks.**

To the Board of Directors and Executive Committee  
The BancorKentucky Company.

Gentlemen:

On the 17th of November, 1930, the Executive Committee and Board of Directors of The BancorKentucky Company adopted Resolutions appointing the undersigned "a special Committee with full authority to negotiate and sell all the capital stock now owned by The BancorKentucky Company" in four banks hereafter mentioned "on such terms as in the judgment of said Committee may be for the best interest of The BancorKentucky Company, and to carry the sale of same, if made, into effect by executing in behalf of The BancorKentucky Company such documents as may be necessary."

The banks covered by resolution were the Brighton Bank & Trust Company and the Pearl Market Bank & Trust Company, of Cincinnati, Ohio, and the Peoples Liberty Bank & Trust Company and Central Trust & Savings Bank, of Covington, Kentucky.

As you understood and expressed, the situation of these banks was somewhat serious because of runs growing out of the failure of Caldwell & Company and the closing of the National Bank of Kentucky and The Louisville Trust Company, all of which were owned by or in alliance with The BancorKentucky Company, owner of the stock in the above mentioned banks.

This assignment to committee work was made at the meeting of your Board Monday afternoon, November 17, 1930, at 6:10 P.M. It was first arranged and suggested that the Committee, with proper credentials and stock certificates, proceed by airplane to Cincinnati to

met a committee of the Cincinnati Clearing House Association then in session, and which remained in session until the arrival of your Committee, which did not go by airplane but made good speed by automobile.

Your Committee not having any detailed information of the statements of the banks to be sold but being told that an emergency existed, deemed it necessary to call upon Mr. James B. Brown, President of The Bank of Kentucky Company, for suggestions as to the personnel and information as to the cost and the conditions of the Cincinnati and Covington banks, before undertaking to act upon the question of sale of the stocks. To obtain the information desired your Committee stopped at Mr. James B. Brown's house from 8:08 P. M. to 10:45 P. M. and urged and insisted that he having bought these banks and knowing the men should go with your Committee, but he positively refused to do so because, he said, of other pending negotiations.

We then proceeded to Cincinnati to keep the engagement with the Committee of the Cincinnati Clearing House Association, which had remained in session pending our arrival about 3:00 A. M. our time but 4:00 A. M. their time, and found them very anxious to complete the negotiations for the Cincinnati banks before 8:00 A. M.

They represented themselves as the President and a Special Committee of the Cincinnati Clearing House Association, which, in view of its experience in a run on Cincinnati banks, in June or July of this year, had obtained special authority from the Cincinnati Clearing House banks to protect the banking interests in Cincinnati through acquiring the Brighton Bank & Trust Company and the Pearl Market Bank & Trust Company, which had been for the previous week and on the previous day subjected to runs amounting to a panic.

The Committee of the Clearing House stated that the Chemical



Exhibit 173

# THE BANCO KENTUCKY COMPANY

*District Court of the United States for  
the Western District of Kentucky*

*A. M. Anderson, Receiver,.....Plaintiff*

*vs. No. 1046*

*David J. Abbott, et al.....Defendants*

*Plaintiff's Defendants' Exhibit No. 173*

## Blyth & Co.

*Members of the New York and Chicago Stock Exchanges*

NEW YORK

BOSTON

CHICAGO

PHILADELPHIA

SAN FRANCISCO

LOS ANGELES

PORTLAND

SEATTLE

LONDON

PRIVATE WIRES CONNECT ALL U. S. OFFICES

2091

## Exhibit 173

# THE BANCO KENTUCKY COMPANY

---

### Bank Shares and Group Banking

**B**ANK stocks enjoy a distinguished record among corporate investments but knowledge concerning them is by no means general. Probably they have been overlooked by the average investor because of the high prices per share and the low current dividend return.

At the present time such issues as The Banco Kentucky Company shares are available at prices within the range of all investors and the outstanding profitable record of bank stocks in general makes such situations extremely interesting. In connection with this record it is noteworthy that anyone who purchased National Bank of Kentucky stock five years ago and sold in the existing market, by averaging his profit over the period, together with dividends, would have an annual yield of over 35% on his capital. (National Bank of Kentucky is the bank through which The Banco Kentucky Company was organized.)

The business of banking is favorable to steady profitable operation. Bank deposits usually increase in due proportion to the increase in wealth of the country. Such an increase is usually more sure than the increase in any one industry. Because a bank's stock in trade is money, additions to capital are more immediately profitable than in most enterprises. Thus, it has become banking practice for the stockholders to consent to low dividends so that the balance of earnings may be reinvested in the bank and compounded for them. It is returned to them through stock dividends and rights.

The formation of corporations which control a number of banking institutions is a comparatively new development in America. One of its obvious merits is that it supplies a supervisory managerial ability available in formulating loan and investment policies which is of a higher calibre than a single moderately sized bank could employ. Another advantage is that it gives diversification of risk, so that the whole group is more financially sound than would be the sum of its parts if separated. This soundness and size instills confidence in the public and makes the development of new business easier both in commercial banking and in trust and investment corollaries.

In a strategically located group of banks the inter-bank relationships may be kept more within the group so that profits are increased. The policy of most groups is to retain local staffs and boards of directors so that close relationship with the affairs of the community is maintained.

The advantages of grouping banks are quite similar to those which caused the grouping of public utility properties. Many observers have expressed the opinion, therefore, that bank stocks are in a similar

---

BLYTH & CO.

---

position to public utility stocks six and seven years ago and that they will show the same spectacular development.

**Organization**

The Banco Kentucky Company was recently formed to acquire and hold controlling interests in commercial banks throughout the Middle West. By charter, broad powers are conferred upon the Company, so that all types of operations in the financial field are permitted but no investments are contemplated other than controlling interests in financial institutions.

Upon completion of present transactions the Company will control the National Bank of Kentucky, organized in 1834, the Louisville National Bank and Trust Co., organized 1884 as Louisville Trust Company, both of Louisville, Ky., the Pearl Market Bank & Trust Co., organized 1907, and the Brighton Bank & Trust Co., organized 1898, both of Cincinnati, Ohio, and the Central Savings Bank and Trust Company, organized 1906, of Covington, Ky. In addition, the Company has funds of approximately \$6,000,000, which are expected to be used for the acquiring of additional banking institutions.

**History**

The formation of The Banco Kentucky Company was occasioned under the leadership of Mr. James B. Brown of Louisville, President of the National Bank of Kentucky. Its cash capital was subscribed to by the shareholders of this bank at \$25 per share and practically the entire outstanding stock of the Louisville banks was exchanged for The Banco Kentucky stock.

The National Bank of Kentucky, the largest unit in the Company at present, was organized in 1834 as a state bank by the same group of capitalists who organized the Bank of North America in Philadelphia and the Bank of America in New York. The National Bank of Kentucky has a continuous dividend record since its organization for 95 years. At the time of granting the original state charter, the State of Kentucky was given a small block of stock in the bank which it still holds. These shares at present market prices are worth over \$500,000.

**Territory**

It is the present policy of the Company to control only middle western institutions. This territory contains the center of population of the United States and represents some of the greatest industrial developments of the country. The Company is confining its interest to institutions in larger cities.



# Exhibit 173

---

## BANCO KENTUCKY COMPANY

---

### Growth

The following table shows the consolidated growth of the group of banks as presently constituted:

Year Ended	Total Resources	Deposits	Capital and Surplus Account
1924 .....	\$ 93,559,000	\$78,499,000	\$10,794,000
1925 .....	97,629,000	79,753,000	11,019,000
1926 .....	103,791,000	84,998,000	14,505,000
1927 .....	113,280,000	89,366,000	12,196,000
1928 .....	120,168,000	90,005,000	12,458,000
1929 (Oct. 10)...	123,197,000	91,749,000	12,807,000

These totals are expected to be enlarged by the purchase of institutions contemplated with the cash of approximately \$6,000,000 now in the treasury of the Company. The above table does not include statistics on the recently acquired Covington bank.

### Capitalization

The Banco Kentucky Company at the conclusion of outstanding exchange offers will presently have outstanding 1,750,000 shares of \$10 par value capital stock. It has no other classes of securities outstanding or authorized. The capital stock is listed on the Chicago Stock Exchange.

### Dividends

The Company has recently instituted a regular dividend policy of \$.80 per share per annum payable quarterly. Consolidated earnings of the Company show this dividend earned by a wide margin, as is usual in the case of conservative banking institutions.

### Trust Funds

The present group of banks, in addition to its total resources, as shown, controls approximately \$100,000,000 of trust funds.

### Management

The active executive head of The Banco Kentucky Company is Mr. James B. Brown of Louisville. Mr. Brown has been president of the National Bank of Kentucky for a number of years. He is a director of the following nationally known corporations, as well as many local enterprises: Louisville & Nashville Railroad Co., Standard Oil Co. of Kentucky, Louisville Gas & Electric Co. and Southern Bell Telephone & Telegraph Co.

confronted with crowds of one thousand or more customers and onlookers demanding immediate payment of their deposits, and stated also, as had the representative in regard to the Cincinnati banks, that it might be necessary to keep a cordon of police constantly on duty to protect the banks from conditions approaching a riot. They asserted that the Receiver of the National Bank of Kentucky had withdrawn \$400,000 (?) and that the School Board, City of Covington and the County of Kenton had all called meetings for the afternoon to take action on withdrawing about \$1,000,000, but if the situation could be cleared they would leave their funds.

After consulting with Mr. L. B. Wilson and Mr. John S. Schulte, the Presidents of the banks in Covington, and also with the Cincinnati bankers and Mr. Maurice Calvin, who is a stockholder and director, and talking with Mr. Brown who fully approved, your Committee submitted the following option through Mr. L. B. Wilson to the group of prospective investors of the First National Bank in Cincinnati:

"Cincinnati, Ohio, Nov. 18, 1930.

The undersigned having been duly appointed at a meeting of the Board of Directors of the Bank of Kentucky Company a special committee, with full authority to negotiate a sale of the shares of the capital stock now owned by The Bank of Kentucky Company in The Peoples-Liberty Bank and Trust Company, and of the Central Savings Bank and Trust Company, both of Covington, Ky., hereby offer to sell to L. B. Wilson, or his assigns, sixteen hundred and four (1604) shares of The Peoples-Liberty Bank & Trust Company, for the sum of one hundred (\$100.00) dollars per share, and in addition thereto, if authority therefor is obtained from the Comptroller of the Currency or his representative, an additional four hundred and ninety-five (495) shares of the Peoples-Liberty Bank and Trust Company, which four hundred and ninety-five (495) shares is now pledged to the National Bank of Kentucky, at the same price, and upon the same terms as hereinafter set out, and also five hundred and forty-eight (548) shares of The Central Savings Bank and Trust Company at the price of two hundred (\$200.00) dollars per share. The terms of sale to be cash upon delivery of certificates of stock, and the certificates of stock will be delivered to \_\_\_\_\_ Kincheloe, Manager of the Federal Reserve Branch Bank at Louisville, Ky.,

and the said Kinchloe will be directed, upon receipt of the money for such stock, to forthwith deliver same to you or your assigns, and to expedite and consummate the sale, the money to be paid into the Federal Reserve Branch Bank at Cincinnati, Ohio, to be transmitted to the Federal Reserve Branch Bank at Louisville, Ky., to the credit of The Bank of Kentucky Company.

This option by the undersigned committee is subject to formal ratification by the Board of Directors of The Bank of Kentucky Company now convening, or by the delivery of the said stock to the said Kinchloe in confirmation hereof.

In Witness Whereof we have hereunto set our hands this 18th day of November, 1930."

The prospective purchasers declined the offer which had been made and stated they were through, but through the further efforts of Mr. L.R. Wilson and Mr. Maurice Galvin, both interested as stockholders, the above contract was amended by accepting a counter proposition which reduced the prices to \$80 per share for the Peoples Liberty Bank & Trust Company and to \$100 per share for the Central Savings Bank & Trust Company. In other words, during final negotiations of approximately one hour and a half, 50% of the originally proposed sale price was lost because it was not certain whether the banks could remain open for the following two hours; and even in that time money had been sent on personal credit to support the banks.

Your committee could not but have in mind three factors; first, that if the banks closed, a double liability assessment would result to The Bank of Kentucky Company; secondly, the untoward general stock market conditions and bank conditions resulting therefrom; and thirdly, as a result of the foregoing, the condition of frozen assets that could not be realized upon at anything like the estimated values at the time these stocks had been purchased; and your Committee realized that the only thing to do was to save the loss of an assessment and obtain such cash as might be collected, and to restore the banks to local control, for it was perfectly obvious in the newspaper



## Exhibit 179

publications and in the local talk that the so-called "outside interests" were being in no way supported.

It may be mentioned that in seven-eighths of the front page of The Cincinnati Post, in type larger than the name of the paper, appeared the following headline, "Clearing House Buys Outside Interests in Two Banks."

It might also be mentioned that in discussing the Covington bank situation it was made very clear that none of the local banks were prepared to support the Peoples-Liberty Bank & Trust Company and the Central Savings Bank & Trust Company, owned in part by The Bank of Kentucky Company, but that the best the Covington banks would do was for each bank individually and for itself, to unite in the following statement:

### "Covington Banks

Are Fully Protected, Officers Reassure Public.

The following statement was issued by eight banks of Covington, Ky., to the public Tuesday:

The undersigned banks of Covington, Ky., realizing the depressed conditions and the unrest of our people by reason of the closing of the banks in Kentucky and elsewhere, state that none of the closed banks in Louisville, Ky., or Caldwell & Co. of Nashville, Tenn., are indebted to any of the undersigned banks on any loans or advances; neither are any of the undersigned banks in any way indebted or obligated to the closed banks in Louisville or Caldwell & Co. and we firmly believe that the deposits in all the undersigned banks are safe and protected in every way. This statement is made on behalf of all banks and signed by each bank for itself individually.

The Covington Trust & Banking Co., C.W. Simrall, President.

The Peoples Liberty Bank & Trust Co., L.B. Wilson, President.

First National Bank & Trust Company, E.C. Lee, President.

Central Savings Bank & Trust Co., John H. Schulte, President.

Security Savings Bank, Ed. Zeis, President.

Citizens National Bank, B.J. Linnemann, President.

First National Bank of Latonia, H.C. White, President.

Latonia Deposit Bank & Trust Co., Warren Elliston, Cashier."

It was utterly impossible either in the time permitted or from the Committee's knowledge of local conditions, to combat and differ from the analyses of the statements of the several banks and the conditions as held forth by apparently, and we believe actually, those best qualified to advise as to local conditions of the respective banks. Your Committee, therefore, deemed it best to accept the utmost it could secure and to have all the funds transmitted directly to the Federal Reserve Agent in Louisville for the account of The Banco-Kentucky Company.

The originals of the contracts are delivered herewith.

This is submitted as a report of the best that we could do under the time limit and circumstances attending on our duties.

Respectfully submitted,

Special Committee ( \_\_\_\_\_  
The Banco-Kentucky Company ( \_\_\_\_\_  
) \_\_\_\_\_

Bank & Trust Company, of New York, of which Mr. Percy Johnston is President, had given notice that it would sell all stocks of these banks which had been pledged to it by The BancorKentucky Company at 9:00 A. M., November 18, 1930, and that this represented a majority but not the necessary two-thirds interest, and that Mr. Johnston talked with Mr. Brown the previous week but no progress could be made, when matters were very much less serious; that the clearing house banks were represented by their Presidents, Chairman of the Boards or other officers fully empowered to act, and that irrespective of profit or loss they were willing to make an offer to pay to The BancorKentucky Company the same amount that they had finally agreed to pay to the Chemical Bank & Trust Company of New York, upon its ownership of stock if both sales were made.

At the time of the night or morning at which your Committee went into these negotiations, the only representative of our interests in Cincinnati was the President of the two institutions owned by The BancorKentucky Company, Mr. G. M. Mosler.

The entire time before the proposed meeting of the Clearing House at 8:00 A. M. was taken up with discussing with the Committee its analysis of the bank statements, the prospects of stopping the run upon the institutions, or the institutions surviving the run imminent on the opening on the following morning, or their value if liquidated, as Mr. Mosler stated that the Boards of Directors of neither institution was willing to open or receive deposits for fear of liability for accepting deposits when insolvent, and if the banks were not sold to the Clearing House they would not open but would be immediately put in the hands of the Banking Commissioner.

The analysis presented clearly indicated that the Pearl Market Bank & Trust Company was insolvent to the extent of more than



## Exhibit 179

\$600,000, which meant an assessment on its shares of stock to that amount. The Cincinnati Clearing House Committee clearly stated that in any proposition they made, the two banks which had been unified in interest must be considered together and the prospective loss on the Pearl Market Bank & Trust Company must be deducted from any possible saving to be realized from the sale of the Brighton Bank & Trust Company.

The situation was far from clear, though it was intimated and positively stated that the Pearl Market Bank & Trust Company had losses sufficient to justify an assessment of \$600,000 on its stockholders. It was also stated that the Brighton Bank & Trust Company had sufficient cash and credit to weather any normal or extraordinary demands; but the fact that there had been eight banks closed in Kentucky and many other bank failures, made the losses for the preceding week such as practically to exhaust the resources of both banks, and that on the previous day it had been necessary to have assignments of the police department to control the crowds that had gathered demanding withdrawals.

Every member of your Committee and Mr. Mosler talked with Mr. Brown by long distance telephone for possibly as much as two hours, but could not agree with his optimism that the case could be fought out to any successful conclusion, and were impressed with the fact that the banks could not be opened, and if closed would mean a net loss to The Bank of Kentucky Company if the stock was held to an amount of from \$500,000 to \$1,500,000.00.

So far as the Cincinnati banks were concerned, the following agreements and statements were executed:

November 18, 1930.

The Cincinnati Clearing House Assn.,  
Cincinnati, Ohio.

The undersigned duly authorized special committee  
of the Bank of Kentucky Company hereby offers to sell to

## Exhibit 179

you 23,570 shares of the capital stock of the Pearl Market Bank & Trust Company of Cincinnati and 18,890 shares of the capital stock of the Brighton Bank & Trust Company of Cincinnati, for the sum of \$274,380 each to be paid upon delivery of all of said shares, which we agree to deliver forthwith, and in addition thereto any sum that may be realized by your committee upon complete resale of said shares and/or liquidation of said banks over and above said \$274,380, with the understanding, however, that this additional total amount so to be paid to us shall not exceed the sum of \$188,900.00.

It is understood that your association will put forth its best effort to have the resale of said shares and/or liquidation of said banks consummated within the period of one year from this date.

Executed in triplicate.

T. K. Helm  
R. L. Callahan  
C. F. Jones.

November 18, 1930

Accepted:

Cincinnati Clearing House Association

By Chas. H.

President

T. J. Davis (By Special  
Chas. W. Dupuis) Committee."

also the following:

"We, the undersigned banks of the Cincinnati Clearing House Association, announce that the Clearing House Association has bought the entire holdings of certain outside interests in the Brighton Bank & Trust Company and the Pearl Market Bank & Trust Company; that the control of both of said banks is now held by the Clearing House Association, and that therefore the undersigned banks stand behind both of said banks.

Fifth Third Union Trust Company, by E. W. Edwards,  
President.

First National Bank by John J. Rowe, President.

Central Trust Company by Chas. W. Dupuis,  
President.

Provident Savings Bank & Trust Co. by Leo J.  
Van Lahr, President.

Western Bank & Trust Company by Frederick  
Hertenstein, President.

Second National Bank by C. A. Bosworth,  
President.

Lincoln National Bank by W. C. Sachs, Vice-  
President.

Atlas National Bank by C. J. Ziegler, Vice-  
President.

The statement was issued, it was announced, in order to insure public confidence in the two institu-

## Exhibit 179

tions whose names have been linked with the closing of the National Bank of Kentucky at Louisville, Kentucky".

About the time these negotiations were closed, it appeared that the Chemical Bank & Trust Company, of New York, was withholding a part of the stock covered by a certificate and might claim a lien thereon. Your Committee therefore executed the following order:

Cincinnati, Ohio, Nov. 18, 1930.  
The Chemical Bank & Trust Company,  
New York, N.Y.

Gentlemen:

Please deliver to the Cincinnati Clearing House Association for our account six thousand (6000) shares Capital Stock of the Brighton Bank & Trust Company of Cincinnati.

The aforesaid 6000 shares are deliverable to our order out of a certain Certificate for 10,000 shares of said Capital stock, which Certificate you now hold.

Yours very truly,

The BancorKentucky Company

By { T.K.Helm  
Special { C.F.Jones  
Committee { R.L.Callahan."

It was arranged that the stock in Louisville in these banks should be delivered to Robert D. Kincheloe, Federal Reserve Agent at Louisville, and that he would accept for the account of The BancorKentucky Company the proceeds and deliver the stock in The BancorKentucky Company's possession. This was carried into effect and the presently due cash was collected and said stock so delivered.

Immediately after the above trade was consummated, and, in fact, before it was completed, Mr. L.B.Wilson, President of the Peoples Bank & Trust Company, Covington, Ky., and Mr. John S. Schulte, President of the Central Savings Bank & Trust Company, Covington, Ky., were making the most serious demands for assistance and representing that their banks had opened, after borrowing to their limit, and were



District Court of the United States  
the Western District of Kentucky

Exhibit 180

A. M. Anderson, Receiver, Plaintiff

Louisville, Ky., July 19, 1929.

SUBSCRIPTION TO SHARES

OF

THE BANCO KENTUCKY COMPANY

INCORPORATED

(Under the Reorganization Plan as outlined in the letter of the Trustees,  
dated July 19, 1929.)

To the Trustees of

NATIONAL BANK OF KENTUCKY

AND

THE LOUISVILLE TRUST COMPANY:

421 WEST MARKET ST.

1. I hereby deposit with you endorsed in blank and witnessed Trustees' Participation  
Certificates for an aggregate of ..... Participation Shares of the National Bank of Kentucky  
and The Louisville Trust Company, in exchange for which you are to cause to be issued to me Two (2)  
shares of stock of The Banco Kentucky Company for each Participation Share herewith deposited,  
fully paid and non-assessable, under the terms of the Reorganization Plan as outlined in your letter  
of July 19, 1929, if, when and as said Plan shall become effective as therein provided.

*James H. Taylor*  
Signature of Stockholder.

440 Stark Bldg.  
Address

Exhibit 181

2. In accordance with the privilege given me under said Plan of Reorganization, I hereby  
subscribe for ..... additional shares of The Banco Kentucky Company, at Twenty-five (\$25.00)  
Dollars per share, and agree to pay the purchase price thereof on call of the Company following the  
date said Plan becomes effective.

*James H. Taylor*  
Signature of Stockholder.

Address.

181

2109

# Exhibit 182

National Bank of Kentucky

2/4/75	10 Shs.	\$3455.00
9/14/75	6 "	7078.00
4/22/77	9 1/10 "	Stock Dividend
"	4/10 "	131.00
7/1/79	60 "	73580.00
2/9/79	14 "	5964.00
4/1/79	30 "	10584.00

District Court of the United States for  
the Western District of Kentucky

A. M. Anderson, Receiver, Plaintiff

vs. No. 1046

David J. Abbott, et al Defendants

Plaintiff's Defendants' Exhibit No. 182

\$45747.00  
2757.50  
\$48,499.50

130  
1300  
100  
1400

Louisville National Bank

10/21/77	10 Shs.	7505.00
1/18/79	10 "	3010.00
		\$5515.00

1891 Aug 12 2700 Shs. Bank Kentucky @ \$25.00/Sh. - \$55000.00

11/26/30	Sold 3750 Shs. Bank	at \$1147.25/100
11/26/30	" 1750 "	" 441.75 "
11/25/30	" 500 "	" 176.50 "

\$1765.00

Exchanged 1000 7PC for 2800 Bonds  
Subscribed for 2200 "

48,499.50  
55,000.00  
103,499.50

# Exhibit 183

Bank Stock Purchases

			Cost
	1923 - 10 shares	Lainance	1.550.00
July	1924 - 25	"	4,187.50
Nov	1924 - 5	"	220.00
Mar	1925 - 15	"	3,010.00
Aug	1925 - 4	"	812.00
Feb	1926 - 1	"	226.00
Feb	1927 - 5	"	1,400.00
Sept	1927 - 17	"	3,400.00
Dec	1928 - 3	"	753.00
	<u>85</u>		<u>16,283.50</u>

District Court of the United States  
the Western District of Missouri  
A. M. Anderson, Receiver  
vs.  
David J. Abbott, et al  
No. 1046  
Plaintiff  
Defendants  
Plaintiff's Defendants Exhibit No. 183

July	1928 - 25 sh	do	do	19,000.00
July	1928 - 15	do	do	5,000.00
Jan	1929 - 60	do	do	2,500.00
Jan	1929 - 15	do	do	3,000.00
	<u>115 sh</u>			<u>29,500.00</u>

Total 200 shares

4,000 shares of Hamilton

5,000

① Included in the  
stock in my name

Bank



# Exhibit 184

District Court of the Eastern  
the Western District of Kentucky

A. M. Anderson, Executor,  
vs

David F. Abbott, et al

Plaintiff

Defendants

Exhibit No. 184

Louisville, Ky., Sept 7th, 1929.

## SUBSCRIPTION TO SHARES

OF

## THE BANCO KENTUCKY COMPANY

INCORPORATED

(Under the Reorganization Plan as outlined in the letter of the Trustees,  
dated July 19, 1929.)

To the Trustees of

NATIONAL BANK OF KENTUCKY

AND

THE LOUISVILLE TRUST COMPANY:

421 WEST MARKET ST.

1. I hereby deposit with you endorsed in blank and witnessed Trustees' Participation Certificates for an aggregate of 600 - Participation Shares of the National Bank of Kentucky and The Louisville Trust Company, in exchange for which you are to cause to be issued to me Two (2) shares of stock of The Banco Kentucky Company for each Participation Share herewith deposited, fully paid and non-assessable, under the terms of the Reorganization Plan as outlined in your letter of July 19, 1929, if, when and as said Plan shall become effective as therein provided.

E. Roland Taylor  
Signature of Stockholder.

1016 Francis Bldg.  
Address

Exhibit 185

District Court of the United States  
the Western District of Kentucky

A. M. Anderson, Receiver, ..... Plaintiff  
vs. No. 1046  
David J. Abbott, et al. .... Defendants  
Plaintiff's Defendants' Exhibit No. 185

Louisville, Ky., July 21, 1929.

SUBSCRIPTION TO SHARES

OF

THE BANCO KENTUCKY COMPANY

INCORPORATED

(Under the Reorganization Plan as outlined in the letter of the Trustees,  
dated July 19, 1929.)

To the Trustees of

NATIONAL BANK OF KENTUCKY

AND

THE LOUISVILLE TRUST COMPANY:

421 WEST MARKET ST.

I hereby deposit with you endorsed in blank and witnessed Trustees' Participation Certificates for an aggregate of ..... Participation Shares of the National Bank of Kentucky and The Louisville Trust Company, in exchange for which you are to cause to be issued to me Two (2) shares of stock of The Banco Kentucky Company for each Participation Share herewith deposited, fully paid and non-assessable, under the terms of the Reorganization Plan as outlined in your letter of July 19, 1929, if, when and as said Plan shall become effective as therein provided.

E. Ireland Taylor  
Signature of Stockholder.

1010 Francis Bldg.  
Address

# Exhibit 186

Louisville, Ky., July 16, 1929.

## SUBSCRIPTION TO SHARES

OF

## THE BANCO KENTUCKY COMPANY

INCORPORATED

(Under the Reorganization Plan as outlined in the letter of the Trustees,  
dated July 19, 1929.)

To the Trustees of

**NATIONAL BANK OF KENTUCKY**

AND

**THE LOUISVILLE TRUST COMPANY:**

421 WEST MARKET ST.

I hereby deposit with you endorsed in blank and witnessed Trustees' Participation Certificates for an aggregate of 14 Participation Shares of the National Bank of Kentucky and The Louisville Trust Company, in exchange for which you are to cause to be issued to me Two (2) shares of stock of The Banco Kentucky Company for each Participation Share herewith deposited, fully paid and non-assessable, under the terms of the Reorganization Plan as outlined in your letter of July 19, 1929, if, when and as said Plan shall become effective as therein provided.

Signature of Stockholder.

Address



# Exhibit 187

Louisville, Ky., July \_\_\_\_\_, 1929.

## SUBSCRIPTION TO SHARES

OF

## THE BANCO KENTUCKY COMPANY

INCORPORATED

(Under the Reorganization Plan as outlined in the letter of the Trustees,  
dated July 19, 1929.)

To the Trustees of

NATIONAL BANK OF KENTUCKY

AND

THE LOUISVILLE TRUST COMPANY:

421 WEST MARKET ST.

1. I hereby deposit with you endorsed in blank and witnessed Trustees' Participation Certificates for an aggregate of 800 Participation Shares of the National Bank of Kentucky and The Louisville Trust Company, in exchange for which you are to cause to be issued to me Two (2) shares of stock of The Banco Kentucky Company for each Participation Share herewith deposited, fully paid and non-assessable, under the terms of the Reorganization Plan as outlined in your letter of July 19, 1929, if, when and as said Plan shall become effective as therein provided.

*Oliver S. Sackett*

*By W. S. Speed Atty*

Signature of Stockholder.

Address

Exhibits  
187

2115

# Exhibit 188

Louisville, Ky., July \_\_\_\_\_, 1929.

## SUBSCRIPTION TO SHARES OF THE BANCO KENTUCKY COMPANY INCORPORATED

(Under the Reorganization Plan as outlined in the letter of the Trustees,  
dated July 19, 1929.)

To the Trustees of  
NATIONAL BANK OF KENTUCKY  
AND  
THE LOUISVILLE TRUST COMPANY:  
421 WEST MARKET S

1. I hereby deposit with you endorsed in blank and witnessed Trustees' Participation  
Certificates for an aggregate of 560 Participation Shares of the National Bank of Kentucky  
and The Louisville Trust Company, in exchange for which you are to cause to be issued to me Two (2)  
shares of stock of The Banco Kentucky Company for each Participation Share herewith deposited,  
fully paid and non-assessable, under the terms of the Reorganization Plan as outlined in your letter  
of July 19, 1929, if, when and as said Plan shall become effective as therein provided.

Fred M. Sackett  
By W. S. Speed Attorney  
Signature of Stockholder.

Dist. of  
the  
A. M. Anderson  
Plaintiff  
Defendants  
David J. Anderson  
Plaintiff's  
No. 188

Address

# Exhibit 189

2. In accordance with the privilege given me under said Plan of Reorganization, I hereby  
subscribe for 3000 additional shares of The Banco Kentucky Company, at Twenty-five (\$25.00)  
Dollars per share, and agree to pay the purchase price thereof on call of the Company following the  
date said Plan becomes effective.

F. M. Sackett  
By W. S. Speed  
Signature of Stockholder.

Dist. of  
the  
A. M. Anderson  
Plaintiff  
Defendants  
David J. Anderson  
Plaintiff's  
No. 189

Address

# Exhibit 190

District Court of the United States for  
the Western District of Texas

A. M. Anderson, Receiver, Plaintiff

vs. No. 1046

David J. Abbott, et al. Defendant

Plaintiff's Defendants' Exhibit No. 190

National Bank of Texas

Jan 12 - 1922 -	10 shares	\$ 336 00
Mar 2 - 1927 -	27 "	12717 00
" 3 - 1927 -	13 "	6058 00
June 23 - 1927 -	30 Interim certif.	
	80 shares	\$ 22135 00

Walter D.

Oct 24 - 1927 - 80 Interim certif.

Converted to 1800.

~~June 23 - 1927~~ - 1600 Banco certificates

Banco -

Oct 2 - 1929 Bought 2000 Banco	5000 00
Dec 17 " Gift from wife 10 "	227 50
June 14 - 1930 " Mother 10 "	233 75

July 24 - 1930. Low Trust converted 200 shares  
Interim certificate 10 =

3820 Banco including 10 qualifying

2117

2-11-30



Exhibit 192

STATEMENT OF S. H. STONE IN REFERENCE TO  
TIME AND MANNER OF ACQUISITION OF  
BANCKENTUCKY STOCK.

S. H. Stone says that he was a stockholder in the Louisville National Bank, and at the time of the consolidation of that Bank with the Louisville Trust Company, he acquired by exchange of Louisville National Bank certificate held by him, one hundred Trustees Participation Certificates of the par value of \$100.00 each; that thereafter each of these certificates were divided into ten (10) Trustees' Participation Certificates, and that after this division, he held one thousand T. P. C.'s; that after August 1st, and prior to September 19, 1929, he surrendered to the trustees one thousand (1,000) Trustees' Participation Certificates in consideration of an Interim Receipt issued and delivered to him for the delivery of two thousand (2,000) shares of BancoKentucky Company stock; that thereafter, and on or about October 1, 1939, he subscribed for one thousand (1,000) shares of BancoKentucky Company stock and paid therefor to BancoKentucky Company, the sum of Twenty-Five Thousand (\$25,000.00) Dollars in cash.

This February , 1939.

Sam H. Stone

District Court of the United States for  
the Western District of Kentucky

A. M. Anderson, Receiver, Plaintiff

No. 1046

David J. Lucott, et al. Defendants

Plaintiff's Defendants' Exhibit No. 192

Exhibit 193

Exhibit 193.

In re - Anderson, Receiver v. Abbott, et al.

In connection with the Stipulation filed February 3, 1939, in regard to the testimony of witnesses not called, the schedule of Ben J. Metcalfe's ownership of stock in The BancoKentucky Company is as follows:

Prior to the transfer date of Trustees' Participation Certificates into BancoKentucky stock, he owned 2530 shares, and transferred 2330 Trustees' Participation Certificates for which he received two shares of BancoKentucky stock for each share of Trustees' Participation Certificates - total 4660.

Later on a certificate for 20 shares of Trustees' Participation Certificates was deposited, and an Interim Receipt taken in lieu thereof. This stock was to be transferred into 400 shares of BancoKentucky, making a total of 5060.

On the day of December, 1929, he subscribed for 1940 additional shares of BancoKentucky stock.

The foregoing was exclusive of 10 shares of Louisville Trust Company stock held as director.

Dist. Ct. of Ky. v. Anderson, Receiver for

Plaintiff

Defendants

Exhibit No. 193

Exhibit 194

Exhibit 194.

In re - Anderson, Receiver v. Abbott, et al.

In connection with the Stipulation filed February 3, 1939, in regard to the testimony of witnesses not called, the schedule of Angereau Gray's ownership of stock in The BancoKentucky Company is as follows:

Prior to the unification of interests of the Louisville Trust Company and the National Bank of Kentucky, he owned 20 shares of Louisville Trust Company stock. These were surrendered to the Trustees under the Agreement of April 22, 1927, and he received in return 10 shares of Louisville Trust Company stock and 10 shares of National Bank of Kentucky stock.

On September 19, 1929, he purchased 50 shares of BancoKentucky stock.

On January , 1930, he transferred 10 shares of National Bank of Kentucky stock through the Trustees into 200 shares of BancoKentucky Company stock.

194



LOSSES ON ITEMS CRITICIZED BY JOHN S. WOOD, CHIEF NATIONAL BANK EXAMINER  
IN EXAMINATION OF NATIONAL BANK OF KENTUCKY  
BEGINNING ON MAY 28, 1929 AND CLOSING JUNE 15, 1929

---

District Court of the United States for  
the Western District of Kentucky  
A. M. Anderson, Receiver, Plaintiff  
vs.  
David J. Abbott, et al, Defendants  
Plaintiff's Defendants' Exhibit No. 195

# Exhibit 195

Name	Page	On Books May 25, 1929	Previous Subsequent Charge Offs	Subsequent Charge Offs	Subsequent Collections	Subsequent Increase In Line	Balance Due	Less
Gray-Robinson Clothing Company Endorsed Frank Gray (Director Dodd interested)	3-2	\$ 155,000.00	-0-	-0-	\$ 155,000.00	-0-	-0-	-0-
Callahan and Sons Endorsed E.L. Callahan (Director)	3-2	80,000.00	-0-	-0-	80,000.00	-0-	-0-	-0-
Hart Manufacturing Company (Director J.S. Akers interested)	3-2	90,000.00 (Direct) 61,215.88 (Indirect)	-0-	-0-	90,000.00 61,215.88	-0-	-0-	-0-
Herald-Post Company (Director James B. Brown endorser)	3-2	396,000.00 (Direct) 6,424.98 (Indirect)	-0-	-0-	25,949.96 1,684.88	-0-	371,180.04 2,740.10	371,180.04 2,740.10
Jones-Dahney Company (Director, Saunders P. Jones interested)	3-2	180,000.00 (Direct) 2,280.18 (Indirect)	-0-	-0-	180,000.00 2,280.18	-0-	-0-	-0-
C.A. Koerner and Company (Vice-Pres. Frank L. Dugan interested)	3-2	11,000.00	-0-	-0-	11,000.00	-0-	-0-	-0-
Lemon and Son (Director Brainerd Lemon interested)	3-2	30,000.00 (Direct) 807.80 (Indirect)	-0-	-0-	30,000.00 807.80	-0-	-0-	-0-
Louisville Cement Company (Director Wm. S. Speed interested)	3-2	75,000.00	-0-	-0-	75,000.00	-0-	-0-	-0-
Ohio Falls Iron Company (Director Geo. M. Clark, interested)	3-2	75,000.00 (Direct) 4,008.89 (Indirect)	-0-	-0-	5,441.84 5,045.40	15,000.00 -0-	94,588.46 944.49	94,588.46 944.49
Ohio River Sand Company (Director Chas. H. Bohmer interested)	3-2	240,000.00	-0-	-0-	240,000.00	-0-	-0-	-0-
Peaslee-Gaulbert Company (Director S.E. Duncan interested)	3-2	40,000.00	-0-	-0-	40,000.00	-0-	-0-	-0-
Avery Building Association (Director Henry Thiman interested)	3-2	300,000.00	-0-	-0-	300,000.00	-0-	-0-	-0-
Berman Straus and Sons (Director W.L. Kohn interested)	3-2	105,000.00 (Direct) 54,897.08 (Indirect)	-0-	-0-	105,000.00 54,897.08	-0-	-0-	-0-
American Turf Association Fairmount Jockey Club Washington Park Jockey Club (Director James B. Brown interested)	3-2	300,000.00 292,800.00 75,000.00	-0-	-0-	300,000.00 292,800.00 75,000.00	-0-	-0-	-0-
		\$2,510,836.19			\$2,066,422.10	\$15,000.00	\$459,413.09	\$459,413.09

## SUMMARY

On books May 25, 1929 \$2,510,836.19  
Subsequent increase in lines 15,000.00  
Total \$2,525,836.19  
Less subsequent collections 2,066,422.10  
Balance due - (Loss) \$459,413.09

# Exhibit 195

## LIABILITIES OF DIRECTORS, OFFICERS AND EMPLOYEES LISTED IN EXAMINERS REPORT BEGINNING MAY 25, 1929, CLOSED JUNE 15, 1929

Name	Page	On Books May 25, 1929	Previous Charge		Subsequent Charge-Offs		Subsequent Collections	Subsequent Increase In Line	Balance		Loss
			Offs	Date	Date	Amount			Due		
H. J. Angermeyer (Guarantees Electric Refrig. Co.)	3-4	\$ 46,396.41	-0-	-0-	-0-	-0-	\$ 49,270.81	-0-	\$ 6,125.80	\$ 6,125.80	
James B. Brown, joint maker with J.W.M. Stewart, et al. (Endorser) G.S. Schlesinger	3-4	250,534.24	-0-	-0-	-0-	-0-	250,534.24	-0-	-0-	-0-	
" C.E. Lewis		510.00	-0-	-0-	-0-	-0-	20.12	-0-	499.88	499.88	
(Guarantor) O.A. Block		900.00	-0-	-0-	-0-	-0-	823.02	-0-	3,726.98	3,726.98	
" C.F. Jones		16,000.00	-0-	-0-	-0-	-0-	900.00	-0-	-0-	-0-	
" E.R. Grant		4,800.00	-0-	-0-	-0-	-0-	16,000.00	-0-	-0-	-0-	
" L.L. Fontaine		5,000.00	-0-	-0-	-0-	-0-	4,800.00	-0-	-0-	-0-	
R.L. Callahan Coll. 887 sbs. Standard Oil of Ky.	3-4	19,500.00	-0-	-0-	-0-	-0-	1,000.00	-0-	4,000.00	4,000.00	
Geo. M. Clark Geo. M. Clark, (End. Lucy C. Boyce)	3-4	220,500.00	-0-	-0-	-0-	-0-	19,500.00	-0-	-0-	-0-	
S.E. Duncan (Collateral)		10,000.00	-0-	-0-	-0-	-0-	114,669.53	-0-	105,850.47	105,850.47	
S.E. Duncan (End. Gale Francis)		55,687.50	-0-	-0-	-0-	-0-	5,900.00	-0-	4,100.00	4,100.00	
S.E. Duncan (End. W.G. Duncan)		50.00	-0-	-0-	-0-	-0-	80,336.89	-0-	6,880.61	6,880.61	
Baylor O. Hickman (Collateral)	3-4	10,000.00	-0-	-0-	-0-	-0-	50.00	-0-	-0-	-0-	
Saunders P. Jones (Collateral)	3-5	39,717.50	-0-	-0-	-0-	-0-	1,532.52	-0-	8,447.48	8,447.48	
Walter I. Kohn (Collateral)	3-5	54,500.00	-0-	-0-	-0-	-0-	39,717.50	-0-	-0-	-0-	
Brinard Lamon (End. Mary L. Tyler)	3-5	72,154.50	-0-	-0-	-0-	-0-	54,500.00	-0-	-0-	-0-	
" Blanche Craig		13,944.00	-0-	-0-	-0-	-0-	72,154.50	-0-	-0-	-0-	
" Lacy L. Milton		4,000.00	-0-	-0-	-0-	-0-	13,944.00	-0-	-0-	-0-	
" W. Edwin Glossop		3,500.00	-0-	-0-	-0-	-0-	822.38	-0-	3,177.62	3,177.62	
S.C. Lyons Joint with W.L. Lyons & Company	3-5	16,250.00	-0-	-0-	-0-	-0-	3,500.00	-0-	-0-	-0-	
H.D. Ormsby (End. St. Marks Church)	3-5	95,033.33	-0-	-0-	-0-	-0-	4,220.14	-0-	12,029.88	12,029.88	
W.S. Speed (Collateral)	3-5	495,000.00	-0-	-0-	-0-	-0-	95,033.33	-0-	-0-	-0-	
John Stites (End. Jarrett Stites)		800.00	-0-	-0-	-0-	-0-	495,000.00	-0-	-0-	-0-	
John Stites (End. J.H. Stites)		250,000.00	-0-	-0-	-0-	-0-	800.00	-0-	-0-	-0-	
John Stites (End. A.M. Hamah)		2,200.00	-0-	-0-	-0-	-0-	1,960.00	-0-	-0-	-0-	
J. E. Jansen (Collateral)	3-5	304.55	-0-	-0-	-0-	-0-	1.30	2,537.92	2,841.17	2,841.17	
Raymond L. Metz (Collateral)	3-5	2,412.08	-0-	-0-	-0-	-0-	1,988.08	-0-	427.08	427.08	



LIABILITIES OF DIRECTORS, OFFICERS AND EMPLOYEES LISTED IN  
EXAMINERS REPORT BEGINNING MAY 25, 1929, CLOSED JUNE 15, 1929

PAGE -2-

Name	Page	On Books May 25, 1929	Previous Charge		Subsequent Charge-Offs		Subsequent Collections	Subsequent Increase In Line	Balance Due	Loss
			Offs	Date	Amount	Date				
Catherine D. Mooney (Collateral)	3-5	\$ 2,086.00	-0-	-0-	-0-	-0-	\$ 3,160.39	\$ 11,462.00	\$ 10,386.61	\$ 10,386.61
C.L. Revenaugh (Endorser)	3-6	200.00	-0-	-0-	-0-	-0-	15.00	50.00	235.00	235.00
E.B. Robertson (End. Crescent Hill Baptist Church)	3-6	13,000.00	-0-	-0-	-0-	-0-	13,000.00	-0-	-0-	-0-
A.J. Steltenpohl (End. C.B. Steltenpohl)	3-6	275.00	-0-	-0-	-0-	-0-	275.00	-0-	-0-	-0-
Totals		\$1,761,128.11					\$1,607,989.69	\$14,049.92	\$167,188.34	\$151,980.86

SUMMARY

On Books May 25, 1929 \$1,761,128.11  
Subsequent increase in lines 14,049.92  
Total \$1,775,178.03  
Less subsequent collections 1,607,989.69  
167,188.34  
Less doubtful losses (See asterisks) 15,207.48  
\$ 151,980.86

\* Doubtful losses.

# Exhibit 195

## SEALED AND DOWNTOWN PAPER AND LOSS OF LOAN LISTED IN EXAMINERS REPORT Beginning May 25, 1929, closed June 15, 1929

Name	Page	On Books May 25, 1929	Previous Charge-Offs	Subsequent Charge-Offs Date	Subsequent Charge-Offs Amount	Subsequent Collections	Subsequent Increase in Line	Balance Due	Loss
Adams, G. W. & P. R.	4	29.35	-0-		-0-	23.35	-0-	-0-	-0-
Almeida Shop	4	8,500.00	-0-		-0-	2,792.03	-0-	5,707.97	5,707.97
Alliger-Koch & Co.	4	750.00	-0-		-0-	750.00	-0-	-0-	-0-
Koch, J. C., End. Alliger Koch & Co.	4	2,300.00	-0-		-0-	2,216.72	-0-	83.28	83.28
Alliger, P. E.	4	700.00	-0-		-0-	-0-	1,650.00	2,350.00	2,350.00
Armstrong, Reynolds Co.	4	5,350.00	-0-		-0-	5,350.00	-0-	-0-	-0-
Attkisson, Eugene R.	4-1	4,700.00	-0-		-0-	4,700.00	-0-	-0-	-0-
Attkisson, Eugene R. & Guy D.	4-1	4,000.00	-0-		-0-	4,000.00	-0-	-0-	-0-
Badger Aluminum Co.		6,000.00	33,000.00	5/21/30	1,000.00	487.52	-0-	36,512.48	36,512.48
Becky Hove Co.		29.99	-0-	5/21/30	29.99	-0-	-0-	29.99	29.99
Bimbas, G. L. & Soon, J. J.		300.00	-0-		-0-	300.00	-0-	-0-	-0-
Boden, Chas. W.		1,058.97	-0-	5/21/30	155.94	903.03	-0-	155.94	155.94
Boles, Calvin F.		9,160.00	-0-		-0-	8,160.00	-0-	-0-	-0-
Bostie, Annie L.		100.00	-0-	5/21/30	100.00	-0-	-0-	100.00	100.00
Breslin, F. G.		80,772.17	-0-		-0-	34,911.34	-0-	45,860.83	45,860.83
Bruner, Ben L.		550.00	-0-		-0-	400.38	-0-	149.62	149.62
Cadet Knitting Co.		45,000.00	-0-		-0-	45,000.00	-0-	-0-	-0-
Campbell-Heath & Co.	4-2	95,605.82	-0-		-0-	1,339.51	-0-	-0-	-0-
						339.51	-0-	-0-	-0-
White & Associates	4-3	224,471.50	-0-		-0-	46,842.56	-0-	177,628.94	177,628.94
Carter Guaranty Co.		11,042.49	-0-		-0-	67,422.51	-0-	46,619.99	46,619.99
Carter, Ellerbe W.	4-4	9,137.16	-0-		-0-	7,466.19	-0-	1,730.98	1,730.98
Carthon, W. C.		75.00	176.00	3/21/30	75.00	25.00	-0-	225.00	225.00
Central Furniture Co.		150,000.00	-0-		-0-	150,000.00	-0-	-0-	-0-
Kerrisan, Maude L. & C. E.									
Central Paint & Roofing Co.		2,323.45	2,500.00	3/21/30	1,000.00	-0-	-0-	4,823.45	4,823.45
Chandler, John H.	4-5	4,510.00	-0-		-0-	2,150.00	-0-	2,359.80	2,359.80
Chess & Symond Co.		63,199.25	-0-		-0-	30,558.41	-0-	32,640.84	32,640.84
Clay County Coal Co.		6,491.00	-0-	3/21/30	5,069.50	3,375.00	-0-	6,579.89	6,579.89
Colony Illuminating Co.		1,650.00	-0-		-0-	1,615.13	-0-	34.87	34.87
Columbia Phosphate Mining Co.	4-6	15,227.50	-0-		-0-	1,734.58	-0-	13,492.92	13,492.92
Conn, J. Parker		2,381.22	-0-		-0-	76.00	-0-	2,305.22	2,305.22
Cook, H. A.		100.00	100.00	3/21/30	100.00	-0-	-0-	200.00	200.00
Corydon Milling Co.		347.22	1,100.00		-0-	1,447.22	-0-	-0-	-0-
Consolidated Realty Co.		405,101.03	-0-		-0-	149,676.12	-0-	255,424.51	255,424.51
Hieatt, C. G. & J. J. Scheirich	4-9	9,595.00	-0-		-0-	9,595.00	-0-	-0-	-0-
Gardens Communities Corp.		12,077.06	-0-		-0-	-0-	-0-	12,877.06	12,877.06
Hieatt, C. C.		23,530.00	-0-		-0-	-0-	-0-	23,530.00	23,530.00
General Realty Corp.		130,650.00	-0-		-0-	15,437.99	-0-	115,212.01	115,212.01
Scheirich, H. J.	4-9	12,000.00	-0-		-0-	-0-	-0-	12,000.00	12,000.00
Cosser, Aubrey		862.58	-0-		-0-	-0-	-0-	862.58	862.58
Cosser, Maude L. & Aubrey		1,350.00	-0-		-0-	1,345.00	-0-	5.00	5.00
Daugherty, L. L.		662.43	-0-		-0-	662.43	-0-	-0-	-0-
Dawson Daylight Coal Co.		36,000.00	-0-		-0-	36,000.00	-0-	-0-	-0-

# Exhibit 195

Case	On Books May 25, 1929	Previous Charge-Offs	Subsequent Charge-Offs Date	Amount	Subsequent Collections	Subsequent Increase in Line	Balance Due	Loss
Color Cinema Co. (re-discounts)	1,233.16	-0-		-0-	1,233.16	-0-	-0-	-0-
Dehonay, Lillie M. R.	2,120.00	-0-	3/21/30	2,120.00	-0-	-0-	2,120.00	2,120.00
Deasey, J. J. et al	24,500.00	-0-		-0-	26,357.14	-0-	3,542.96	3,542.96
Deasey, J. I. et al	3,180.00	-0-		-0-	257.09	-0-	3,922.91	3,922.91
Dittmar, L. J.	11,566.00	-0-	5/21/30	5,359.30	2,607.00	-0-	8,739.00	8,739.00
Duffy Ice Company	9,000.00	-0-		-0-	9,000.00	-0-	-0-	-0-
Dundon, Robert D.	475.00	-0-		-0-	475.00	-0-	-0-	-0-
Eapere, John, Jr.	500.00	-0-		-0-	500.00	-0-	-0-	-0-
Eady, George E.	23,989.79	-0-		-0-	23,989.79	-0-	-0-	-0-
Eblen, C. C., E. C. and J. H.	1,950.00	-0-		-0-	-0-	-0-	1,950.00	1,950.00
Eherton, J. R.	1,500.00	-0-		-0-	-0-	-0-	249.75	249.75
Eureka Products Co.	10,000.00	25,016.50	(3/21/30) (5/21/30)	7,000.00	1,250.25	-0-	34,734.86	34,734.86
Fleese, Hugh B.	1,200.00	-0-		-0-	281.94	-0-	636.29	636.29
Frank, Henry Co.	6,200.00	-0-		-0-	564.71	-0-	-0-	-0-
Fisher, George H. & Co.	13,452.94	-0-	3/31/30	3,342.39	3,946.95	-0-	9,605.39	9,605.39
Gallagher, J. H.	2,540.00	-0-		-0-	1,929.37	-0-	610.53	610.53
Gheens, C. W.	25,410.96	-0-		-0-	25,410.96	-0-	-0-	-0-
Gibbs-Inman Co.	78,000.00	-0-		-0-	78,000.00	-0-	-0-	-0-
Gibraltar Coal Mining Co.	7,300.00	-0-		-0-	2,169.30	-0-	5,330.70	5,330.70
Merco Coal Co.	6,800.00	-0-		-0-	3,077.52	-0-	3,422.38	3,422.38
Brown, Robert L.	750.00	-0-		-0-	750.00	-0-	-0-	-0-
Gold Proof Milling Co.	120,000.00	-0-		-0-	120,000.00	-0-	-0-	-0-
Goldberg, I.	2,470.00	-0-		-0-	2,470.00	-0-	-0-	-0-
Goodloe, J. Kemp	4,700.00	-0-		-0-	4,700.00	-0-	-0-	-0-
Graham, S. S. and F. A. Parry	6,500.00	7,000.00	5/21/30	475.55	-0-	-0-	13,500.00	13,500.00
Guth, Leo E.	562.92	-0-		-0-	77.27	-0-	475.55	475.55
Grooms, H. L. et al	2,333.00	1,149.10		-0-	1,471.33	-0-	2,010.77	2,010.77
Huella, Arthur F. Ness Jordan	125.00	-0-		-0-	125.00	-0-	-0-	-0-
Harlan Coal Co.	37,405.08	10,000.00	5/21/30	5,303.40	24,333.22	-0-	14,566.86	14,566.86
Harlan Day Coal & Lining Co.	5,600.00	-0-		-0-	-0-	-0-	5,600.00	5,600.00
Hester, J. H.	16,500.00	-0-	5/17/30	100.00	16,500.00	-0-	-0-	-0-
Hedapp, E. H.	100.00	-0-		-0-	-0-	-0-	100.00	100.00
Hedapp, E. H. & wife	575.00	-0-	3/21/30	46.30	-0-	-0-	575.79	575.79
Horn, George	16.30	-0-		-0-	-0-	-0-	46.30	46.30
Hubbuch, Jos., Dr. & sons	15,500.00	-0-		-0-	7,810.79	-0-	8,689.21	8,689.21
Huffman, A. L. & T. H. Bing	75.90	-0-	10/24/30	7,754.74	21,765.26	-0-	7,754.74	7,754.74
Humphrey, L. C.	29,509.00	-0-		-0-	73.30	-0-	-0-	-0-
Hunt, Marie E.	5,305.73	-0-		-0-	5,305.73	-0-	-0-	-0-
Hyde, T. E. & Frank Nolan	30.00	-0-	3/21/30	25,000.00	30.00	-0-	-0-	-0-
Hyman Pickle Co.	54,400.00	-0-		-0-	10,823.71	-0-	43,576.29	43,576.29
H.D. Ormsby, Act., Hyman Pickle Co.	1,306.20	-0-		-0-	464.26	-0-	741.94	741.94
Indian Hills Dev. Co.	60,000.00	-0-		-0-	60,000.00	-0-	-0-	-0-
Jacobs, Laura W.	411.55	-0-		-0-	284.89	-0-	146.66	146.66
Jacobs, Walter F. & Co.	43,933.30	-0-	5/17/30	500.00	30,278.85	-0-	13,654.45	13,654.45
Jefferson, Thos. Wm. Town.	500.00	-0-		-0-	-0-	-0-	500.00	500.00



# Exhibit 195

Name	Page	On Books Nov 25, 1929	Previous Charge-Offs	Subsequent Charge-Offs Date	Subsequent Charge-Offs Amount	Subsequent Collections	Subsequent Increase in Line	Balance Due	Loss
Kastner-Whitman Co., Inc.	4-16	2,350.00	-0-	3/21/30	2,350.00	-0-	-0-	2,350.00	2,350.00
Kelly, Edw. C.	4-16	1,600.00	-0-		-0-	1,600.00	-0-	-0-	-0-
Ky. Book Mfg. Co.	4-16	6,500.00	-0-		-0-	6,500.00	-0-	-0-	-0-
Ky. Pkg. & Heat Co.	4-17	848.13	-0-	3/21/30	848.13	-0-	-0-	848.13	848.13
Ky. Public Elevator Co.	4-17	60,000.00	-0-		-0-	60,000.00	-0-	-0-	-0-
Ky. Refrigerating Co.	4-17	83,000.00	-0-		-0-	3,950.12	-0-	79,049.88	79,049.88
Ky. Wagon Mfg. Co.	4-17	1340,474.38	650,000.00	3/21/30	50,000.00	-0-	339,729.64	2,330,204.02	2,330,204.02
Kendler & Lucas	4-21	154,225.00	35,000.00	(3/21/30) (5/17/30)	50,000.00	-0-	-0-	189,225.00	189,225.00
Mac Neal, W. P.	4-21	650.00	-0-		-0-	574.23	-0-	75.77	75.77
Moerner, C. A. & Co.	4-21	11,000.00	-0-		-0-	11,000.00	-0-	-0-	-0-
Lassiter & Co., Robt. C.	4-21	65,359.56	-0-		-0-	65,359.56	-0-	-0-	-0-
Levi, Abe C. Co.	4-21	20,000.00	-0-		-0-	20,000.00	-0-	-0-	-0-
Lewis and Drye Auto Co.	4-21	976.60	-0-		-0-	76.66	-0-	900.00	900.00
Long, Dennis H.	4-22	29,222.00	-0-		-0-	13,846.09	-0-	15,375.91	15,375.91
Interstate Coal Co.	4-22	27,000.00	-0-		-0-	4,269.37	-0-	22,730.63	22,730.63
Swiss Dyers & Cleaners Co.	4-22	31,100.00	-0-		-0-	15,879.65	-0-	15,220.35	15,220.35
Louisville Ice and Storage Co.	4-22	143,715.00	-0-		-0-	143,715.00	-0-	-0-	-0-
Louisville Pkg. and Fin. Co.	4-23	15,000.00	-0-		-0-	15,000.00	-0-	-0-	-0-
Louisville Provision Co.	4-23	26,950.00	-0-		-0-	15,226.33	-0-	26,950.00	26,950.00
Louisville Textile Co.	4-23	15,226.33	-0-		-0-	1,000.00	-0-	1.00	1.00
Lynch, William	4-23	1,091.00	-0-		-0-	350.00	-0-	-0-	-0-
Mc Atee, Etta Zell	4-23	350.00	-0-		-0-	-0-	-0-	450.00	450.00
Mc Lermott, J. A.	4-23	450.00	-0-		-0-	-0-	-0-	-0-	-0-
Mc Guffin, M. L.	4-23	100.00	-0-		-0-	1,063.63	-0-	3,886.37	3,886.37
MacKin Council Y. I.	4-23	4,950.00	-0-	3/21/30	150.00	-0-	-0-	150.00	150.00
Lahan, L. P.	4-23	150.00	-0-	3/21/30	150.00	-0-	-0-	150.00	150.00
Pearcy, E. F.	4-24	400.00	-0-		-0-	400.00	-0-	-0-	-0-
Kandeville, Fulton	4-24	9,500.00	-0-		-0-	4,275.46	-0-	5,224.54	5,224.54
Kann Ins. Agency	4-24	4,900.00	-0-		-0-	4,900.00	-0-	-0-	-0-
Marret and Miller	4-24	30.61	-0-	5/17/30	30.61	-0-	-0-	30.61	30.61
Marwell, R. R. and Wife	4-24	176.46	-0-	5/21/30	176.46	-0-	-0-	176.46	176.46
Metcalfe, Sam B.	4-24	45.45	-0-		-0-	45.45	-0-	-0-	-0-
Bartrom, Henry P.	4-24	7,023.39	-0-		-0-	4,872.64	-0-	2,150.75	2,150.75
Kicon, Y. T.	4-24	4,591.54	2,632.64	3/21/30	4,591.54	-0-	-0-	7,224.18	7,224.18
Keriswether, Chas. J.	4-24	21,014.21	15,755.36	3/21/30	11,965.42	5,642.62	-0-	31,126.95	31,126.95
Keriswether, Chas. J.	4-25	5,916.00	-0-		-0-	5,916.00	-0-	-0-	-0-
Korison, George D.	4-25	125,000.00	-0-		-0-	36,086.08	325,421.74	414,332.76	414,332.76
Kurray Rubber Co.	4-25	2,856.45	-0-		-0-	2,856.45	-0-	-0-	-0-
Keller, D. A. Est. (Nat'l. Bk. Ky.)	4-26	47.86	-0-		-0-	47.86	-0-	-0-	-0-
Geo. D. Caldwell	4-26	4,075.00	-0-	3/21/30	1,175.00	500.00	-0-	3,575.00	3,575.00
Nelson, C. L.	4-26	1,650.00	-0-		-0-	1,650.00	-0-	-0-	-0-
Kesbit, Albert	4-26	50,000.00	-0-		-0-	19,290.70	-0-	30,709.30	30,709.30
Reptist Tabernacle	4-26	18,919.58	-0-		-0-	21,603.57	12,957.58	10,273.19	10,273.19
Norman Lumber Co.	4-26		-0-		-0-				

# Exhibit 195

Name	Page	On Books May 25, 1929	Previous Charge-Offs	Subsequent Date	Subsequent Charge-Offs Amount	Subsequent Collections	Subsequent Increase in Line	Balance Due	Loss
Morman Kiln Drying Co.	4-27	17,000.00	-0-		-0-	8,849.48	-0-	8,151.52	8,151.52
Morman, A. E., Jr.	4-27	5,000.00	-0-		-0-	5,000.00	-0-	-0-	-0-
Morman, E. B. Co.	4-27	552,871.25	-0-		-0-	-0-	150,000.00	702,871.25	702,871.28
Morman, E. B.	4-28	5,000.00	-0-		-0-	1,161.89	-0-	3,838.11	3,838.11
Morman, J. Colgan	4-28	1,375.00	-0-		-0-	1,052.76	-0-	322.24	322.24
O'Neil, Merit and Huggins, Clem	4-28	2,337.41	-0-		-0-	2,337.41	-0-	-0-	-0-
Orrill, G. W. & Dillon, W. H.	4-29	230.00	-0-		-0-	230.00	-0-	-0-	-0-
Parkway Lumber & Const. Co.	4-29	41,218.86	-0-		-0-	29,482.58	-0-	11,736.28	11,736.28
Pearless Print and Spec. Co.	4-29	360.00	-0-	3/21/30	45.58	314.42	-0-	45.58	45.58
Porman, E. E., Jr.	4-28	1,950.00	-0-		-0-	884.27	-0-	1,065.73	1,065.73
Pilcher, Henry Sons	4-29	55,800.00	-0-		-0-	55,000.00	-0-	-0-	-0-
Poore, C. H. Co.	4-30	6,575.00	-0-		-0-	2,954.19	-0-	3,620.81	3,620.81
Premier Mfg. Co.	4-30	195.00	-0-	3/21/30	195.00	-0-	-0-	195.00	195.00
Reed and Reed	4-30	30,826.53	-0-		-0-	-0-	17,153.59	47,980.12	47,980.12
Ray, E. H.	4-30	240.00	-0-		-0-	1,773.60	-0-	1,271.40	1,271.40
Richmond, James H. & H.C.T.	4-30	150.00	-0-		-0-	1,288.99	-0-	-0-	-0-
Ritter, Edwin	4-30	3,711.38	-0-		-0-	455.25	-0-	2,422.39	2,422.39
Schnopp, Ben, Jr.	4-30	455.25	-0-		-0-	1,736.66	-0-	-0-	-0-
Shapin Mfg. Co.	4-30	620.42	-0-		-0-	52.87	-0-	21,383.76	21,383.76
Simpson Realty Co.	4-31	650.00	-0-	5/17/30	597.13	2,046.21	-0-	2,953.79	2,953.79
Singer, C. A.	4-31	6,000.00	-0-	5/17/30	2,953.79	2,596.79	-0-	4,413.21	4,413.21
Solomon, Leon L.	4-31	7,000.00	-0-		-0-	601.69	-0-	398.31	398.31
Temple Theatre Corp.	4-31	1,000.00	-0-		-0-	742.25	-0-	277.75	277.75
Bates, D. A.	4-31	1,020.00	-0-		-0-	507.50	-0-	-0-	-0-
Willinger, Lewis L.	4-31	507.50	-0-		-0-	226.23	-0-	1,273.77	1,273.77
Solomon, Leopold, Temple Th.C.	4-32	1,500.00	-0-		-0-	165.00	-0-	1,595.75	1,595.75
Dunne, J. E.	4-32	1,788.75	-0-	3/21/30	1,759.75	41,214.06	-0-	52,590.44	52,590.44
Std. Mfg. Co.	4-32	93,804.50	-0-		-0-	53,339.61	-0-	12,160.39	12,160.39
Std. Print. Co.	4-32	65,800.00	-0-		-0-	-0-	-0-	-0-	-0-
Steinberg, C. J. & Chas. A. Litchfield	4-33	30.65	-0-	3/21/30	30.65	-0-	-0-	30.65	30.65
Steltemphl, E. B.	4-33	275.00	-0-		-0-	275.00	-0-	-0-	-0-
Straub, F. d. & Laura	4-33	129.65	-0-	3/21/30	129.65	-0-	-0-	129.65	129.65
Taylor, Dean C. & I. R. Patch	4-33	50.00	-0-	3/21/30	50.00	-0-	-0-	50.00	50.00
Taylor, W. C.	4-33	4,000.00	-0-		-0-	4,000.00	-0-	-0-	-0-
Thompson, A. V. & C. C. Hieatt	4-33	11,720.32	-0-		-0-	11,720.32	-0-	-0-	-0-
Thraves, W. V.	4-33	36,462.62	-0-		-0-	6,750.00	-0-	29,712.62	29,712.62
Tracy, Inc. The Kisses	4-33	109.08	-0-	3/21/30	109.08	-0-	-0-	109.08	109.08
Trent, O. T.	4-33	500.00	-0-		-0-	500.00	-0-	-0-	-0-
Trumbo-Schupp & Schmidt	4-34	148,237.06	-0-		-0-	61,795.99	-0-	66,441.07	66,441.07
Van Clevé, Jiles (End. T-S & S)	4-34	2,517.59	-0-		-0-	2,517.59	-0-	-0-	-0-
Van Clevé, Giles	4-34	1,950.00	-0-		-0-	1,950.00	-0-	-0-	-0-
Van Clevé, Giles - Loan	4-34	136,541.95	-0-		-0-	117,799.89	-0-	18,742.06	18,742.06
Van Clevé, Giles - Overdraft	4-34	12,314.70	-0-		-0-	12,314.70	-0-	-0-	-0-
Van Clevé, Giles - Cash Items	4-34	4,000.00	-0-		-0-	4,000.00	-0-	-0-	-0-

Name	Page	On Books May 25, 1929	Previous Charge-Offs	Subsequent Charge-Offs Date	Subsequent Charge-Offs Amount	Subsequent Collections	Subsequent Increase in Line	Balance Due	Loss
Delfinger, J. & Co. (Ind. T-S.S.)	4-34-	50,000.00	-0-		-0-	50,000.00	-0-	-0-	-0-
Utterback, J. C.	4-36	1,600.00	-0-		-0-	1,600.00	-0-	-0-	-0-
Verhoff & Co. H.	4-35	29,000.00	20,000.00		-0-	9,994.49	-0-	40,105.51	40,105.51
Walesby, C. S.	4-35	175.00	-0-	3/21/30	175.00	-0-	-0-	175.00	175.00
Walesby, C. S., H. S.	4-35	100.00	-0-		-0-	100.00	-0-	-0-	-0-
Wilder, Oscar	4-35	761.62	-0-		-0-	491.62	-0-	270.00	270.00
Willis, W. N.	4-35	5,900.00	-0-		-0-	89.83	-0-	5,810.17	5,810.17
Woods, E. H.	4-35	90,327.77	-0-	3/21/30	38,327.77	27,827.76	-0-	62,500.01	62,500.01
Wright, T. C.	4-35	181.07	-0-	5/17/30	181.07	-0-	-0-	181.07	181.07
Wyman, James W.	4-36	1,016.50	-0-		-0-	1,016.50	-0-	-0-	-0-
Peoples Bank - Springfield	4-36	540.63	5,000.00	5/17/30	12,433.25	640.63	12,433.25	17,433.25	17,433.25
Overdraft		600.50	-0-	5/17/30	600.50	-0-	-0-	600.50	600.50
Planters Bank of Clarkdale, Miss.	4-36	24,897.87	155,982.00		-0-	11,377.69	-0-	169,502.18	169,502.18
John M. Carson Banking Co. Morgantown, Ky.	4-36	7,093.85	-0-	3/21/30	3,000.00	7,093.85	-0-	-0-	-0-
Grand Total		5,905,215.83	993,179.48		249,832.99	2190,986.46	859,345.80	5,566,754.65	5,566,754.65

SUMMARY

On Books May 25, 1929  
Subsequent increase in lines  
Previous charge-offs  
Total  
Subsequent collections  
Balance due (loss)

\$5,905,215.83  
859,345.80  
993,179.48  
\$7,767,741.11  
2,190,986.46  
\$5,566,754.65



LOANS EXCEEDING THE LIMIT PRESCRIBED BY SECTION 5200 OF THE REVISED STATUTES, AND EXCESSIVE BALANCES  
WITH NONPAYER BANKS UNDER SECTION 19, FEDERAL RESERVE ACT LISTED IN EXAMINERS REPORT  
Beginning May 25, 1929, closed June 15, 1929

Description	On Books Page May 25, 1929	Previous Charge- Offs	Subsequent Charge-Offs Date	Subsequent Collections Amount	Subsequent Increase in Line	Debtor Balance Due	Loss
Wakefield & Co.	440,000.00	-0-		-0-	249,391.26	60,000.00	250,608.74
J. M. Greer	600,000.00	-0-		-0-	187,059.34	-0-	412,940.06
L. I. Harris	130,000.00	-0-		-0-	64,672.40	40,000.00	105,327.60
Mrs. A. E. Letta	20,000.00	-0-		-0-	13,299.55	56,000.00	61,700.45
Fannie G. Schweitzer	-0-	-0-		-0-	35,852.00	120,000.00	84,148.00
TOTALS	1,190,000.00				550,275.15	275,000.00	914,724.95

## SUMMARY

On Books May 25, 1929	\$1,190,000.00
Subsequent increase in lines	275,000.00
Total	\$1,465,000.00
Less subsequent collections	550,275.15
Balance due (loss)	\$ 914,724.85

REAL ESTATE LOANS LISTED IN EXAMINER'S REPORT  
ENDING MAY 25, 1929 CLOSING JUNE 15, 1929.

Name	Page	On Books May 25, 1929	Previous Charge Offs	Date	Subsequent Charge-Offs Amounts	Subsequent Collections	Subsequent Increase in Loan	Balance Due	Less
Olaf Anderson		\$7,831.03	-0-	-0-	-0-	\$ 7,831.03	-0-	-0-	-0-
Budger Aluminum Company		6,000.00	\$9,000.00	3-21-30	\$ 1,000.00	487.82	-0-	\$ 14,512.48	\$ 14,512.48
Clay County Coal Company		6,194.50	4,130.56	3-21-30	5,069.80	3,375.00	-0-	6,980.06	6,980.06
Columbia Phosphate Mining Company		15,227.50	-0-	-0-	-0-	1,729.58	-0-	13,497.92	13,497.92
Harlan Coal Company		39,398.54	-0-	-0-	-0-	34,831.68	-0-	4,566.86	4,566.86
Edwin Rittor		3,701.54	-0-	-0-	-0-	1,279.95	-0-	2,421.59	2,421.59
C. A. Singer		5,000.00	-0-	5-17-30	2,953.79	2,046.21	-0-	2,953.79	2,953.79
W. V. Thraves		36,461.62	179,305.88	-0-	-0-	9,512.36	-0-	207,255.14	207,255.14
Congregation of the Resurrection		56,375.00	-0-	-0-	-0-	56,75.00	-0-	-0-	-0-
General Realty Corporation		130,650.00	-0-	-0-	-0-	15,437.99	-0-	115,212.01	115,212.01
M.F. Marx Manufacturing Company		618.20	-0-	-0-	-0-	618.20	-0-	-0-	-0-
Totals		\$307,457.73	\$192,436.44		\$ 9,033.29	\$132,823.52		\$367,370.66	\$367,370.66

Exhibit 195

SUMMARY

On Books May 25, 1929	\$307,457.73
Previous Charge-offs	192,436.44
Total	\$499,894.17
Less Subsequent collections	132,823.52
(Balance due) Less	\$367,370.65

**ZWINDS**

On Books May 26, 1929	\$ 852,441.79
Subsequent increase in lines	5,000.00
<u>Total</u>	<u>\$ 857,441.79</u>
Subsequent collections	696,717.97
<u>Balance due (less)</u>	<u>\$ 160,723.82</u>



BONDS, SECURITIES, ETC. LISTED IN EXAMINERS REPORT  
Beginning May 25, 1929, closed June 15, 1929

Description	Page	On Books May 25, 1929	Previous Charge- Offs	Subsequent Charge-Offs Date	Subsequent Amount	Subsequent Collections	Subsequent Increase in Line	Debtor Balance Due	Loss
2,500,000.00 2 U. S. Consols.	30	7 2,500,000.00				2,500,000.00			
2,400.00 3 1st L.L. Bonds		7 2,400.00				2,400.00			
4,500.00 4 1st L.L. Bonds		7 4,500.00				4,500.00			
50,000.00 4 4th L.L. Bonds		7 50,000.00				50,000.00			
437,500.00 4 4th L.L. Bonds		7 437,500.00				437,500.00			
6,039,300.00 3 1/2 Treas. Notes		7 6,039,300.00				6,039,300.00			
547,100.00 4 1/2 Treas. Bonds.	47-52	7 547,100.00				547,100.00			
MUNICIPALS									
24,000.00 5 Port of New Orleans	29-37	7 24,000.00				24,000.00			
15,000.00 4 1/2 Port of New Orleans	41-42	7 14,400.00				41- 9,500.00			
10,000.00 4 1/2 Los Angeles, Cal.	46	7 9,800.00				9,800.00			
14,000.00 3 1/2 Louisville, Ky.	43	7 12,110.00				12,110.00			
20,000.00 4 1/2 "	51	7 20,400.00				20,400.00			
66,000.00 3 1/2 "	41	7 57,290.00				57,290.00			
10,000.00 4 1/2 "	54	7 10,100.00				10,100.00			
25,000.00 4 1/2 "	47	7 24,375.00				24,375.00			
28,000.00 3 1/2 "	41	7 23,535.00				23,535.00			
10,000.00 4 1/2 Omaha, Nebr.	35	7 10,000.00				10,000.00			
9,000.00 4 1/2 Parkersburg, N. Va.	29	7 8,640.00				8,358.75		281.25	
25,000.00 5 Rocky Mount, N.C.	53	7 25,000.00				24,527.36		472.64	
10,000.00 4 State of Tenn.	49	7 9,500.00				9,500.00			
5,200.00 4 1/2 Louisville, Ky.	54	7 5,252.00				5,252.00			
100,000.00 4 1/2 "	67	7 103,750.00				103,750.00			
686,420.55 State Warrants		7 686,420.55				676,124.34		10,296.31	
PUBLIC UTILITIES									
100,000.00 5 Crosstown St. Rys.	32	7 86,320.00				77,972.22		8,347.78	
115,000.00 6 Lou. Gas & Elec. Co.	37	7 109,250.00				109,250.00			
200,000.00 5 "	31	7 180,500.00				180,500.00			
7,000.00 5 Lou. Home Tele. Co.	33	7 7,000.00				6,989.31		10.59	
350,000.00 6 Louisville Rys. Co.	29	7 350,000.00				350,000.00			
95,000.00 5 "	30	7 87,302.50				87,302.50			
259,000.00 5 " Rys. Gen'l.		7 196,944.53				91,153.52		105,791.06	
100,000.00 5 Buffalo Rys.	31	7 85,330.00				85,330.00			
FOREIGN									
5,000.00 4 Porto Rico	33	7 4,950.00				4,950.00			

# Exhibit 195

Description	On Books Page May 25, 1929	Previous Charge- Offs	Subsequent Charge-Offs Date	Subsequent Collections in Line	Subsequent Increase in Line	Debtor Balance Due	Loss
RAILROADS							
2,400.00 5 International Rys 62 7-1	1,560.00			1,414.34			145.66
2,500.00 5 Chi. Ind. & Lou. 66 7-1	2,306.25			2,306.25			
10,000.00 5 C.W. St. P. & Pac. 2000 7-1	7,350.00			7,350.00			
MISCELLANEOUS							
25,000.00 6 1/2 Detroit-Canada Tunnel 48 7-1	24,187.50			937.50			23,250.00
170,327.50 4 1/2 K. & I. Term. RR 61 7-1	143,263.74			143,263.74			
19,000.00 6 Louisville Title Co 29 7-1	18,952.50			18,952.50			
5,000.00 6 Bankers Mfg. Co. 31 7-1	5,000.00			5,000.00			358.53
400.00 7 1/2 Assoc. Motors. 32	358.53						
4,500.00 6 J. H. Bickell 1st R.E. 7-1	4,500.00			4,500.00			
53,840.16 8 Notes Industrial Ownership Corp. 7-1							
secured by:							
500 shs. VanCamp Packing Co. Par \$25.00							
6,395 1/2 shs. VanCamp Packing Co. Com.							
2,298 1/2 Rights to purchase VanCamp Com. 320.00							
94,000.00 5 Liberty Washington 7-1	94,000.00			3,447.50			50,392.66
22,000.00 6 Eugene Straus 1st Mtg. R.E. Bonds 7-1	22,000.00			15,719.27		78,280.73	78,280.73
265,000.00 6 Note - Rogers Caldwell et al secured by 295,000.00 bds. Murray Rubber Co. 7-1	265,000.00			24,493.83	3,892.00		1,388.17
15,000.00 6 Columbia Phosphate Mining Co. due 1926 secured by Mtgs. 7-1	15,000.00			2,283.05		262,716.95	262,716.95
35,000.00 A.E. Latta, represents purchase Banking house abandoned 7-1	35,000.00			250.00		14,750.00	
107,817.14 Ky. Wagon Mfg. Co. 7-1	107,817.14			5,696.54		29,303.56	29,303.56 Value 6,800.00
			3/21/30	50,000.00			57,817.14

Description	On Books Page May 25, 1929	Previous Charge- Offs	Subsequent Charge-Offs Date	Subsequent Collections in Line	Debtor Balance Due	Loss
580,000.00 6 Murray Rubber Co secured by like amount debentures	7-1	580,000.00				580,000.00
12,433.25 Peoples Bank, Springfield, Tenn.	7-1	12,433.25			12,433.25	12,433.25
3,209 33/100 Graphite Products shrs. Corp.	7-2	4,206.02	616.50			3,588.52
200 shrs. Natl. Candy Co., Com.	7-2	3,750.00		1,500.00		2,250.00
52 " Lou. Ry. Co., Com.	7-2	1,716.00		52.00		1,664.00
353 " International Planters Corp., pf'd.	7-2					
2783 " Int'l. Planters Corp.	7-2					
900 " Con., mg par	7-2					
5 " Lou. Ry. Co., Com.	7-2	115,000.00		51,225.00	49,068.72	14,706.28
5 " Lou., Henderson & St. L. RR	7-2					
7200 " Rich Patch Land Co.	7-2					
500 " Industrial Ownership Corp., par 100.00	7-2					
83.40 Unmatured coupons		83.40		77.23	6.17	6.17
TOTALS		13,260,393.42	616.50	101,225.00	119,941.82.37	3,982.00
					397,446.74	1,258,251.25

SUMMARY (Bonds and Securities)

On Books May 25, 1929	\$13,260,393.42
Subsequent increases	3,882.00
Previous Charge-offs	616.50
Total	\$13,264,658.92
Less subsequent collections	11,894,182.67
	1,360,769.38
Less Remaining Values (Balance) Loss	7,176.00
	\$1,353,593.38



**LOANS ON ITEMS CRITICIZED BY JUNE 2, 1939, CREDIT NATIONAL BANK EXAMINER  
IN EXAMINATION OF NATIONAL BANK OF KENTUCKY  
ENDING ON MAY 28, 1939 AND CLOSING JUNE 18, 1939**

Liabilities of corporations in which Directors are interested	\$ 489,413.09
Liabilities of Directors, Officers, and Employees	151,980.86
Slow and Doubtful Paper and Loans On Loans	8,808,764.65
Loans Exceeding the Limit Prescribed by Section 5200 of the Revised Statutes, and Excessive Advances with Member Banks Under Section 19, Federal Reserve Act	914,724.86
Real Estate Loans	267,570.65
Other Loans Especially Mentioned	160,723.92
Bonds, Securities, Etc.	<u>7,389,624.25</u>
Total	\$9,974,808.17

Exhibit 196

REPORT OF LOSSES ON LOAN MADE BY NATIONAL  
BANK OF KENTUCKY FOR PAYMENT OF  
SUBSCRIPTION TO CAPITAL STOCK OF  
BANCOKENTUCKY COMPANY - DURING PERIOD -  
September 25, 1929 to November 7, 1939.

District Court of the United States for  
the Western District of Kentucky  
A. M. Anderson, Receiver, ..... Plaintiff  
vs. No. 1946  
David J. Abbott, et al ..... Defendants  
Plaintiff's Defendants' Exhibit No. 196

# Exhibit 196

	AMT. BORROWED	PAYMENTS	BALANCE DUE JAN. 1, 1939	VALUE	LOSS
Adams, Sara Byrd	\$ 200.00	\$ 200.00	-0-	-0-	-0-
Adams, Walter M.	329.93	-0-	329.93	-0-	329.93
Adams, Chester F.	253.79	218.09	35.70	35.70	-0-
Alderson, Clifford L.	12,000.00	12,000.00	-0-	-0-	-0-
Alexander, W. Alanson, Jr.	150.00	150.00	-0-	-0-	-0-
Allgeier, Frank W.	2,000.00	-0-	2,000.00	-0-	2,000.00
Allin, Bush W.	4,120.00	4,120.00	-0-	-0-	-0-
Amant, Allen	2,000.00	2,000.00	-0-	-0-	-0-
Amant, Mrs. Mary B.	350.00	350.00	-0-	-0-	-0-
Amant, Helte C.	4,000.00	1,089.23	2,910.77	-0-	2,910.77
Anderson, Warren L.	300.00	300.00	-0-	-0-	-0-
Anderson, W. Sherman	25,000.00	2,000.00	23,000.00	-0-	23,000.00
Angermier, Arthur G.	5,000.00	1,634.86	3,365.14	-0-	3,365.14
Angermier, Henry J.	34,024.33	10,427.22	23,597.61	-0-	23,597.61
Annear, J. Frank	5,103.33	5,103.33	-0-	-0-	-0-
Antonini, Catherine	125.00	25.00	90.00	-0-	90.00
Appel, Robert R.	2,250.00	2,250.00	-0-	-0-	-0-
Appel, Walter G.	5,000.00	230.88	4,769.12	-0-	4,769.12
Armstrong, George R.	5,000.00	-0-	5,000.00	-0-	5,000.00
Arterburn, Shelton B.	2,500.00	393.18	2,106.82	-0-	2,106.82
Atkins, Wm. R.	1,250.00	1,250.00	-0-	-0-	-0-
Awtry, Mrs. Goldie M.	800.00	800.00	-0-	-0-	-0-
Axton, Edwin D.	25,000.00	25,000.00	-0-	-0-	-0-
Axton, Wood F.	25,000.00	25,000.00	-0-	-0-	-0-
Ayres, Mrs. Juliet B.	500.00	500.00	-0-	-0-	-0-
Bader, Frank	500.00	303.32	196.68	-0-	196.68
Ballard, Joe	40,000.00	-0-	40,000.00	-0-	40,000.00
Bannon, Patrick	565.59	353.54	202.05	-0-	202.05
Bannon, Ross M.	10,000.00	3,008.59	6,991.41	-0-	6,991.41
Bannon, William P.	20,000.00	17,500.00	2,500.00	-0-	2,500.00
Barker, Henry A.	2,550.00	2,550.00	-0-	-0-	-0-
Barker, Kenneth A.	8,825.00	5,825.00	-0-	-0-	-0-
Barry, John J.	1,000.00	1,000.00	-0-	-0-	-0-
Bartley, Miss Ellen C.	1,000.00	150.00	850.00	-0-	850.00
Bartman, Charles F.	200.00	200.00	-0-	-0-	-0-
Bauer, William H.	200.00	200.00	-0-	-0-	-0-
Bauscher, John P.	125.00	100.00	25.00	-0-	25.00
Beard, John T.	2,449.60	724.79	1,724.81	-0-	1,724.81
Becht, Albert H.	1,250.00	1,250.00	-0-	-0-	-0-
Beck, Mrs. Anna Fillion	1,250.00	1,250.00	-0-	-0-	-0-
Behrens, Miss Clara L.	1,250.00	1,250.00	-0-	-0-	-0-
Behrens, Katherine S.	1,250.00	1,250.00	-0-	-0-	-0-
Bell, Junius W.	3,000.00	3,000.00	-0-	-0-	-0-
Bellman, John H.	6,000.00	6,000.00	-0-	-0-	-0-
Belshoff, Richard C.	1,250.00	1,250.00	-0-	-0-	-0-
Benedict, Miss Nina	125.00	125.00	-0-	-0-	-0-
Bernsen, Miss Mary A.	750.00	176.53	573.47	-0-	573.47
Bethel, Peyton B.	3,000.00	2,200.95	799.05	-0-	799.05
Beyer, Henry S.	3,000.00	1,476.27	1,523.73	-0-	1,523.73
Bilhars, Howard J.	4,000.00	4,000.00	-0-	-0-	-0-
Bills, Miss Lottie A.	5,100.83	2,694.98	2,405.85	-0-	2,405.85
Bills, Mrs. Carrie	10,000.00	10,000.00	-0-	-0-	-0-
Bishop, Mrs. Kate B.	15,535.17	15,565.17	-0-	-0-	-0-
Bittner, William C.	12,695.83	1,889.74	10,806.09	-0-	10,806.09
Blackford, Wilton F.	25,000.00	1,903.66	23,096.34	-0-	23,096.34
Block, Oscar A.	7,500.00	7,500.00	-0-	-0-	-0-
Board, Milton	34,500.00	1,268.82	32,631.18	10,000.00	22,631.18
Board, Robt. V.					
Boden, Mrs. Louise F.				-0-	3,825.76
Boden, Samuel D.	6,108.50	2,282.74	3,825.76	-0-	3,825.76



# Exhibit 196

	AMT. BORROWED	PAYMENTS	BALANCE DUE JAN. 1, 1939	VALUE	LOSS
Behannon, Clarence W.	\$ 253.79	25.27	228.52	-0-	228.52
Bonar, John L.	10,500.00	10,500.00	-0-	-0-	-0-
Borgman, Miss Angela J.	406.07	406.07	-0-	-0-	-0-
Bosmeyer, Miss Florence A.	3,560.00	344.81	3,208.19	-0-	3,208.19
Boswell, J. Edgar	4,500.00	-0-	4,500.00	-0-	4,500.00
Bottonley, Miss Mary A.	1,125.00	1,125.00	-0-	-0-	-0-
Bourlier, Emile B.	9,000.00	1,182.66	7,817.44	-0-	7,817.44
Bovard, Roy T.	200.00	200.00	-0-	-0-	-0-
Bowling, Ollie A.	1,500.00	927.02	502.98	-0-	502.98
Bowmer, Thomas W.	10,000.00	10,000.00	-0-	-0-	-0-
Bradley, Harry A.	1,000.00	530.00	470.00	-0-	470.00
Bradsby, Frank W.	25,000.00	25,000.00	-0-	-0-	-0-
Bridges, Harry	2,625.00	2,625.00	-0-	-0-	-0-
Briscoe, Wallace L. Jr.	2,600.00	581.41	1,938.59	-0-	1,938.59
Brown, Arthur F.	4,000.00	2,401.06	1,598.94	-0-	1,598.94
Brown, Bart A.	13,250.00	-0-	13,250.00	-0-	13,250.00
Brown, Frank H.	4,082.67	4,082.67	-0-	-0-	-0-
Brown, Harry J.	500.00	128.62	371.38	-0-	371.38
Brown, J. Graham	40,000.00	2,412.60	37,587.40	37,587.40	-0-
Brown, John C.	1,700.00	1,700.00	-0-	-0-	-0-
Brown, Tim C.	500.00	150.00	370.00	-0-	370.00
Bruner, Wm. Daniel	250.00	115.12	134.88	-0-	134.88
Bushman, Miss Sara Jane	250.00	55.06	198.95	-0-	198.95
Budde, Miss Anna B.	400.00	216.00	184.00	-0-	184.00
Budde, Edward G.	12,500.00	-0-	12,500.00	-0-	12,500.00
Buschel, George	6,361.46	2,112.00	4,249.46	-0-	4,249.46
Bullitt, Thomas B.	7,655.00	7,655.00	-0-	-0-	-0-
Bullock, J. Lowry	2,400.00	42.31	2,357.69	-0-	2,357.69
Burch, Henry L.	5,103.33	27.50	5,075.83	-0-	5,075.83
Burdorf, Fred J.	12,500.00	-0-	12,500.00	-0-	12,500.00
Burdue, Edward W.	1,100.00	1,100.00	-0-	-0-	-0-
Burch, Roland B.	750.00	750.00	-0-	-0-	-0-
Business Enterprise Co.	14,750.00	1,000.00	13,750.00	-0-	13,750.00
Cahn, Nathan H.	1,000.00	1,000.00	-0-	-0-	-0-
Calveard, Samuel R.	4,000.00	4,000.00	-0-	-0-	-0-
Cardwell, John C.	7,500.00	7,500.00	-0-	-0-	-0-
Cardwell, Marion H.	5,000.00	5,000.00	-0-	-0-	-0-
Carmey, Mrs. Mattie G.	1,000.00	1,000.00	-0-	-0-	-0-
Caron, L. Sheldon	4,000.00	4,000.00	-0-	-0-	-0-
Carroll, Anthony J.	25,000.00	8,941.44	16,058.56	5,600.00	10,558.56
Carson, Alexander H.	1,800.00	1,800.00	-0-	-0-	-0-
Carter, Allen R.	4,082.67	4,082.67	-0-	-0-	-0-
Caverly, John R.	2,500.00	2,500.00	-0-	-0-	-0-
Cawthon, Miss Mary Gale	225.00	225.00	-0-	-0-	-0-
Chambers, Mrs. May D.	150.00	150.00	-0-	-0-	-0-
Chappell, Edwin H.	1,015.17	525.82	489.35	-0-	489.35
Chappell, James M.	15,103.33	1,332.06	1,771.27	-0-	1,771.27
Charlton, Albert B.	500.00	500.00	-0-	-0-	-0-
Childers, Christopher C.	4,000.00	4,000.00	-0-	-0-	-0-
Christen, Gus A.	8,121.33	8,121.33	-0-	-0-	-0-
Clancy, Mrs. Mary P.	5,075.33	5,075.33	-0-	-0-	-0-
Clark, William K.	2,500.00	2,500.00	-0-	-0-	-0-
Clements, John W. S.	12,758.33	12,758.33	-0-	-0-	-0-
Coady, Louis D.	500.00	500.00	-0-	-0-	-0-
Coedy, Miss Mary K.	2,500.00	2,500.00	-0-	-0-	-0-
Cominger, Carl E.	2,550.84	-0-	2,550.84	-0-	2,550.84
Connaughton, Miss Sue G.	2,500.00	2,500.00	-0-	-0-	-0-

# Exhibit 98

	AMT. BORROWED	PAYMENTS	BALANCE DUE JAN. 1, 1959	VALUE	LOSS
Cooke, Edwin Goff	\$ 325.00	\$ 325.00	-0-	-0-	-0-
Coomes, James	2,500.00	715.43	1,784.57	-0-	1,784.57
Cooper, John T.	250.00	250.00	-0-	-0-	-0-
Coreoran, Andrew J.	1,250.00	1,250.00	-0-	-0-	-0-
Coreoran, C. Paul	253.79	136.39	117.40	-0-	117.40
Coreoran, Martin P.	4,082.67	676.92	3,405.75	1,630.00	1,775.75
Coreoran, Mrs. Miriam W.	1,250.00	1,250.00	-0-	-0-	-0-
Cotton, Francis R.	1,000.00	1,000.00	-0-	-0-	-0-
Cohen, Armand E.	1,300.00	1,300.00	-0-	-0-	-0-
Crabb, Frank	125.00	60.00	65.00	-0-	65.00
Craig, Miss Mary Catherine	125.00	125.00	-0-	-0-	-0-
Crews, James H.	1,250.00	1,250.00	-0-	-0-	-0-
Crull, Harry N.	125.00	125.00	-0-	-0-	-0-
Crutcher, Miss Gertrude	500.00	67.00	433.00	-0-	433.00
Curry, Lee	2,000.00	2,000.00	-0-	-0-	-0-
Daeuble, J. Louis	300.00	300.00	-0-	-0-	-0-
Dant, John P., Sr.	25,000.00	-0-	25,000.00	25,000.00	-0-
Davis, Harry V., Sr.	800.00	259.19	540.81	-0-	540.81
Davis, Harry V., Jr.	482.20	482.20	-0-	-0-	-0-
Davis, R. Hayes	12,529.17	9,537.82	2,991.35	-0-	2,991.35
Davis, Miss Margaret C.	525.00	525.00	-0-	-0-	-0-
Davis, Paul A.	2,275.00	80.00	2,195.00	-0-	2,195.00
DeGraw, Jess D.	250.00	250.00	-0-	-0-	-0-
Derryberry, Lewis T.	25,516.67	25,516.67	-0-	-0-	-0-
Dease, Theodore J.	1,000.00	1,000.00	-0-	-0-	-0-
Detweiler, Mrs. Edna Haoh	750.00	506.47	244.53	-0-	244.53
Dickson, Miss Agnes	375.00	375.00	-0-	-0-	-0-
Dimit, Addison	6,000.00	6,000.00	-0-	-0-	-0-
Dodd, Charles Pearce	16,500.00	4,159.30	11,340.70	-0-	11,340.70
Dortch, Nat F.	2,000.00	2,000.00	-0-	-0-	-0-
Dosker, Cornelius D.	1,278.83	1,278.83	-0-	-0-	-0-
Dosker, Nicholas H.	18,500.00	-0-	18,500.00	-0-	18,500.00
Doudna, Arthur B.	1,250.00	80.77	1,169.23	-0-	1,169.23
Downes, Mrs. Emily M.	2,500.00	2,500.00	-0-	-0-	-0-
Dressing, Wallace E.	200.00	50.00	150.00	-0-	150.00
Dreyfus, Isidor	2,500.00	-0-	2,500.00	2,500.00	-0-
Droppelman, Raymond J.	250.00	250.00	-0-	-0-	-0-
Duffy, Martin J.	500.00	-0-	500.00	-0-	500.00
Duffy, Martin J., Jr.	375.00	125.00	250.00	-0-	250.00
Dugan, Frank I.	2,500.00	2,500.00	-0-	-0-	-0-
Dugan, Walter E.	11,000.00	619.66	10,180.34	-0-	10,180.34
Durnil, Clarence H.	2,500.00	1,297.89	1,202.11	-0-	1,202.11
Dyer, Frank H.	2,500.00	93.34	2,406.66	200.00	2,206.66
Eaken, Charles W.	5,500.00	5,500.00	-0-	-0-	-0-
Eckenroth, Miss Pauline	4,000.00	2,301.38	1,698.62	-0-	1,698.62
Edens, Walter M.	10,000.00	10,000.00	-0-	-0-	-0-
Edwards, Rothwell T.	1,030.33	1,030.33	-0-	-0-	-0-
Eldredge, Miss Mary A.	200.00	200.00	-0-	-0-	-0-
Ellars, L. Ray	4,500.00	4,500.00	-0-	-0-	-0-
Elvin, Miss Christine	125.00	10.95	114.05	-0-	114.05
Emmert, Joseph M.	10,000.00	2,450.32	7,549.68	-0-	7,549.68
Erdsman, James H.	200.00	200.00	-0-	-0-	-0-
Everbach, Gerald L.	25,000.00	25,000.00	-0-	-0-	-0-
Ewing, C. Oscar	22,000.00	22,000.00	-0-	-0-	-0-
Ewing, Charles O., II	5,000.00	5,000.00	-0-	-0-	-0-
Fahy, Mrs. Julia R.	10,151.67	2,671.61	7,480.16	-0-	7,480.16
Farnsley, Alex T.	25,000.00	25,000.00	-0-	-0-	-0-

# Exhibit 196

	AMT. BORROWED	PAYMENTS	BALANCE DUE JAN. 1, 1939	VALUE	LOSS
Farnsley, Barrel E.	\$ 55,000.00	\$ 19.51.	\$ 54,980.49	400.00	\$54,580.49
Farnsworth, Edith	50.00	50.00	-0-	-0-	-0-
Fath, Leo E.	1,250.00	862.30	587.70	-0-	587.70
Feller, Harry	8,000.00	-0-	8,000.00	-0-	8,000.00
Fetter, Geo. G., Jr.	25,000.00	1,823.85	23,176.17	5,000.00	18,176.17
Fetter, John B.	12,500.00	12,500.00	-0-	-0-	-0-
Fields, John J.	150.00	150.00	-0-	-0-	-0-
Finley, Arthur W.	6,000.00	6,000.00	-0-	-0-	-0-
Fisher, Miss Irene	250.00	250.00	-0-	-0-	-0-
Fitzpatrick, Simon	750.00	750.00	-0-	-0-	-0-
Fleischmann, Albert W.	2,552.86	2,552.86	-0-	-0-	-0-
Fleming, Robert E.	25,000.00	13,601.82	11,398.48	-0-	11,398.48
Flowers, J. H.	150.00	150.00	-0-	-0-	-0-
Foley, Andrew Jackson	200.00	200.00	-0-	-0-	-0-
Fontaine, Eugene L.	12,758.33	2,452.08	10,306.25	-0-	10,306.25
Fontaine, Logan L.	89,000.00	1,285.00	87,715.00	-0-	87,715.00
Fontana, Charles E.	1,050.00	840.00	210.00	-0-	210.00
Fontana, Miss Marjorie	125.00	125.00	-0-	-0-	-0-
Frank, L. Wallace	2,500.00	2,500.00	-0-	-0-	-0-
Frank, Louis	12,000.00	-0-	12,000.00	3,000.00	9,000.00
Frantz, Louis F.	914.10	262.74	651.36	-0-	651.36
Frasier, Silas L.	25,000.00	4,078.18	20,921.85	-0-	20,921.85
Fuchs, Miss Hilda	750.00	68.70	681.30	-0-	681.30
Fugate, Isaac T.	9,700.00	9,700.00	-0-	-0-	-0-
Fulton, Gavin	25,000.00	12,489.55	12,510.65	-0-	12,510.65
Guinea, Miss Margaretta	250.00	213.72	36.28	35.00	1.28
Galvin, Maurice L.	80,000.00	80,000.00	-0-	-0-	-0-
Garnett, James	1,000.00	1,000.00	-0-	-0-	-0-
Gathof, Edward J.	250.00	110.40	139.60	-0-	139.60
Gaylord, Paul P.	25,000.00	25,000.00	-0-	-0-	-0-
Gensle, Frank B.	550.00	321.19	228.81	-0-	228.81
Gibbons, Mrs. Mary S.	300.00	300.00	-0-	-0-	-0-
Giles, J. Harvey	6,000.00	4,859.01	140.99	-0-	140.99
Gillessey, Thomas H.	2,500.00	2,500.00	-0-	-0-	-0-
Gilliford, Miss Belle	1,000.00	1,000.00	-0-	-0-	-0-
Gilligan, Thomas J.	750.00	750.00	-0-	-0-	-0-
Guigliano, Miss Agnes	2,500.00	2,500.00	-0-	-0-	-0-
Gipperich, Miss Emma Dorsey	300.00	-0-	300.00	-0-	300.00
Gipperich, Miss Lula Stafford	3,500.00	-0-	3,500.00	-0-	3,500.00
Girdler, Walter H.	55,000.00	55,000.00	-0-	-0-	-0-
Glass, Clarence D.	12,500.00	2,616.51	9,883.49	-0-	9,883.49
Glass, Vernon Clyde	2,500.00	1,383.45	1,116.57	1,116.57	-0-
Ghan, Albert C.	2,500.00	2,237.84	262.16	-0-	262.16
Goering, Leonard C.	140.00	140.00	-0-	-0-	-0-
Goering, William H., Jr.	125.00	125.00	-0-	-0-	-0-
Goldstein, Edward A.	2,000.00	2,000.00	-0-	-0-	-0-
Goodman, Harry W.	1,250.00	-0-	1,250.00	-0-	1,250.00
Gordon, Miss Alice E.	2,500.00	855.91	1,644.09	-0-	1,644.09
Gordon, Angus W.	253.79	253.79	-0-	-0-	-0-
Gordon, Miss Helen F.	5,000.00	-0-	5,000.00	-0-	5,000.00
Graf, Robert J.	37,500.00	37,500.00	-0-	-0-	-0-
Graff, Edward S.	10,000.00	10,000.00	-0-	-0-	-0-
Graham, Theo.	5,000.00	5,000.00	-0-	-0-	-0-
Grant, Henry R.	30,000.00	825.00	29,175.00	-0-	29,175.00
Gray, Henry O.	25,000.00	25,000.00	-0-	-0-	-0-
Gray, John E.	4,000.00	4,000.00	-0-	-0-	-0-
Green, Miss Allan Lake	425.00	425.00	-0-	-0-	-0-



# Exhibit 196

	AMT. BORROWED	PAYMENTS	BALANCE DUE JAN. 1, 1939	VALUE	LOSS
Green, Miss Emma	\$ 125.00	\$ 125.00	-0-	-0-	-0-
Green, O. Denton	1,900.00	1,900.00	-0-	-0-	-0-
Greene, Bessy D.	375.00	180.00	195.00	-0-	195.00
Greenstein, Joe H.	25,512.80	25,512.80	-0-	-0-	-0-
Greenstein, Simon J.	2,500.00	1,538.92	961.08	200.00	761.08
Griffin, Joe. T.	2,000.00	2,000.00	-0-	-0-	-0-
Grinstead, Bailey	15,000.00	-0-	15,000.00	-0-	15,000.00
Griswold, Alex V.	1,000.00	1,000.00	-0-	-0-	-0-
Guernsey, Gordon B.	1,250.00	1,250.00	-0-	-0-	-0-
Gutig, Miss Nettie J.	2,250.00	2,250.00	-0-	-0-	-0-
Guy, Charles H.	1,000.00	1,000.00	-0-	-0-	-0-
Haag, Robert H.	1,250.00	732.67	517.33	-0-	517.33
Hagedorn, Mrs. Isabella	250.00	6.00	244.00	-0-	244.00
Hagan, Miss Catherine	125.00	125.00	-0-	-0-	-0-
Hall, Wm. C.	12,500.00	.13	12,499.87	435.00	12,064.87
Hambleton, Wm. V.	1,500.00	1,500.00	-0-	-0-	-0-
Hamilton, Alexander C.	425.00	-0-	425.00	-0-	425.00
Hamilton, Charles L.	12,500.00	3,358.27	9,141.73	-0-	9,141.73
Hamill, William B.	1,250.00	1,250.00	-0-	-0-	-0-
Hancock, J. Duffy	2,000.00	1,490.20	509.80	-0-	509.80
Hanks, Herbert G.	5,000.00	5,000.00	-0-	-0-	-0-
Hansen, Miss Bessie	2,500.00	2,500.00	-0-	-0-	-0-
Hansen, Miss Mary A.	8,675.63	418.03	8,257.63	-0-	8,257.63
Hansen, Miss Mary F.	306.10	306.10	-0-	-0-	-0-
Hardaway, A. Moorman	2,500.00	2,500.00	-0-	-0-	-0-
Hardin, Preston W.	1,250.00	1,250.00	-0-	-0-	-0-
Harrison, John H.	10,000.00	-0-	10,000.00	500.00	9,500.00
Harrod, Thos.	125.00	-0-	125.00	-0-	125.00
Hawkins, Trevor I.	325.00	325.00	-0-	-0-	-0-
Hayes, James J.	12,500.00	77.94	12,422.06	-0-	12,422.06
Heath, Harry M.	10,155.67	253.04	9,903.63	-0-	9,903.63
Hemp, Edward E.	375.00	375.00	-0-	-0-	-0-
Hendon, George A.	10,000.00	10,000.00	-0-	-0-	-0-
Hendricks, Leo	125.00	-0-	125.00	-0-	125.00
Herrbert, Preston	20,000.00	20,000.00	-0-	-0-	-0-
Herrmann, George	1,269.36	1,269.36	-0-	-0-	-0-
Herrmann, Louis J.	5,500.00	3,528.32	1,971.68	-0-	1,971.68
Hess, John H.	250.00	87.86	162.14	-0-	162.14
Hibbitt, Robert	850.00	850.00	-0-	-0-	-0-
Hickman, Bayler O.	12,500.00	12,500.00	-0-	-0-	-0-
Higgins, Miss Beth	2,200.00	700.00	1,500.00	-0-	1,500.00
Higgins, John	2,500.00	239.81	2,260.19	-0-	2,260.19
Hill, Edw. G.	10,000.00	10,000.00	-0-	-0-	-0-
Hillierich, Carl	125.00	10.00	115.00	-0-	115.00
Hoke, Enloe B.	12,000.00	12,000.00	-0-	-0-	-0-
Hollcroft, Rice	7,500.00	7,500.00	-0-	-0-	-0-
Hollenkamp, Miss Mary	1,020.67	5.76	1,014.91	-0-	1,014.91
Hosch, Warren F.	507.58	-0-	507.58	-0-	507.58
Hosfield, Ernest D.	2,200.00	681.23	1,518.77	-0-	1,518.77
Howard, Miss Carolyn F.	75.00	75.00	-0-	-0-	-0-
Howe, Frank B.	1,050.00	1,050.00	-0-	-0-	-0-
Hamilton, Harry	625.00	625.00	-0-	-0-	-0-
Huber, Frank	2,000.00	2,000.00	-0-	-0-	-0-
Hutte, Miss Elisabeth	325.00	171.63	153.37	-0-	153.37
Hume, William G.	2,500.00	2,500.00	-0-	-0-	-0-
Hummel, Mrs. Emmajon	200.00	200.00	-0-	-0-	-0-
Humphrey, Heman	1,500.00	1,500.00	-0-	-0-	-0-
Humphrey, Lovell M.	9,500.00	-0-	9,500.00	-0-	9,500.00
Humphrey, Mrs. Wilhelmina	1,275.84	1,275.84	-0-	-0-	-0-

# Exhibit 196

	AMT. BORROWED	PAYMENTS	BALANCE DUE JAN. 1, 1939	VALUE	LOSS
Hunter, Ray B.	\$ 1,000.00	\$ 1,000.00	-0-	-0-	-0-
Hutchins, J. Bernard	5,062.50	757.62	4,304.88	-0-	4,304.88
Ilg, Robert C.	20,000.00	199.61	19,800.39	-0-	19,800.39
Jackman, William A.	1,250.00	1,250.00	-0-	-0-	-0-
Jacobs, Walter F.	5,000.00	3,808.49	1,194.51	-0-	1,194.51
Jacoby, Zack	5,000.00	5,000.00	-0-	-0-	-0-
James, Miss Marg. McMillian	300.00	300.00	-0-	-0-	-0-
Jansen, John E.	3,516.33	675.16	2,841.17	-0-	2,841.17
Jefferson, J. William	204,218.75	13,238.75	190,980.00	-0-	190,980.00
Jenkins, I. Sidney	4,848.80	4,022.01	826.79	-0-	826.79
Jenkins, I. Sidney & Co.	2,034.33	2,034.33	-0-	-0-	-0-
Johnson, Charles A.	5,000.00	2,539.32	2,110.68	-0-	2,110.68
Johnson, Mrs. Dora G.	2,250.00	2,250.00	-0-	-0-	-0-
Johnson, James M.	12,500.00	12,500.00	-0-	-0-	-0-
Jones, Charles F.	170,000.00	-0-	170,000.00	-0-	170,000.00
Jones, Wm. E.	2,500.00	1,452.59	1,047.41	-0-	1,047.41
Joyce, Preston P.	2,500.00	2,500.00	-0-	-0-	-0-
Jett, Emil O.	7,000.00	3,947.37	3,052.63	-0-	3,052.63
Kaleher, Raymond G.	900.00	900.00	-0-	-0-	-0-
Kaninberg, Miss Olivia	250.00	16.00	234.00	-0-	234.00
Kordes, Miss Louise	125.00	85.00	40.00	-0-	40.00
Karraker, Charles W.	2,500.00	2,500.00	-0-	-0-	-0-
Kobesh, Charles E.	400.00	170.00	230.00	-0-	230.00
Kelley, Brown W.	750.00	645.99	106.01	306.01	-0-
Kelley, Wilburn P.	2,500.00	2,500.00	-0-	-0-	-0-
Kelly, Robert L.	4,200.00	4,200.00	-0-	-0-	-0-
Kentucky Book Mfg. Co.	1,250.00	1,250.00	-0-	-0-	-0-
Kerns, Ira E.	12,500.00	12,500.00	-0-	-0-	-0-
Kerr, Robert M.	750.00	750.00	-0-	-0-	-0-
Kipel, John W.	1,403.42	311.24	1,092.18	-0-	1,092.18
Kiley, Miss Kathrynne	50.00	35.68	14.32	-0-	14.32
Kimbel, Joseph J.	5,000.00	3,221.78	1,778.22	300.00	1,478.22
Kinnearney, George T.	33,275.00	3,438.60	34,336.40	-0-	34,336.40
Kinnearney, James W.	51,025.00	16,677.93	34,347.07	-0-	34,347.07
Kinnearney, James W.	12,743.75	12,743.75	-0-	-0-	-0-
Kinsley, Edward W.	2,800.00	508.24	1,994.76	-0-	1,994.76
Kirwan, James G.	25,000.00	24,115.00	885.00	885.00	-0-
Klapheke, John L.	3,062.00	3,062.00	-0-	-0-	-0-
Klapheke, Louis A.	1,275.84	779.46	496.38	-0-	496.38
Klapheke, Robert H.	1,275.84	751.10	524.74	-0-	524.74
Klapheke, Rudolph W.	918.60	918.60	-0-	-0-	-0-
Klapheke, Vincent	1,275.84	752.05	523.81	-0-	523.81
Klapheke, J. William	9,696.33	9,696.33	-0-	-0-	-0-
Klein, John T.	255.17	255.17	-0-	-0-	-0-
Klunder, Richard F.	125.00	125.00	-0-	-0-	-0-
Knight, E. Vernon	15,152.50	5,152.50	10,000.00	-0-	10,000.00
Knoschelman, Albert Wm.	250.00	54.24	195.76	-0-	195.76
Kochler, Raymond L.	250.00	-0-	250.00	-0-	250.00
Koppelman & Co., Wm. H.	20,000.00	20,000.00	-0-	-0-	-0-
Kosse, Louis C.	800.00	-0-	800.00	-0-	800.00
Kramer, Alex W.	10,000.00	6,243.17	3,716.83	450.00	3,266.83
Kraselce, Mrs. Emma Hunt	500.00	100.00	400.00	-0-	400.00
Lahman, Mrs. Jeanette	1,000.00	1,000.00	-0-	-0-	-0-
Lauer, Chester	525.00	129.04	395.96	-0-	395.96
Langan, Richard D.	2,030.67	1,920.06	110.61	29.43	81.18
Larsen, Hans C.	2,000.00	2,000.00	-0-	-0-	-0-
Leonhardt, Mrs. Rose	3,045.50	-0-	3,045.50	-0-	3,045.50

# Exhibit 196

	AMT. BORROWED	PAYMENTS	BALANCE DUE JAN. 1, 1939	VALUE	LOSS
Lenney, Miss Margaret	\$ 510.33	\$ 372.60	\$ 137.73	-0-	\$ 137.73
Lechleiter, Frank J.	500.00	500.00	-0-	-0-	-0-
Lechleiter, Robert E.	1,000.00	1,000.00	-0-	-0-	-0-
Lee, Edward E.	20,500.00	-0-	20,500.00	-0-	20,500.00
Leibold, Miss Lillian	750.00	262.39	487.61	-0-	487.61
Leibold, Miss Ophelia	250.00	250.00	-0-	-0-	-0-
Lemons, Robert J.	4,500.00	4,500.00	-0-	-0-	-0-
Letsler, Mrs. Alma P.	500.00	500.00	-0-	-0-	-0-
Levi, Max	500.00	500.00	-0-	-0-	-0-
Lewis, Mrs. Esperelda B.	5,000.00	100.00	4,900.00	-0-	4,900.00
Lieber, Mrs. Emma	1,000.00	1,000.00	-0-	-0-	-0-
Lincoln, Walter E.	250.00	100.19	149.81	-0-	149.81
Livingston, Geo. E.	3,750.00	-0-	3,750.00	-0-	3,750.00
Loughridge, Mrs. Pearl M.	2,500.00	2,500.00	-0-	-0-	-0-
Lockett, Grassy H.	15,000.00	15,000.00	-0-	-0-	-0-
Lyons, S. Clay	8,000.00	8,000.00	-0-	-0-	-0-
McBride, Miss Grace C.	500.00	500.00	-0-	-0-	-0-
McBride, Howard S.	1,500.00	1,500.00	-0-	-0-	-0-
McCarty, A. Clayton	500.00	500.00	-0-	-0-	-0-
McGoodwin, Mrs. Marie D.	5,000.00	5,000.00	-0-	-0-	-0-
McGrath, Michael W.	325.00	325.00	-0-	-0-	-0-
McKenna, John J.	2,500.00	1,150.00	1,350.00	-0-	1,350.00
McMulty, Wm. B.	375.00	3.00	372.00	-0-	372.00
McQuary, Mrs. Daisy L.	2,400.00	2,400.00	-0-	-0-	-0-
Mahoney, Miss Monica	125.00	29.00	96.00	-0-	96.00
Malone, Mrs. Mary G.	5,000.00	5,000.00	-0-	-0-	-0-
Maloney, Thos J.	250.00	250.00	-0-	-0-	-0-
Marshall, Cecil T.	125.00	-0-	125.00	-0-	125.00
Marks, Charles E.	2,000.00	2,000.00	-0-	-0-	-0-
Marshall, Morton	30,455.00	-0-	30,455.00	-0-	30,455.00
Marshall, Burwell K., Jr.	2,222.00	2,222.00	-0-	-0-	-0-
Mason, Malcolm	1,250.00	-0-	1,250.00	-0-	1,250.00
Mattingly, Miss Alma	250.00	250.00	-0-	-0-	-0-
Mattingly, Miss Elizabeth	125.00	20.55	104.45	-0-	104.45
Mattingly, Louis J.	400.00	400.00	-0-	-0-	-0-
May, Eugene P.	825.00	825.00	-0-	-0-	-0-
Mays, Wm. O.	12,500.00	-0-	12,500.00	-0-	12,500.00
Means, James A., Jr.	5,500.00	5,500.00	-0-	-0-	-0-
Meglenery, Wm. A.	5,500.00	5,500.00	-0-	-0-	-0-
Messner, James T.	4,000.00	1,712.61	2,287.39	-0-	2,287.39
Messner, Mrs. Jean W.	2,000.00	757.67	1,232.33	-0-	1,232.33
Mengel, Edwin Potter	2,500.00	1,082.46	1,417.54	-0-	1,417.54
Mengel, Joseph T.	10,303.33	1,611.51	8,691.82	-0-	8,691.82
Mengel, Sam C.	23,186.25	2,703.75	20,482.50	-0-	20,482.50
Mereke, Clarence C.	23,186.25	650.07	22,536.18	-0-	22,536.18
Mereke, George	5,102.50	5,102.50	-0-	-0-	-0-
Mereke, Mrs. Stella Evans	10,000.00	10,000.00	-0-	-0-	-0-
Mets, Raymond L.	16,328.00	15,492.79	835.30	-0-	835.30
Meyer, Berent A.	504.08	77.02	427.06	-0-	427.06
Meyer, Mrs. Elizabeth B.	25,000.00	25,000.00	-0-	-0-	-0-
Miller, Christian C.	25,000.00	25,000.00	-0-	-0-	-0-
Miller, Clarence M.	1,250.00	1,250.00	-0-	-0-	-0-
Miller, George M.	375.00	156.00	219.00	-0-	219.00
Miller, Mrs. Viola McK	12,500.00	12,500.00	-0-	-0-	-0-
Minnath, Charles W.	250.00	250.00	-0-	-0-	-0-
Mitchell, Harold	250.00	50.00	200.00	-0-	200.00
Moeller, Rudolph A.	507.88	135.69	371.89	-0-	371.89
Moellman, Miss Theresa	654.48	308.74	325.74	-0-	325.74
	7,000.00	198.01	6,801.99	-0-	6,801.99



# Exhibit 196

	AMT. BORROWED	PAYMENTS	BALANCE DUE JAN. 1, 1939	VALUE	LOSS
Morgan, Stephan F.	\$ 250.00	\$ 140.00	\$ 110.00	-0-	\$ 110.00
Montgomery, Robert	8,000.00	8,000.00	-0-	-0-	-0-
Mooney, Miss Catherine D.	8,500.00	-0-	8,500.00	-0-	8,500.00
Moore, Geo. W.	4,082.67	1,862.67	2,200.00	-0-	2,200.00
Morgan, Stewart	750.00	750.00	-0-	-0-	-0-
Morits, Miss Eleanor C.	375.00	375.00	-0-	-0-	-0-
Morris, Joseph W.	7,000.00	-0-	7,000.00	-0-	7,000.00
Morrison, John H.	2,000.00	2,000.00	-0-	-0-	-0-
Mosier, Wm. L.	200.00	200.00	-0-	-0-	-0-
Mossley, Forrest L.	17,500.00	4,872.38	12,627.65	-0-	12,627.65
Mosmann, Miss Irene	125.00	125.00	-0-	-0-	-0-
Mulligan, Frederick A.	100.00	100.00	-0-	-0-	-0-
Murphy, Mrs. Celia	500.00	373.00	127.00	-0-	127.00
Murphy, Dennis I.	10,205.00	10,205.00	-0-	-0-	-0-
Murphy, James C.	2,551.66	2,551.66	-0-	-0-	-0-
Murphy, Peter J.	1,250.00	451.12	798.88	-0-	798.88
Neel, Clarence H.	2,500.00	2,500.00	-0-	-0-	-0-
Neel, Harrell H.	2,500.00	2,500.00	-0-	-0-	-0-
Nelligan, Joseph J.	800.00	150.00	650.00	-0-	650.00
Newhouse, Wilbur I.	4,000.00	-0-	4,000.00	-0-	4,000.00
Newman, James L.	10,000.00	10,000.00	-0-	-0-	-0-
Nitken, Elmer J.	1,000.00	1,000.00	-0-	-0-	-0-
Noe, Alphons L.	5,000.00	697.67	4,302.33	-0-	4,302.33
Noe, Mrs. Mattie Lee	5,000.00	200.00	4,800.00	-0-	4,800.00
Nugent, Edward B.	2,500.00	623.34	1,876.66	-0-	1,876.66
Nugent, Thomas L.	1,000.00	1,000.00	-0-	-0-	-0-
Nugent, Wm. F.	2,000.00	2,000.00	-0-	-0-	-0-
Nuxol, Mrs. Ida M. Eich	5,000.00	5,000.00	-0-	-0-	-0-
Obermeier, Henry A.	5,103.33	-0-	5,103.33	-0-	5,103.33
Obermeier, Mrs. Julia	2,500.00	2,500.00	-0-	-0-	-0-
O'Brien, Edward J., Jr.	50,758.33	50,758.33	-0-	-0-	-0-
O'Gara, Miss Grace	475.00	305.00	170.00	-0-	170.00
Onderdonk, Wm. A.	7,532.50	7,532.50	-0-	-0-	-0-
Osborne, Paul S.	1,250.00	1,250.00	-0-	-0-	-0-
O'Sullivan, Daniel E.	25,379.17	2,580.18	22,798.99	-0-	22,798.99
Pearcy, Charles S.	5,000.00	5,000.00	-0-	-0-	-0-
Pearl, Francis J.	1,100.00	-0-	1,100.00	-0-	1,100.00
Pendleton, Miss Anna F.	150.00	150.00	-0-	-0-	-0-
Pilson, Jesse	250.00	250.00	-0-	-0-	-0-
Pinney, Miss Anne	1,450.00	200.00	1,250.00	-0-	1,250.00
Pogue, Philip S.	25,000.00	25,000.00	-0-	-0-	-0-
Ponder, Terrence V.	10,000.00	10,000.00	-0-	-0-	-0-
Pope, Wm. T.	81.20	81.20	-0-	-0-	-0-
Pratt, Charles H.	12,500.00	12,500.00	-0-	-0-	-0-
Priddy, Joseph E.	25,000.00	25,000.00	-0-	-0-	-0-
Putnuff, G. Fetter	5,076.67	819.34	4,257.33	-0-	4,257.33
Putnam, Lawrence	125.00	24.00	101.00	-0-	101.00
Queen, Joseph R.	250.00	164.44	85.56	-0-	85.56
Quinn, Philip F.	550.00	550.00	-0-	-0-	-0-
Ramage, J. Russell	2,500.00	2,500.00	-0-	-0-	-0-
Ramser, Anthony P.	17,000.00	-0-	17,000.00	-0-	17,000.00
Ramser, George	1,500.00	543.01	956.99	-0-	956.99
Raque, Emil J.	1,250.00	477.99	772.01	-0-	772.01
Rassinier, Fernand M.	5,102.50	5,102.50	-0-	-0-	-0-
Ratterman, John B.	500.00	500.00	-0-	-0-	-0-
Reed, McKay & Stanley	25,518.67	1,113.36	24,205.42	-0-	24,205.42
Reese, Geo. H., Jr.	2,336.03	2,336.03	-0-	-0-	-0-
Ritzman, W. S.	625.00	330.00	295.00	-0-	295.00

# Exhibit 196

	AMT. BORROWED	PAYMENTS	BALANCE DUE JAN. 1, 1939	VALUE	LOSS
Renau, W. Irvin	\$ 1,250.00	\$ 1,250.00	-0-	-0-	-0-
Reutlinger, Adolph	25,000.00	25,000.00	-0-	-0-	-0-
Revenaugh, Claude L.	250.00	15.00	235.00	-0-	235.00
Reynolds, James S., Jr.	1,250.00	1,250.00	-0-	-0-	-0-
Richeson, Hugh H.	2,300.00	-0-	2,300.00	-0-	2,300.00
Riddell, J. Elliott	5,000.00	5,000.00	-0-	-0-	-0-
Riley, Walter M.	2,323.38	330.49	1,992.89	300.00	1,692.89
Ripley, Mrs. Eleanor M.	500.00	500.00	-0-	-0-	-0-
Ripley, Robt. S.	500.00	500.00	-0-	-0-	-0-
Robinson, James M.	2,000.00	381.99	1,618.01	-0-	1,618.01
Roby, Miss Clem	125.00	75.00	50.00	-0-	50.00
Rogers Bros.	25,000.00	25,000.00	-0-	-0-	-0-
Rommel, Daniel	20,000.00	20,000.00	-0-	-0-	-0-
Rommel, Edward D.	20,000.00	20,000.00	-0-	-0-	-0-
Roper, Margaret	2,500.00	2,500.00	-0-	-0-	-0-
Rose, David B.G.	1,500.00	1,500.00	-0-	-0-	-0-
Rosenbaum, Jesse	1,624.27	1,624.27	-0-	-0-	-0-
Rowe, James H. Kinnaird	10,000.00	10,000.00	-0-	-0-	-0-
Rueff, George	200.00	-0-	200.00	-0-	200.00
Ruffner, George, Jr.	125.00	16.51	108.49	-0-	108.49
Ryan, Charles J.	1,200.00	1,200.00	-0-	-0-	-0-
Ryan, Joseph J.	800.00	800.00	-0-	-0-	-0-
Ryan, W. Frank	1,250.00	1,059.32	190.68	-0-	190.68
Salomon, Sam L.	2,500.00	888.89	1,613.11	300.00	1,313.11
Samuel, Myer	2,000.00	2,000.00	-0-	-0-	-0-
Samuel, Fouché W.	2,500.00	-0-	2,500.00	-0-	2,500.00
Samuels, Theodore O'Hara	1,000.00	1,000.00	-0-	-0-	-0-
Sand, Alexander	25,000.00	-0-	25,000.00	-0-	25,000.00
Sanders, Cecil F.	4,000.00	4,000.00	-0-	-0-	-0-
Sanders, Herschel E.	15,000.00	15,000.00	-0-	-0-	-0-
Saufley, Shelton M.	25,518.67	6,650.91	18,865.76	-0-	18,865.76
Searland, Mrs. Susan M.	1,200.00	240.02	959.98	-0-	959.98
Scharfenberger, Wm. F.	500.00	464.91	35.09	-0-	35.09
Scheer, Miss Helen	200.00	200.00	-0-	-0-	-0-
Schickli, Solomon	5,000.00	3,369.90	1,630.10	150.00	1,480.10
Schlentker, Mrs. Lennie	1,000.00	1,000.00	-0-	-0-	-0-
Schmalz, Robert C.	1,100.00	1,100.00	-0-	-0-	-0-
Schabel, Jacob J.	440.00	440.00	-0-	-0-	-0-
Schneider, Erle	125.00	125.00	-0-	-0-	-0-
Schoop, William J.	400.00	400.00	-0-	-0-	-0-
Schrecker, Joseph J.	500.00	500.00	-0-	-0-	-0-
Schreiber, Arthur T.	1,250.00	1,250.00	-0-	-0-	-0-
Schuberth, Miss Ada L.	250.00	250.00	-0-	-0-	-0-
Schulman, Wm.	500.00	50.00	450.00	-0-	450.00
Schultz, Miss Loraine C.	200.00	40.00	160.00	-0-	160.00
Schwab, Paul E.	507.58	507.58	-0-	-0-	-0-
Scott, Curtis E.	125.00	125.00	-0-	-0-	-0-
Segar, John F.	250.00	250.00	-0-	-0-	-0-
Seldenfaden, Mrs. Amelia	7,500.00	3,952.60	3,547.40	-0-	3,547.40
Selby, Robert Carr	100.00	100.00	-0-	-0-	-0-
Selligman, Alfred	3,572.33	3,572.33	-0-	-0-	-0-
Selligman, Mrs. Jennie K.	4,082.67	4,082.67	-0-	-0-	-0-
Selligman, Joseph	5,000.00	5,000.00	-0-	-0-	-0-
Sample, Geo. C.	5,000.00	5,000.00	-0-	-0-	-0-
Sample, Mrs. Lottie D.	1,531.00	1,531.00	-0-	-0-	-0-
Seymour, Chas.	2,318.62	643.51	1,675.11	-0-	1,675.11
Sherner, Elmore	2,000.00	2,000.00	-0-	-0-	-0-
Sherrill, J. Garland	625.00	625.00	-0-	-0-	-0-
Shinnick, Mrs. Kate	5,076.15	-0-	5,076.15	-0-	5,076.15
Simpson, Robt. H.	1,500.00	1,150.74	349.26	-0-	349.26
Simpson, Sam H.	22,500.00	22,500.00	-0-	-0-	-0-
Simpson, Virgel E.	4,500.00	4,500.00	-0-	-0-	-0-
Simpson, Wm. G.	5,000.00	-0-	5,000.00	-0-	5,000.00

# Exhibit 186

	AMT. BORROWED	PAYMENTS	BALANCE DUE JAN. 1, 1939	VALUE	LOSS
Bleed, Miss Fanny	\$ 125.00	125.00	-0-	-0-	-0-
Smith, Albert S.	2,000.00	1,801.31	198.69	-0-	198.69
Smith, James R.	2,500.00	-0-	2,500.00	-0-	2,500.00
Smith, John A.	100.00	-0-	100.00	-0-	100.00
Smith, Newton C.	1,020.33	1,020.33	-0-	-0-	-0-
Spada, Miss Susanna	625.00	625.00	-0-	-0-	-0-
Spaulding, Harry T.	300.00	300.00	-0-	-0-	-0-
Speelman, Ernest J.	250.00	24.71	225.29	-0-	225.29
Speed, Wm.	5,000.00	-0-	5,000.00	-0-	5,000.00
Speed, Wm. S.	75,000.00	75,000.00	-0-	-0-	-0-
Speer, Eugene E.	500.00	500.00	-0-	-0-	-0-
Spillman, Lemuel C.	4,593.00	4,293.00	300.00	300.00	-0-
Spruling, R. Glen	12,500.00	5,802.59	7,197.41	900.00	6,297.41
Stancell, Wm.	200.00	200.00	-0-	-0-	-0-
Starks, Mrs. Willicent	28,000.00	13,168.37	6,831.63	6,831.63	-0-
Steele, Condit	125.00	-0-	125.00	-0-	125.00
Steltenpohl, Aloysius J.	250.00	250.00	-0-	-0-	-0-
Steltenpohl, Miss Anna	1,268.96	1,268.96	-0-	-0-	-0-
Steltenpohl, Joseph C.	2,500.00	2,500.00	-0-	-0-	-0-
Stills, Mrs. Ann	375.00	375.00	-0-	-0-	-0-
Stites, Frank M.	2,000.00	2,000.00	-0-	-0-	-0-
Stites, John	2,000.00	2,000.00	-0-	-0-	-0-
Stokes, Earl M.	8,400.00	8,400.00	1,200.00	1,200.00	-0-
Street, Mrs. Mary G.	5,000.00	5,000.00	-0-	-0-	-0-
Strong, Jesse F.	7,500.00	7,500.00	-0-	-0-	-0-
Summers, Turner A.	5,500.00	3,449.46	2,050.54	-0-	2,050.54
Sweeney, Miss Eleanor M.	650.00	650.00	-0-	-0-	-0-
Tabor, John D.	4,200.00	3,350.00	850.00	-0-	850.00
Talamini, Harry G.	10,023.43	-0-	10,023.43	-0-	10,023.43
Tashjian, Herbert	25,000.00	25,000.00	-0-	-0-	-0-
Taylor, Bushrod W.	650.00	650.00	-0-	-0-	-0-
Taylor, Major	4,500.00	4,500.00	-0-	-0-	-0-
Tellman, Mrs. Susie E.	2,500.00	2,500.00	-0-	-0-	-0-
Theobald, Edward J.	25,316.67	1,448.91	24,067.76	-0-	24,067.76
Thiemann, Henry	162,000.00	78,416.07	83,583.93	-0-	83,583.93
Thomas, John A.	1,250.00	345.07	904.93	-0-	904.93
Thomas, Mrs. Zelma S.	300.00	300.00	-0-	-0-	-0-
Thomason, Mrs. Mabel	125.00	97.59	27.61	-0-	27.61
Thompson, Paul E.	25,000.00	15,596.43	9,403.57	-0-	9,403.57
Thompson, Robert P.	7,500.00	-0-	7,500.00	-0-	7,500.00
Thornberry, Murray H.	5,000.00	3,105.86	1,894.14	1,894.14	-0-
Tilford, Henry J.	2,000.00	2,000.00	-0-	-0-	-0-
Timmel, Edward V.	300.00	300.00	-0-	-0-	-0-
Timmel, Eugene H.	400.00	150.00	450.00	-0-	450.00
Torlina, Elmo F.	1,000.00	458.41	541.59	-0-	541.59
Treager, Geo. C.	507.58	147.59	359.99	-0-	359.99
Tross, Fred	2,500.00	550.00	1,950.00	-0-	1,950.00
Trudeau, Harold E.	253.79	253.79	-0-	-0-	-0-
Trueheart, Miss Carrie	1,377.90	1,377.90	-0-	-0-	-0-
Trueheart, Charles T.	5,103.33	5,103.33	-0-	-0-	-0-
Truman, Orville	1,250.00	948.46	401.54	401.54	-0-
Tuley, Philip S.	25,000.00	25,000.00	-0-	-0-	-0-
Turpin, Miles	1,250.00	1,250.00	-0-	-0-	-0-
Tway, Robert C.	51,033.33	6,033.33	45,000.00	45,000.00	-0-
Uaruh, John M.	510.25	510.25	-0-	-0-	-0-
Utterback, Robt. L.	2,000.00	2,000.00	-0-	-0-	-0-
Vandivier, Donald P.	800.00	-0-	800.00	-0-	800.00
Vaughan, George E.	4,500.00	4,500.00	-0-	-0-	-0-



# Exhibit 196

	AMT. BORROWED	PAYMENTS	BALANCE DUE JAN: 1, 1939	VALUE	LOSS
Vaughan, Robt. F.	\$ 7,500.00	-0-	\$ 7,500.00	-0-	\$7,500.00
Veeneman, C. Edward	995.15	975.15	20.00	20.00	-0-
Viglioni, J. Arthur	7,036.17	7,036.17	-0-	-0-	-0-
Volz, Harry A., Sr.	6,000.00	6,000.00	-0-	-0-	-0-
Volz, Harry A., Jr.	625.00	625.00	-0-	-0-	-0-
Vonderhite, Charles	4,000.00	369.85	3,630.15	-0-	3,630.15
Voneys, Mrs. Mayne	250.00	-0-	250.00	-0-	250.00
Wagner, George	4,000.00	2,996.01	1,003.99	-0-	1,003.99
Warner, James H.	1,000.00	728.55	271.45	-0-	271.45
Walker, Eugene W.	5,078.33	5,078.33	-0-	-0-	-0-
Walsh, Maurice W.	37,500.00	-0-	37,500.00	-0-	37,500.00
Walter, John H.	400.00	188.87	211.13	-0-	211.13
Walter, Miss Mary M.	250.00	167.45	82.54	-0-	82.54
Wash, Arthur M.	4,000.00	4,000.00	-0-	-0-	-0-
Washer, Ben Seelig	25,000.00	-0-	25,000.00	-0-	25,000.00
Watkins, Miss Ella D.	50.00	50.00	-0-	-0-	-0-
Watson, Mrs. Charlotte J.	2,512.08	-0-	2,512.08	-0-	2,512.08
Watson, Philip E.	4,500.00	-0-	4,500.00	-0-	4,500.00
Weaver, Miss Daisy E.	500.00	500.00	-0-	-0-	-0-
Webb, Louis K.	2,500.00	2,500.00	-0-	-0-	-0-
Weber, George A.	2,300.00	2,300.00	-0-	-0-	-0-
Wedding, George G.	2,500.00	2,500.00	-0-	-0-	-0-
Weis, Ferd B.	10,000.00	10,000.00	-0-	-0-	-0-
Weis, Isaac A.	10,000.00	10,000.00	-0-	-0-	-0-
Welser, Wm. J.	25,000.00	25,000.00	-0-	-0-	-0-
Weiss, Coleman G.	800.00	800.00	-0-	-0-	-0-
Weller, Mrs. Helen	200.00	200.00	-0-	-0-	-0-
Wells, Prince (H.D. Ormsby, Ag)	12,758.33	12,643.08	115.25	-0-	115.25
Welsh, Miss Catherine M.	200.00	200.00	-0-	-0-	-0-
Wesley, Edmund P.	1,250.00	1,136.77	113.23	113.23	-0-
White, Edwin L.	875.00	875.00	-0-	-0-	-0-
White, Upshere M.	350.00	350.00	-0-	-0-	-0-
Wigginton, James L.	12,000.00	5,298.20	6,701.80	-0-	6,701.80
Wiley, Rodman	5,000.00	2,013.69	2,986.31	-0-	2,986.31
Wilkinson, Frank L.	2,551.67	650.94	1,920.73	-0-	1,920.73
Williams, A. Gilmore	2,000.00	1,289.80	710.20	710.20	-0-
Williams, Mrs. Anna C.	10,000.00	10,000.00	-0-	-0-	-0-
Wilson, T. Bert	48,000.00	48,000.00	-0-	-0-	-0-
Winn, Matt J.	50,000.00	50,000.00	-0-	-0-	-0-
Waddy, Noble	400.00	400.00	-0-	-0-	-0-
Wolpert, Allen W.	3,000.00	3,000.00	-0-	-0-	-0-
Wood, Edwin D.	2,500.00	2,500.00	-0-	-0-	-0-
Woodruff, Miss Nata Lee	1,100.00	939.35	160.64	-0-	160.64
Woodward, Louis M.	1,250.00	75.00	1,175.00	-0-	1,175.00
Woody, Mrs. Margaret L.	600.00	600.00	-0-	-0-	-0-
Woolfolk, Mrs. Fanny Owen	38,275.00	38,275.00	-0-	-0-	-0-
Wright, Miss Louise	625.00	176.10	448.90	-0-	448.90
Wurtels, Jacob A.	2,000.00	2,000.00	-0-	-0-	-0-
Yager, Newton T., Jr.	2,500.00	2,500.00	-0-	-0-	-0-
Yeager, Lewis C., Sr.	2,000.00	212.50	1,787.50	-0-	1,787.50
Yoe, Richard T.	25,420.83	4,394.11	21,026.72	-0-	21,026.72
Yeman, J. & Stella Ann	925.00	423.62	501.38	-0-	501.38
Zeeller, Andrew C.	400.00	400.00	-0-	-0-	-0-
Zuschmiede, W. Tom	37,500.00	5,801.11	31,698.89	-0-	31,698.89
TOTALS -	\$4,439,505.81	2,405,583.39	2,035,922.42	158,080.85	1,877,891.57

Exhibit 197

A. M. ANDERSON, RECEIVER  
NATIONAL BANK OF KENTUCKY

Comparison of Deposits  
Monthly From  
February 11, 1927 to November 17, 1930

District Court of the United States for  
the Western District of Kentucky  
A. M. Anderson, Receiver, ..... Plaintiff  
David J. Albright, et al., ..... Defendants  
Plaintiff's Defendants' Exhibit No. 147

WHITE, BOWER & PREVO  
CERTIFIED PUBLIC ACCOUNTANTS  
NATIONAL BANK BUILDING  
DETROIT, MICHIGAN

# Exhibit 197



## NATIONAL BANK OF KENTUCKY

### COMPARISON OF DEPOSITS

Date	Deposits		Total
	Other Than Banks	Other Banks	
February 11, 1927	\$ 42,654,701.19	\$ 14,081,869.12	\$ 56,736,570.31
March 11, 1927	37,931,971.74	13,956,806.33	51,888,778.07
April 11, 1927	37,215,313.39	13,407,858.96	50,623,172.35
May 11, 1927	37,386,578.13	13,703,477.06	51,090,055.18
June 11, 1927	35,676,744.32	11,261,513.92	46,938,258.24
July 11, 1927	33,194,939.11	11,920,681.09	45,115,620.20
August 11, 1927	35,535,645.41	11,393,935.19	46,929,580.60
September 12, 1927	35,501,864.32	13,397,059.03	48,898,923.35
October 11, 1927	34,254,602.15	12,461,814.44	46,716,416.59
November 10, 1927	32,687,213.55	12,228,223.60	44,915,437.15
December 10, 1927	31,593,402.94	14,146,414.36	45,739,817.30
January 11, 1928	32,029,524.75	14,938,837.65	46,968,362.40
February 10, 1928	32,504,240.82	14,756,998.26	47,261,239.08
March 10, 1928	31,374,081.82	12,815,244.11	44,189,325.93
April 11, 1928	32,982,754.14	11,667,612.08	44,650,366.12
May 11, 1928	32,792,946.54	9,530,797.68	42,323,744.22
June 11, 1928	31,990,691.43	8,944,184.69	40,934,876.12
July 11, 1928	31,506,429.41	9,435,983.32	40,942,412.73
August 10, 1928	30,595,022.35	8,204,522.41	38,799,544.76
September 11, 1928	31,330,408.76	8,444,417.14	39,774,825.90
October 11, 1928	32,168,914.12	8,871,401.02	41,040,315.14
November 12, 1928	29,426,584.78	9,127,649.39	38,554,234.17
November 16, 1928	28,891,208.57	8,807,637.25	37,698,845.82
January 11, 1929	31,806,290.53	13,445,226.04	45,251,516.57
February 11, 1929	31,381,522.56	14,439,811.30	45,821,333.86
March 11, 1929	31,541,602.63	12,185,308.08	43,726,910.71
April 11, 1929	29,123,535.70	8,893,153.05	38,016,688.75
May 11, 1929	28,691,759.93	7,932,609.74	36,624,369.67
June 11, 1929	28,637,597.21	7,737,981.98	36,375,579.19
July 11, 1929	27,880,151.10	7,035,081.83	34,915,232.93
August 10, 1929	27,154,559.05	7,326,836.34	34,481,395.39
September 11, 1929	29,993,569.82	8,154,494.76	38,148,064.58
October 11, 1929	36,089,822.26	7,339,097.53	43,428,919.79
November 12, 1929	32,782,594.07	7,080,212.04	39,862,806.11
November 16, 1929	32,235,344.86	6,097,305.24	38,332,650.10



# Exhibit 197



<u>Date</u>	<u>Deposits</u>		<u>Total</u>
	<u>Other than Banks</u>	<u>Other Banks</u>	
January 11, 1930	\$30,517,827.92	\$11,023,521.93	\$41,541,349.85
February 11, 1930	30,646,067.70	12,861,911.16	43,507,978.86
March 11, 1930	29,726,617.06	10,542,380.35	40,268,997.41
April 11, 1930	27,763,904.24	9,058,655.89	36,822,560.13
May 12, 1930	27,929,538.90	8,990,868.16	36,920,407.06
June 11, 1930	27,013,934.24	7,836,671.70	34,850,605.94
July 11, 1930	27,214,159.39	8,738,869.70	35,953,029.09
August 11, 1930	26,834,575.52	8,327,495.23	35,162,070.75
September 11, 1930	32,026,770.20	8,942,872.71	40,969,642.91
October 11, 1930	27,644,195.00	8,059,013.17	35,703,208.17
November 12, 1930	25,237,421.09	6,940,855.36	32,178,276.45
November 17, 1930	21,828,218.03	5,200,051.78	27,028,269.81

# Exhibit 198

**SUMMARY SHOWING PERCENTAGE OF TOTAL OUTSTANDING SHARES OF  
STOCK OF THE BANCOKENTUCKY COMPANY, ON WHICH DIVIDENDS  
WERE PAID, WHICH WAS HELD BY THE SAME STOCKHOLDERS  
WHO EXCHANGED TRUSTEES' PARTICIPATION CERTIFI-  
CATES FOR THE BANCOKENTUCKY COMPANY  
STOCK AND WERE STOCKHOLDERS ON  
NOVEMBER 17, 1930**

	<u>Total Shares on which Dividends were paid</u>	<u>Shares held by former T.P.C.Holders who exchanged and who had Banco at Close</u>	<u>Percentage Held</u>
December 31, 1929	1,532,669	1,027,051	67.01%
June 30, 1930	1,575,483	1,138,479	72.26%
November 17, 1930	1,595,079	1,063,517	66.68%

*District Court of the United States for  
the Western District of Kentucky*

*A. M. Anderson, Receiver, ..... Plaintiff*

*David J. ... Defendants*

*Plaintiff's Dependents Exhibit No. 198*



# SECURITY BANK

INCORPORATED

CHAS. H. BÖHMER, Chairman Board of Directors  
GEO. BUTTS, President  
CHAS. BUTTS, Vice President  
H. WELLS KRAFT, Vice President  
SAUL J. COHRMANN, Cashier  
EDWIN HORN, Assistant Cashier

LOUISVILLE, KY.

April 18, 1930.

Mr. James B. Brown, President,  
BancoKentucky Company,  
Louisville, Kentucky.

Dear Mr. Brown:

You no doubt will be interested to know that we have received for exchange for BancoKentucky Company stock on both options sixty percent of the outstanding shares of the Security Bank stock to date. This number of shares being in excess of the required amount, you are hereby officially advised of the acceptance of your proposition as outlined in your letter of March 24, 1930, by our stockholders.

As our directors have delivered all their stock for exchange, we beg to call your attention to the necessity of issuing in their names ten shares each to qualify for the office, this we understand will be arranged by your company.

We are endeavoring to handle the matter as expeditiously as possible and assure you of our willingness to co-operate with any suggestions offered.

Hoping you are pleased with results thus far obtained, we beg to remain,

Yours very truly,

*H. Wells Kraft*  
Vice-President.



# Exhibit 204

Wm. L. Spear

1/1/18	76 Shares	-----	\$24,000.00	
5/23/18	60 4/5 Shs.	Bank of Commerce received in consolidation		16,720.00
	Cash			20,520.00
				<u>37,240.00</u>
12/31/18	To P & L.		13,240.00	
			<u>\$37,240.00</u>	

		<u>NATIONAL BANK OF COMMERCE</u>		
5/23/18	60 4/5 Shs.	rec. in reorganization	16,720.00	
6/ 3/18	1/5 "		55.00	
1/ 1/19	10 Shares	Hilliard	3,277.50	
			<u>\$20,052.50</u>	
2/11/19	71 Shares	exchanged for Nat'l Bank District Court of the United States Ky the Western District		\$20,052.50

A. M. Anderson, Receiver, Plaintiff

David J. Abbot, et al. Defendants

Plaintiff's Defendants Exhibit No. 204

		<u>NATIONAL BANK OF KENTUCKY</u>		
1/ 7/19	25 Shares	-----	\$6,393.75	
2/11/19	Div. in liquidation			\$1,437.50
	25 Shares	Exchanged for new stock		4,956.25
				<u>6,393.75</u>

		<u>NATIONAL BANK OF KENTUCKY</u>		
2/11/19	15 1975/10000 Shs.	rec. in exchange	4,956.25	
2/10/19	71 Shares	from Nat'l Bank of Commerce	20,052.50	
2/11/19	1975/10000	sold		69.12
12/30/19	to P&L	on fraction	4.72	
	86 Shares	onhand		24,944.35
			<u>25,013.47</u>	<u>25,013.47</u>

1/1/20	86 Shares	on Hand	\$24,944.35	
6/4/21	14 "	O.S.S.	3,550.00	
7/14/26	59 "	Hilliards	20,886.00	
7/15/26	15 "	"	5,332.50	
8/27/26	22 "	"	7,821.00	
7/28/25	4 "	"	1,422.00	
1927	120 "	Stock Div.		
			<u>\$63,955.85</u>	
7/1/27	300 Shares	Exc. Consolidated Stock		\$59,958.60
"	10 "	" " " Nat'l Bank of Ky.		
"	210 "	" " " Qualifying Shares		1,998.62
		" " " Louis.Tr.Co.		
		Qualifying shares		<u>1,998.62</u>
				<u>\$63,955.85</u>

# Exhibit 204

Sheet No.

<u>NATIONAL BANK OF KENTUCKY.</u>			Consolidated Stock
7/1/27	300 Shares on Hand	\$59,958.60	
1/21/29	300 " C. C. Heatt	106,800.00	
5/13/29	600 Shares Exchanged	166,758.60	
			\$166,758.60

<u>NATIONAL BANK OF KENTUCKY &amp; LOUISVILLE TRUST CO.</u>			\$10. Par
5/13/29	6,000 Shares rev. in exchange	\$166,758.60	
9/16/29	500 " Hilliards	22,750.00	
9/19/29	6,500 Shares Exchanged for Banco	189,508.60	
			\$189,508.60

<u>BANCO KENTUCKY COMPANY</u>			
9/19/29	13,000	Received in exchange for National Bank of Ky. & L. Tr. Co.	\$189,508.60
10/1/29	5,000	Shares New Stock	125,000.00
4/4/30	200	Rec. in Exch. L. Tr. Co. Sta.	1,998.63
4/17/30	400	Shs. H.C. & Co.	9,512.50
4/22/30	200	" "	4,725.00
4/23/30	200	" "	4,825.00
	19,000 Shares		\$335,569.73
11/18/30	450	Shs. Sold thru H.C. & Co.	865.35
11/19/30	6,000	" "	6,094.25
"	4,800	" "	4,467.90
11/20/30	6,000	" "	6,789.00
11/26/30	1,750	" "	626.50
	19,000		\$18,843.00
11/20		Ck to Hilliards acct error	1.00
12/31		By P&L	\$335,570.73
			316,727.73
			\$335,570.73

# Exhibit 204

Sheet No. 3

## NATIONAL BANK OF KENTUCKY

## Qualifying Shares

7/1/27	10 Shares	-----	\$1,998.62	
3/26/31	Paul C. Keyes, Rev. Nat'l Bank			
	of Ky, Stock Assessment		<u>1,000.00</u>	
			\$2,998.62	
3/26/31	To B&L on 10 Shares			\$2,998.62

## LOUISVILLE TRUST COMPANY

## Qualifying Shares

7/1/27	10 Shares		\$1,998.63	
May, 1929	10 Exchanged for \$10. Par Val			
	Stock			\$1,998.63
-----				
May 1929	100 Shares Received in Exchange		\$1,998.63	
1/1/30	100 Shs. Exchanged for Banco			\$1,998.63



Exhibit 205



421 WEST MARKET STREET

The Louisville National Bank and Trust Company Consolidated with

**THE LOUISVILLE  
TRUST COMPANY**

In joint ownership with the National Bank of Kentucky

**LOUISVILLE, KENTUCKY**

June 20, 1929.

Mr. James B. Brown,  
National Bank of Kentucky,  
Louisville, Kentucky.

My dear Mr. Brown:

On investigation this morning with Mr. Andrew Seay of the Louisville Title Company, he tells me that when they organized their trust company all of the shares of stock except the qualifying shares were owned by the Louisville Title Company. It develops that Kentucky has a law which prevents a corporation from owning more than 50% of the stock of a bank, so they accomplished their end by trusting the stock of the Louisville Title Company and stock of their Guaranty Trust Company with some trustees. In other words, those two banks have their stock trusted just like our two banks have it.

Under this law, it looks as if the Kentucky Company may own 50% of the stock in the two banks, and that will serve our purpose very well.

Yours very truly,

*Rue Ward Brown*  
President.

RB:NKF

*District Court of the United States for  
the Western District of Kentucky*

*A. M. Anderson, Receiver* ..... Plaintiff  
vs. *No. 1046*  
*David J. Abbott, et al* ..... Defendants  
*Plaintiff's Defendants' Exhibit No. 205*

2157

Exhibit 206



CHIEF NATIONAL BANK EXAMINER  
EIGHTH FEDERAL RESERVE DISTRICT  
AND FEDERAL CHARTERED TRUST BLDG.  
ST. LOUIS, MO.

*"Original" National Bank Examiner*  
TREASURY DEPARTMENT

OFFICE OF  
COMPTROLLER OF THE CURRENCY

St. Louis, May 20, 1930.

*District Court of the Eastern District of Missouri  
the Western*

*A. M. Anderson, Receiver, Plaintiff*

*vs. No. 10,16  
David J. Alford, et al, Defendants*

*Plaintiff's Defendants Exhibit No. 206*

Mr. C. F. Jones,  
Vice Pres., National Bank of Kentucky,  
Louisville, Kentucky.

Dear Mr. Jones:

Since my return to St. Louis, I have given some attention to the report of your bank. I have not, however, completed the report. I desire to call your attention to the fact that the Statutory "Bad Debts" of your bank aggregate \$2,296,351.12. This exceeds slightly surplus and undivided profits of your bank. In view of this fact I thought, perhaps, I had better call to your attention the provisions of Sections 5199 and 5204 R. S. U. S. I suggest that you take immediate steps to renew some of these loans and make them current. For your information will state that there are a number of ~~arrear~~ loans payable on demand on which no interest has been paid for more than six months. I think, perhaps, a renewal of all the old demand loans would cure the defect.

Another thing I forgot at the directors' meeting was to call attention to the fact that President Brown is a director in three national banks and six state banks, members of the Federal Reserve System, four of which have assets exceeding \$5,000,000.00. In this connection your attention is called to Page 7 of the enclosed pamphlet. According to the provision of Section 8, it would seem that he can only be a director in three of the banks whose assets exceed \$5,000,000.00 except the Louisville Trust Company whose stock, I believe, is unified with that of the National Bank of Kentucky. If this is true and the Louisville Trust Company is owned solely by the National Bank of Kentucky, it would be exempted. It would seem, therefore, that he would have to resign in all except three of the following banks:

Pearl-Market Bank & Trust Co., Cincinnati, Ohio  
Brighton Bank & Trust Co., Cincinnati, Ohio

Exhibit 206

C. F. J.

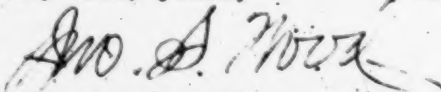
-2-

5-20-30

Peoples Liberty Bank & Trust Co., Covington, Ky.  
Ashland National Bank, Ashland, Ky.  
First National Bank, Paducah, Ky.  
National Bank of Kentucky, Louisville, Ky.

As stated before the Louisville Trust Company appears  
to be excepted.

Very truly yours,



Chief National Bank Examiner,  
Eighth Federal Reserve District.

JSW:AA  
( Encl.)



## Exhibit 206

Treasury Department  
Office Comptroller of the Currency  
Form 1417

### DUTIES AND LIABILITIES OF DIRECTORS OF NATIONAL BANKS AND MEMBER BANKS OF THE FEDERAL RESERVE SYSTEM.

#### PROVISIONS OF LAW DEFINING DUTIES OF DIRECTORS AND PRESCRIBING PENALTIES FOR NEGLECT OF SUCH DUTIES.

##### APPOINTMENT AND POWERS OF DIRECTORS.

(SEC. 5136, U. S. R. S.) Fifth. To elect or appoint directors, and by its board of directors to appoint a president, vice president, cashier, and other officers, define their duties, require bonds of them, and fix the penalty thereof, dismiss such officers or any of them at pleasure, and appoint others to fill their places.

Sixth. To prescribe, by its board of directors, by-laws not inconsistent with law, regulating the manner in which its stock shall be transferred, its directors elected or appointed, its officers appointed, its property transferred, its general business conducted, and the privileges granted to it by law exercised and enjoyed.

Seventh. To exercise by its board of directors, or duly authorized officers or agents, subject to law, all such incidental powers as shall be necessary to carry on the business of banking; by discounting and negotiating promissory notes, drafts, bills of exchange, and other evidences of debt; by receiving deposits; by buying and selling exchange, coin, and bullion; by loaning money on personal security; and by obtaining, issuing, and circulating notes according to the provisions of this title.

##### NUMBER AND ELECTION OF DIRECTORS—TERM OF OFFICE.

(SEC. 5145, U. S. R. S.) The affairs of each association shall be managed by not less than five directors, who shall be elected by the shareholders at a meeting to be held at any time before the association is authorized by the Comptroller of the Currency to commence the business of banking; and afterward at meetings to be held on such day in January of each year as is specified therefor in the articles of association. The directors shall hold office for one year, and until their successors are elected and have qualified.

##### QUALIFICATIONS OF DIRECTORS.

(SEC. 5146, U. S. R. S.) Every director must, during his whole term of service, be a citizen of the United States, and at least three-fourths of the directors must have resided in the State, Territory, or District in which the association is located, or within fifty miles of the location of the office of the association, for at least one year immediately preceding their election, and must be residents of such State or within a fifty-mile territory of the location of the association during their continuance in office. Every director must own in his own right at least ten shares of the capital stock of the association of which he is a director, unless the capital of the bank shall not exceed \$25,000, in which case he must own in his own right at least five shares of such capital stock. Any director who ceases to be the owner of the required number of shares of the stock, or who becomes in any other manner disqualified, shall thereby vacate his place.

##### OATH REQUIRED FROM DIRECTORS.

(SEC. 5147, U. S. R. S.) Each director, when appointed or elected, shall take an oath that he will, so far as the duty devolves on him, diligently and honestly administer the affairs of such association, and will not knowingly violate, or willingly permit to be violated, any of the provisions of this title, and that he is the owner in good faith, and in his own right, of the number of shares of stock required by this title, subscribed by him, or standing in his name on the books

50007-25

## Exhibit 206

3

### DEPOSITS WITH NONMEMBER BANKS SUBJECT TO LIMIT (SEC. 19, FEDERAL RESERVE ACT).

No member bank shall keep on deposit with any State bank or trust company which is not a member bank a sum in excess of ten per centum of its own paid-up capital and surplus. No member bank shall act as the medium or agent of a nonmember bank in applying for or receiving discounts from a Federal reserve bank under the provisions of this act, except by permission of the Federal Reserve Board.

### DIVIDENDS AND SURPLUS FUND.

(SEC. 5199, U. S. R. S.) The directors of any association may, semiannually, declare a dividend of so much of the net profits of the association as they shall judge expedient; but each association shall, before the declaration of a dividend, carry one-tenth part of its net profits of the preceding half year to its surplus fund until the same shall amount to twenty per centum of its capital stock.

### WITHDRAWAL OF CAPITAL PROHIBITED—DIVIDEND NOT TO EXCEED NET PROFITS—BAD DEBTS DEFINED.

(SEC. 5204, U. S. R. S.) No association, or any member thereof, shall; during the time it shall continue its banking operations, withdraw, or permit to be withdrawn; either in the form of dividends or otherwise, any portion of its capital. If losses have at any time been sustained by any such association, equal to or exceeding its undivided profits then on hand, no dividend shall be made; and no dividend shall ever be made by any association, while it continues its banking operations, to an amount greater than its net profits then on hand, deducting therefrom its losses and bad debts. All debts due to any association, on which interest is past due and unpaid for a period of six months, unless the same are well secured, and in process of collection, shall be considered bad debts within the meaning of this section. But nothing in this section shall prevent the reduction of the capital stock of the association under section fifty-one hundred and forty-three.

### PENALTY FOR VIOLATION OF PROVISIONS OF THIS TITLE—VIOLATION, HOW DETERMINED—LIABILITY OF DIRECTORS FOR VIOLATION.

(SEC. 5239, U. S. R. S.) If the directors of any national banking association shall knowingly violate, or knowingly permit any of the officers, agents, or servants of the association to violate any of the provisions of this title, all the rights, privileges, and franchises of the association shall be thereby forfeited. Such violation shall, however, be determined and adjudged by a proper circuit, district, or territorial court of the United States, in a suit brought for that purpose by the Comptroller of the Currency, in his own name, before the association shall be declared dissolved. And in cases of such violation, every director who participated in or assented to the same shall be held liable in his personal and individual capacity for all damages which the association, its shareholders, or any other person shall have sustained in consequence of such violation.

### PENALTY FOR FALSELY CERTIFYING CHECK.

(SEC. 5208, U. S. R. S.) It shall be unlawful for any officer, director, agent, or employee of any Federal reserve bank, or of any member bank as defined in the act of December twenty-third, nineteen hundred and thirteen, known as the Federal reserve act, to certify any check drawn upon such Federal reserve bank or member bank unless the person, firm, or corporation drawing the check has on deposit with such Federal reserve bank or member bank, at the time such check is certified, an amount of money not less than the amount specified in such check. Any check so certified by a duly authorized officer, director, agent, or employee shall

## Exhibit 206

7

### INTERLOCKING DIRECTORATES—WHEN FORBIDDEN (ACT OCT. 15, 1914, AS AMENDED BY ACT MAY 15, 1916).

(SEC. 8.) That from and after two years from the date of the approval of this act no person shall at the same time be a director or other officer or employee of more than one bank, banking association, or trust company organized or operating under the laws of the United States either of which has deposits, capital, surplus, and undivided profits aggregating more than \$5,000,000; and no private banker or person who is a director in any bank or trust company organized and operating under the laws of a State, having deposits, capital, surplus, and undivided profits aggregating more than \$5,000,000, shall be eligible to be a director in any bank or banking association organized or operating under the laws of the United States. The eligibility of a director, officer, or employee under the foregoing provisions shall be determined by the average amount of deposits, capital, surplus, and undivided profits as shown in the official statements of such bank, banking association, or trust company filed as provided by law during the fiscal year next preceding the date set for the annual election of directors, and when a director, officer, or employee has been elected or selected in accordance with the provisions of this act it shall be lawful for him to continue as such for one year thereafter under said election or employment.

No bank, banking association, or trust company organized or operating under the laws of the United States, in any city or incorporated town or village of more than two hundred thousand inhabitants, as shown by the last preceding decennial census of the United States, shall have as a director or other officer or employee any private banker or any director or other officer or employee of any other bank, banking association, or trust company located in the same place: *Provided*, That nothing in this section shall apply to mutual savings banks not having a capital stock represented by shares: *Provided further*, That a director or other officer or employee of such bank, banking association, or trust company may be a director or other officer or employee of not more than one other bank or trust company organized under the laws of the United States or any State where the entire capital stock of one is owned by stockholders in the other: *And provided further*, That nothing contained in this section shall forbid a director of class A of a Federal reserve bank, as defined in the Federal reserve act, from being an officer or director, or both an officer and director, in one member bank: *And provided further*, That nothing in this act shall prohibit any officer, director, or employee of any member bank or class A director of a Federal reserve bank, who shall first procure the consent of the Federal Reserve Board, which board is hereby authorized, at its discretion, to grant, withhold, or revoke such consent, from being an officer, director, or employee of not more than two other banks, banking associations, or trust companies, whether organized under the laws of the United States or any State, if such other bank, banking association, or trust company is not in substantial competition with such member bank.

The consent of the Federal Reserve Board may be procured before the person applying therefor has been elected as a class A director of a Federal reserve bank or as a director of any member bank. \* \* \*

When any person elected or chosen as a director or officer or selected as an employee of any bank or other corporation subject to the provisions of this act is eligible at the time of his election or selection to act for such bank or other corporation in such capacity his eligibility to act in such capacity shall not be affected, and he shall not become or be deemed amenable to any of the provisions hereof by reason of any change in the affairs of such bank or other corporation from whatsoever cause, whether specifically excepted by any of the provisions hereof or not, until the expiration of one year from the date of his election or employment.



EVERY WORTH-WHILE  
CINCINNATIAN  
READS THE  
CINCINNATI ENQUIRER

# THE CINCINNATI ENQUIRER

VOL. LXXXVI. NO. 288—DAILY

Entered as second-class matter,  
Post Office, Cincinnati, Ohio.

THURSDAY

## CINCINNATI ENQUIRER

**WEATHER**—Thursday Showers;  
Friday, Clear.  
Temperature Yesterday: Maximum,  
70; Minimum, 59; Mean Humidity, 97.  
Detailed Weather Report, Pages 4 and 5.

MORNING, SEPTEMBER 26, 1929

THIRTY PAGES

PRICE FIVE CENTS

### Florida Awaits Hurricane Nassau Radio Is

### Cincinnati Banks Join Middle West Chain; Brighton And Pearl Market In Big Deal Forming Unit In BancoKentucky Group

Assuming the initiative in the development of banking associations on a large scale in the Middle West, The BancoKentucky Company yesterday consummated deals which give it a commanding situation in the Cincinnati banking field. The company, which is headed by James B. Brown, Louisville, Ky. banker and capitalist, arranged to acquire control of the Brighton Bank & Trust Co. and the Pearl Market Bank & Trust Co.

Under the plans of Mr. Brown and his associates the two banks will be merged into one institution, thus becoming one of the larger Cincinnati units, with Gustave M. Mosler, President of the Brighton bank, as head of the combined banks. But beyond the formation of an important unit in Cincinnati, the deal puts behind the Cincinnati institution the great resources of The BancoKentucky Company, which is fast becoming one of the great financial institutions of the country.

Besides aligning Cincinnati and Louisville institutions of great financial strength, the immediate plan calls for association with strong banks in Chicago and Indianapolis, forming a network in the East Central States, covering Ohio, Kentucky, Indiana, Illinois and parts of Tennessee and West Virginia. Negotiations are now pending for the acquisition of strong banks in Chicago and Indianapolis and later on, banks in other cities in the states named will be taken into the fold.

The entry of the BancoKentucky into the Cincinnati field, the first outside of its home town, Louisville, is in recognition of the great importance of the commercial and industrial position of the Queen City in the Ohio Valley and the new spirit which is strikingly evident in the Western Ohio metropolis.

With the acquisition of the Cincinnati banks, the BancoKentucky Company will have resources of \$115,000,000, making it the largest banking group in the Ohio Valley and one of



JAMES B. BROWN



GUSTAVE M. MOSLER

the important banking factors west of New York City.

Definite action for the acquisition of the Cincinnati banks was taken yesterday at meetings of Boards of Directors of the two institutions. Directors of the Brighton Bank and later the Board of the Pearl Market Bank acted favorably upon the proposals of Mr. Brown, and recommendations will be made to the stockholders of both institutions for the acceptance of the terms contained in the deal. With the recommendations

will go formal offers to the stockholders of the institutions for their stock. The offer to the Brighton Bank stockholders is \$200 a share and to the Pearl Market Bank stockholders \$750 a share.

Stockholders will have the option of taking cash for their holdings or part cash and part stock in the BancoKentucky Company.

On completion of the merger of the two institutions, the Cincinnati unit will have a capital of \$1,000,000, surplus and undivided profits of \$1,500,000 and total resources of \$25,000,000. Under the plans for the merger Mr. Mosler will become President of the enlarged bank. Other active officers and the entire personnel of both institutions will be retained and all the present banks and branches continued. It is planned to open a downtown office, probably on Fourth Street, and with this, the bank will have eight offices well distributed through Cincinnati. It is possible that another Cincinnati institution will be taken into the fold.

The BancoKentucky Company, organized in the early summer under a Delaware charter, has a capital of \$20,000,000 par value, and with the acquisition of the Cincinnati banks will have total resources of more than \$215,000,000. The shares of the company are \$10 par value and have been sold at \$25 a share, which gives the company \$25,000,000 in cash for capital. The shares were sold privately over a period of two months without a public offering, a notable result in the realm of Middle West financing.

The company has acquired complete ownership of the National Bank of Kentucky and of the Louisville Trust Company. While these banks have not been merged, membership is represented by joint trustee certificates of the National Bank of Kentucky.

Negotiations for the Cincinnati deal were conducted by Mr. Brown in person. Associated with him were

Continued on Page 5, Column 2.

THE ENQUIRER, CINCINNATI, THURSDAY, SEPTEMBER 26, 1926

## Cincinnati Banks Join Banco-Kentucky Group In Big Financial Deal

CONTINUED FROM FIRST PAGE

Charles F. Jones, Cashier of the National Bank of Kentucky, and Maurice L. Galvin, attorney for the Banco-Kentucky. Mr. Mosler conducted the negotiations for the Brighton Bank. Sidney Weil, director in the Pearl Market, and Cincinnati's newest baseball magnate, and Joseph Helmers, Executive Vice President, of the Pearl Market, represented the latter interests in the negotiations.

The entry of Mr. Brown into the Cincinnati banking field brings into the city's financial affairs one of the dynamic personalities of the Blue Grass State. A man of vision and action, he sees a brilliant future for Cincinnati, and expressed himself as profoundly impressed with the recent developments in the city.

Mr. Brown is President of the Banco-Kentucky Company and the National Bank of Kentucky.

"I believe Cincinnati has a great future before it," Mr. Brown said, in explaining his entry into the Cincinnati field. "I have been wonderfully impressed by the great progress the city has made in the past few years. No city in the country today is going ahead any faster nor is showing finer spirit than Cincinnati. With a continuation of this spirit and this progress the future looks very bright."

There is a great change coming over the banking situation in the United States and the Banco-Kentucky Company has been organized to meet this condition. Our plan is to develop an organization that will have the resources necessary to take care of the needs of the territory which we serve without dependence on institutions in other sections of the country.

"By grouping a chain of strong banks in the key cities of the East Central States, we will form an association that will be able to handle the business needs of the communities served. The change is coming in the banking world and we believe we have taken the logical steps to meet it according to the best economic principles."

"Our coming to Cincinnati is due to its great importance in the Ohio Valley and likewise in recognition of its remarkable development in the past few years. By tying into our group strong Chicago and Indianapolis banks with what we have in Louisville and are acquiring in Cincinnati, we will have a group that will be second to none in this territory. We are friendly neighbors and our aim is to develop the best inter-

ests of this section of the country. "With the large resources that the combination will give, the Cincinnati banks will be in a position to render services that now are impossible to business and industry in the part of the separate institutions."

"The association of the banks will make possible economies in a large way, will give them the advantage of mutual experience and will enable them to finance individual businesses more effectively and handle much larger financial deals."

Mr. Brown has been a banker for 21 years, practically all of his career being spent in Louisville. He is a Kentuckian by birth. He was Cashier, then President of the National Bank of Commerce. In 1919 this bank was merged with the National Bank of Kentucky and he became the head of the merged institution, which position he still holds. Besides his banking connection Mr. Brown is a newspaper owner and publisher, his paper being the Louisville Herald-Post. He is a Director in the Standard Oil Company of Kentucky, the Louisville and Nashville Railroad, the Southern Bell Telephone Company, the Louisville Gas & Electric Company. He has numerous other corporate connections and is a Director in the American Turf Association.

Mr. Brown and Mr. Galvin will become directors in the Cincinnati bank and Mr. Brown plans to give much of his personal time and attention to its affairs.

Mr. Mosler, who will head the combined bank, has made his mark as a banker at the head of the Brighton Bank and Trust Company. Under his guidance, the bank has grown consistently, and though it has not acquired a downtown location, is one of the important institutions in the city. Possessed of a striking personality and known for his frankness in his dealings with men, Mr. Mosler has gained an enviable reputation as a banker and financier. The price at which the Brighton Bank stock was sold is evidence enough of the character of its management, it was pointed out. Still a young man, Mr. Mosler is known for his hobbies. He is President of American Philatelic Association, having one of the largest collections of stamps in Ohio. He is also a dog fancier and has won many ribbons at national dog shows with his kennel of beagles.

Regarding the deal Mr. Mosler said: "There is a great change coming in the banking situation in the


United States and our action in becoming associated with the Banco-Kentucky Company was taken with this in view. In my opinion it is a big step for Cincinnati, and it gives us a connection with a powerful banking group that has vision and real enterprise. The combined bank will be able to render a great service to Cincinnati business, and with the character of men in control of the holding company, many benefits should accrue to the city."

"There is no question but that banking in the United States is going through a remarkable change, with every prospect of developing into a system similar to that existing in Canada, today—that of big banks in central locations with branches throughout the country. At the start this situation in the United States is being met by holding companies, but as an amendment to the McFadden banking act is inevitable. The amount of available paper for redemption with the Federal Reserve System has decreased from 45 to 15 per cent. This change has come about through the many new stock issues, the money derived from which has been used to liquidate bank loans. The Federal Reserve System soon will have to make important changes to conform to existing conditions, in the opinion of leading bankers."

"In agreeing to head the Cincinnati unit of this system I was influenced by the wonderful progress that has been made in our city and the importance of organizing the banking field to meet the situation in the light of the new conditions. I feel that the institution will be fully qualified to do its share in the continued progress and development of Cincinnati."

"Mr. Brown has advised me that the resources and funds of the various institutions in the group are available for any local development that may be presented. In view of his wonderful record of past achievements, I cannot help but feel that we all will benefit by the new connection."

The bank deal serves to bring Mr. Weil into the limelight again, or rather simultaneously. In a week's time this young man has become one of the most-talked-of personalities in Cincinnati. Last night he announced his acquisition of control of the Cincinnati Baseball Club and in the revelation of the banking merger he was shown to have played an important and determining part through his position and influence as a Director of the Pearl Market Bank.



**LOUISVILLE NATIONAL BANK & TRUST COMPANY**

CAPITAL \$750,000.00

**THIS CERTIFIES THAT** \_\_\_\_\_ **vs.** \_\_\_\_\_ **Defendants**

**Plaintiff's Independent Exhibit No. 208**

**IN THE OWNER OF** \_\_\_\_\_ **SHARES OF**

**ONE HUNDRED DOLLARS EACH OF THE CAPITAL STOCK OF THE LOUISVILLE NATIONAL BANK & TRUST COMPANY OF LOUISVILLE, KY.**

**TRANSFERABLE ONLY ON THE BOOKS OF SAID BANK IN PERSON OR BY ATTORNEY ON THE SURRENDER OF THIS CERTIFICATE.**

**THE REGISTERED HOLDER OF THE SHARE OR SHARES OF STOCK OF SAID BANK REPRESENTED BY THE WITHIN CERTIFICATE**

**OF STOCK IS ENTITLED TO A BENEFICIAL INTEREST IN THE CAPITAL STOCK OF THE LOUISVILLE NATIONAL COMPANY RATABLY**

**WITH ALL OTHER SHAREHOLDERS OF SAID BANK, IN ACCORDANCE WITH THE TERMS OF A CERTAIN TRUST AGREEMENT DATED**

**AS OF NOVEMBER 15 1917, BETWEEN THE BANK, OF THE FIRST PART, THE TRUSTEES AND THEIR SUCCESSORS IN OFFICE,**

**OF THE SECOND PART, THE SHAREHOLDERS UNITING THEREIN, OF THE THIRD PART, AND THE LOUISVILLE NATIONAL COMPANY,**

**OF THE FOURTH PART, A COPY WHEREOF IS ON FILE IN THE OFFICE OF THE BANK IN LOUISVILLE, KENTUCKY. SAID BENEFICIAL**

**INTEREST, UNDER THE TERMS OF SAID AGREEMENT, IS TRANSFERABLE ONLY BY A TRANSFER ON THE BOOKS OF THE SAID**

**BANK OF THE SHARES OF STOCK REPRESENTED BY THE WITHIN CERTIFICATE.**

**IN WITNESS WHEREOF THE SEAL OF SAID BANK AND THE SIGNATURES OF THE PRESIDENT OR VICE PRESIDENT AND CASHIER ARE HERETO AFFIXED.**

\_\_\_\_\_ IS

LOUISVILLE, KY.



Exhibit 208 ..

THE SIGNATURE TO THIS ASSIGNMENT MUST CORRESPOND WITH THE NAME  
AS WRITTEN UPON THE FACE OF THE CERTIFICATE IN EVERY PARTICULAR,  
WITHOUT ALTERATION OR ENLARGEMENT OR ANY CHANGE WHATSOEVER.

*For Value Received* \_\_\_\_\_ *hereby sell, assign and transfer*  
*unto* \_\_\_\_\_  
*all* \_\_\_\_\_ *right, title and interest in, and to the within*  
*Certificate, and do hereby irrevocably constitute and appoint*  
\_\_\_\_\_  
*Attorney to transfer the said Certificate on the books of*  
**THE LOUISVILLE NATIONAL BANK AND TRUST COMPANY**  
*with full power of substitution in the premises.*

*Dated* \_\_\_\_\_ 19 \_\_\_\_\_

*In the presence of:* \_\_\_\_\_

CIRCULAR No. 1121

of

**THE CHICAGO STOCK EXCHANGE**

on

**The BancoKentucky Company**

(A Delaware Corporation)

The BancoKentucky Company has made application to have listed on The Chicago Stock Exchange 2,000,000 shares of Common stock of \$10 par value, 1,630,000 shares to be admitted to trading upon notice, the balance, whole or in part, upon notice of issuance, in the form of temporary stock certificates, with further authority to admit engraved stock certificates upon official notice of their availability.

Said stock is full paid and non-assessable. No personal liability attaches to ownership.

*District Court of the United States for  
the Western District*

*A. M. Anderson, Receiver, Plaintiff*

*vs. David J. Ansell, et al., Defendants*

*Plaintiff's Defendants Exhibit No. 269*

CIRCULAR No. 1721

of

## THE CHICAGO STOCK EXCHANGE

ON

## The BancoKentucky Company

(A Delaware Corporation)

The BancoKentucky Company has made application to have listed on The Chicago Stock Exchange 2,000,000 shares of Common stock of \$10 per value, 1,600,000 shares to be admitted to trading upon notice, the balance, whole or in part, upon notice of issuance, in the form of temporary stock certificates, with further authority to admit engraved stock certificates upon official notice of their availability.

Said stock is full paid and non-assessable. No personal liability attaches to ownership.

## ORGANIZATION

The BancoKentucky Company was organized under the laws of the State of Delaware on July 16, 1929, with an authorized capital of 2,000,000 shares of \$10 per value. The Company was organized for the purpose of owning a controlling interest in state and national banks located primarily in Kentucky, Ohio and Indiana. Its charter gives it broad powers entitling it to engage in a wide range of investment and other activities.

All of the shares of Capital stock authorized and presently to be issued are identical as to voting, dividends, and other rights.

## HISTORY AND BUSINESS

The BancoKentucky Company has acquired, through an exchange of stock, nearly 100% of the shares of the National Bank of Kentucky-Louisville Trust Company, and in addition its stockholders have subscribed to 400,000 shares of its stock for cash. This cash will be used for acquiring majority interests in other banks and for other corporate purposes.

National Bank of Kentucky and Louisville Trust Company have a long history of successful operation. National Bank of Kentucky has been in existence for almost a century and Louisville Trust Company has been in existence for over sixty years. The banks offer a complete unit of financial service embracing all phases of banking and all trust company facilities.

## CAPITALIZATION

Common stock, \$10 per value	Authorized 2,000,000 sha.	Presently to be issued 1,000,000 sha.
------------------------------	------------------------------	---

## THE BANCOKENTUCKY COMPANY

PRO FORMA BALANCE SHEET AS OF OCTOBER 1, 1930

ASSETS		LIABILITIES	
Cash	\$1,000,000.00	Capital (represented by 1,600,000 shares of Common stock of \$10 per value)	\$1,600,000.00
Investments	\$2,700,000.00	Surplus	\$4,400,000.00
Total	\$3,700,000.00	Total	\$3,700,000.00

## AGREEMENTS

The BancoKentucky Company has agreed with The Chicago Stock Exchange:

- (1) Not to dispose of an integral asset, nor its stock interest in any constituent, subsidiary, owned or controlled company, except in the regular course of business or allow any of said constituent, subsidiary, owned or controlled companies to dispose of an integral asset or stock interest in other companies, except in the regular course of business, without notice to The Chicago Stock Exchange.
- (2) To publish once in each year and submit to the stockholders and The Chicago Stock Exchange, a statement of its financial condition consisting of a consolidated income account covering the previous fiscal year, a consolidated balance sheet showing assets and liabilities at the end of the year, or an income account and balance sheet of the parent company and of all constituent, subsidiary, owned or controlled companies.



## Exhibit 208

(3) To maintain in the City of Chicago, within the vicinity of the Exchange, a transfer office or agency, which may be the company, where all listed securities shall be directly transferable and the principal of all listed securities with interest or dividends thereon shall be payable.

(4) Also to maintain, in the City of Chicago, within the vicinity of the Exchange a registry office, not identical with the transfer office, where all listed securities shall be registered and which shall be a responsible bank or trust company satisfactory to the Committee on Stock List.

(5) In case it makes transfers of its shares in other cities as well as in Chicago, all certificates of stock shall be interchangeably transferable and bear a legend reciting the right of transfer in Chicago and other cities. All such certificates shall be identical in color and form except as to names of transfer agent and registrar, and the combined amounts of stocks registered in all such cities shall at no time exceed the amount authorized to be listed.

(6) To give The Chicago Stock Exchange at least fifteen (15) days' notice of any proposed increase in the authorized or outstanding capital stock of the company and forthwith to apply for the listing thereof, if the original issue of the same class of stock has previously been listed.

(7) Not to make any change without the approval of the Committee on Stock List:

- (a) In listed securities,
- (b) Of a transfer agency,
- (c) Of a registrar of its stock.

(8) To notify The Chicago Stock Exchange in the event of the issuance or creation in any form or manner of any rights to subscribe to or to be allowed its securities, or of any other rights or benefits pertaining to ownership in its securities, so as to afford the holders of its securities a proper period within which to record their interests and so that all rights to subscribe or to receive allotment, and all other such rights and benefits shall be transferable, and shall be transferable and deliverable in the City of Chicago.

(9) To publish promptly to holders of stocks any action in respect to dividends on shares, or allotment of rights for subscription to securities, notice thereof to be sent to the Stock Exchange, and to give The Chicago Stock Exchange at least ten (10) days' notice in advance of the closing of the transfer books or extensions, or of the time of the taking of a record of holders for any purpose.

(10) To furnish to The Chicago Stock Exchange, at any time, on demand, such reasonable information concerning the company, or its condition, as may be required.

(11) To have on hand at all times a sufficient supply of certificates to meet the demands for transfer.

### GENERAL INFORMATION

The fiscal year of the Company is the calendar year.

The annual meeting of the Company is held on the second Tuesday in January of each year at the offices of the Company in Louisville, Kentucky.

The principal office of the Company is located at 19-21st Dover Green, Dover, Delaware and its executive office is located at Louisville, Kentucky.

The officers of the Company are: James B. Brown, President; John Stites, Vice President; Richard Bean, Vice President; W. T. Zurechmiede, Secretary and Treasurer.

The directors are: John S. Akers, Henry J. Angermeyer, Richard Bean, William Black, Dr. Oscar E. Bloch, Charles H. Bohmer, George O. Boomer, R. R. Boswell, James B. Brown, R. Lee Callahan, Anthony J. Carroll, George M. Clark, Samuel W. Coons, Allen P. Dodd, Nicholas H. Doaker, Stuart E. Duncan, Joseph H. Durham, C. Oscar Ewing, James Garnett, Walter H. Girdler, Angerau Gray, James J. Hayes, T. Kennedy Helm, Baylor O. Hickman, C. C. Hiest, Saunders P. Jones, Milburn P. Kelley, Walter I. Kohn, Brainard Lemon, S. Clay Lyons, Charles C. Mengel, Ben J. Metcalfe, J. Wm. Miller, Thomas J. Minary, David C. Morton, Edward J. O'Brien, Jr., Henry D. Ormaby, John B. Pirtle, Ben Robertson, Arthur M. Rutledge, William Short, William S. Speed, John Stites, Sam H. Stone, E. Leland Taylor, Horace A. Taylor, Robert F. Vaughan, Henry Vogt, E. Weinstein.

The Transfer Agents are: Harris Trust and Savings Bank, Chicago, Illinois; The Louisville Trust Company, Louisville, Kentucky.

The Registrars are: Continental Illinois Bank and Trust Company, Chicago, Illinois; National Bank of Kentucky, Louisville, Kentucky.

The Committee on Stock List recommended that the aforescribed 2,000,000 shares of Common stock, \$10 par value, of The BancorKentucky Company be admitted to the list, of which amount 1,630,000 shares are admitted to trading upon notice; the balance, whole or in part, to be admitted to trading upon official notice; said stock to be admitted to trading in the form of temporary stock certificates, with further authority to admit the engraved stock certificates upon official notice of their availability.

The Governing Committee adopted the recommendation of the Committee October 2, 1929.

C. T. ATKINSON,  
Secretary.

CIRCULAR No. 1262

of

## THE CHICAGO STOCK EXCHANGE

on

## The BancoKentucky Company.

(A Delaware Corporation).

Reference is made to the previous application of The BancoKentucky Company for admission to the list of 2,000,000 shares of its Common stock of \$10 par value with authority to admit to trading 1,630,000 shares, approved by the Governing Committee on October 2, 1929.

The BancoKentucky Company has made supplemental application requesting the listing of 2,000,000 additional shares of said Common stock, making a total of 4,000,000 shares of a presently authorized capital consisting of 5,000,000 shares of said stock, admitted to the list.

The additional stock is to be issued in connection with the acquisition of stock of additional banks, to provide additional cash resources for the Company and for other corporate purposes. Reference is made to Circular No. 1121 of The Chicago Stock Exchange for other information with regard to the Company.

## THE BANCOKENTUCKY COMPANY

## PRO FORMA BALANCE SHEET—As of April 23, 1930.

After Giving Effect to Increase of Outstanding Capital stock to 4,000,000 Shares of \$10 Par Value

## ASSETS

Investments	\$ 66,306,408.00
Cash	33,635,923.73
Total	\$100,042,411.73

## LIABILITIES

Capital	\$ 40,000,000.00
Surplus	60,042,411.73
Total	\$100,042,411.73

The Committee on Stock List recommended that the aforementioned 2,000,000 additional shares of The BancoKentucky Company Common stock of \$10 par value be admitted to the list, in accordance with the terms of the original application; to be admitted to trading on notice.

The Governing Committee adopted the recommendation of the Committee on April 23, 1930.

C. T. ATKINSON,  
Secretary.

District Court of the United States for  
 the Western District of Virginia  
 A. M. Anderson, Treasurer, Plaintiff  
 vs.  
 David J. Arnold, et al, Defendants  
 Plaintiff's Defendants' Exhibit No. 210

# Exhibit 211

IN THE DISTRICT COURT OF THE UNITED STATES  
FOR THE EASTERN DISTRICT OF MICHIGAN

PAUL H. DELING, et al.,

Plaintiffs,

v.

E. C. SCHAA, Receiver of the  
GUARDIAN NATIONAL BANK OF  
COMMERCE OF DETROIT, and  
ALEXANDER J. GROEBBECH,  
Receiver for GUARDIAN DETROIT  
UNION GROUP, INCORPORATED, et al.,

Defendants.

SOUTHERN DIVISION

*Amended*  
District Court of the United States for  
the Western District of Michigan

A. M. Anderson, Receiver, Plaintiff

vs. No. 1046

David J. Abbott, et al. Defendants

Plaintiff's Defendants' Exhibit No. 211

IN EQUITY

No. 6035

## FINDINGS OF FACT

In view of the numerous corporations involved in this suit and the great group of individuals who are interested directly or indirectly in the various steps in connection with the corporations prior to the levying of the stock assessment, and in order to clarify as far as possible the situation by specific findings of fact, which may or may not be of importance to the various groups of stockholders, the court makes the following findings of fact:

1. The Guardian National Bank of Commerce of Detroit is a national banking association, organized under the laws of the United States, with an authorized issued and outstanding capital stock of \$10,000,000, divided into 100,000 shares of the par value of \$100 each, with its principal place of business in the City of Detroit, in Wayne County, Southern Division of the Eastern District of Michigan.

2. This bank failed to reopen its doors for the transaction of banking business after the close of business at 12 o'clock noon on Saturday, February 11th, 1933. The Governor of the State of Michigan declared a state bank holiday February 14, 1933, which was from time to time extended until the national bank holiday on March 6th declared by the President of



## Exhibit 211

the United States. As the result of these bank holidays declared by the Governor of Michigan and by the President of the United States, the bank did not reopen, and on March 15, 1933, the Comptroller of the Currency found that this bank was unable to transact and carry on its ordinary business or to exercise its usual banking functions without prejudicing the rights of depositors and creditors, and pursuant to the act of Congress relating to the appointment of conservators, the Comptroller of the Currency did on March 15th, 1933, appoint E. J. Schran conservator of said bank, who thereupon qualified, took possession and control thereof, and continued in such possession and control until his appointment as receiver thereof on May 11th, 1933.

5. It appearing to the satisfaction of the Comptroller of the Currency of the United States on May 11th, 1933, that said Guardian National Bank of Commerce of Detroit was insolvent and unable to pay its just and legal debts, after a finding by him of the necessity therefor in accordance with the statutes of the United States, the Comptroller of the Currency appointed E. J. Schran receiver of said bank, with all the powers, duties, and responsibilities given to or imposed upon a receiver under the provisions of the revised statutes of the United States. The receiver immediately qualified on May 11th, 1933, and has been and now is the duly appointed, qualified, and acting receiver of the Guardian National Bank of Commerce of Detroit.

6. On May 15, 1933, the Comptroller of the Currency, in compliance with the laws relating thereto, found and declared that it appeared to his satisfaction that in order to pay the contract debts and engagements of the bank, it was necessary to enforce the individual liability of the stockholders of the bank and did therefore levy and make an assessment and requisition on the shareholders of the Guardian National Bank of Commerce of Detroit for \$10,000,000, and did make demand upon each and every one of said shareholder

Exhibit 211

for the par value of each and every share of the capital stock of said bank held or owned by said shareholders respectively at the time of its failure, and thereupon directed B. C. Schram receiver aforesaid to take the necessary proceedings by suit or otherwise to enforce the individual liability of said shareholders. The date for the payment of the assessment fixed by the Comptroller of the Currency was June 25rd, 1933, but by successive extensions was finally fixed as July 31, 1933.

5. In compliance with the directions of the Comptroller of the Currency, the defendant, B. C. Schram, duly notified all the shareholders of said bank of the fact of the levy of said assessment, and made demand upon each of the shareholders of this bank (including each plaintiff and intervenor in this suit).

6. On July 12th, 1933, the plaintiffs filed their bill of complaint in this cause seeking permanently to enjoin the defendant Schram as receiver of said bank from taking any steps toward collecting said assessment from the plaintiffs and all other stockholders of Guardian Detroit Union Group, Inc., and on said day obtained a temporary restraining order against the defendant Schram preventing him from undertaking to collect the said assessment from plaintiffs and the other stockholders of said company, later obtained an interlocutory injunction continuing in effect the restraining order during the pendency of this cause, and by virtue of this order the defendant Schram was prevented from complying with the instructions of the Comptroller of the Currency relative to the collection of the assessment *as far as the shareholders of the Guardian Detroit Union Group, Inc., were concerned.*

7. The Guardian National Bank of Commerce of Detroit resulted from the consolidation of the National Bank of Commerce of Detroit, a national banking association, and the Guardian Detroit Bank, a Michigan state bank, on December 31st, 1931. Prior to that time the Griswold First State Bank, another Michigan state bank, consolidated with the National Bank of Commerce of Detroit under the latter name, on February 28th, 1929.

(3)

## Exhibit 211

The Comptroller of the Currency, upon proof satisfactory to him, had found that the consolidations were in due and proper form and in compliance with the National Banking Law, and the validity of the consolidations is not open to challenge by the plaintiffs and intervenors, or defendants, in this suit.

8. At the time of the appointment of the receiver of the Guardian National Bank of Commerce, all of its capital stock, with the exception of qualifying shares standing in the names of the officers and directors of said bank, were registered upon the stock books of the bank in the name of Guardian Detroit Union Group, Inc. as owner of such stock. The principal controversy arises over the nature of the ownership of such stock by Guardian Detroit Union Group, Inc., it being the contention of the plaintiffs and intervenors that this corporation was the true, legal and beneficial owner of the stock of the Guardian National Bank of Commerce, and that it alone is responsible to the receiver for the stock assessment. The receiver contends that the corporation held the stock merely as agent or trustee for the benefit of its shareholders, and that its shareholders are the real and beneficial owners of the bank stock and are liable for the assessment by virtue of being the real and beneficial owners of the bank stock, and by reason of the articles of incorporation of the Guardian Detroit Union Group, Inc., and the certificates of stock held by the shareholders, all of which makes it necessary for the court to make further findings in regard to the nature, operation and functions of the Guardian Detroit Union Group, Inc.

(a) The Guardian Detroit Union Group, Inc.

is the final corporate form under an original charter of the Guardian Detroit Group, Inc., a corporation organized and existing pursuant to Act. No. 84 of the Public Acts of Michigan for the year 1921, as amended, for the purposes as set forth in its charter (See Exhibit 44, pages 1 to 4 inclusive.)

(4)



## Exhibit 211

(b) Article III states its purpose. "The purpose or purposes of this corporation are as follows: To acquire, own, hold, dispose of, and deal in stocks, bonds and other evidences of indebtedness, and securities, including those issued by any corporation, domestic or foreign, and to possess and exercise in respect thereto all the rights, powers and privileges of individual owners thereof, including the right to vote the same and to execute proxies therefor."

(c) The authorized capital was \$7,500,000 with \$1,000 subscribed and paid in.

(d) Article IX contains the following: "The holders of the stock of this corporation shall be individually and severally liable (in proportion to the number of shares of its stock held by them respectively) for any statutory liability imposed upon this corporation by reason of its ownership of shares of the capital stock of any bank or trust company."

(e) The subscribers were excused by resolution of the board of directors from making payment for the stock.

(f) The articles of incorporation were executed on the 9th day of May, 1929, and duly and legally filed with the Secretary of State for the State of Michigan, and the County Clerk for the County of Wayne, State of Michigan.

(g) On May 15, 1929, the Securities Commission of the State of Michigan validated 100,000 shares of common stock for exchange for 50,000 shares of Guardian unified stock, 20,000 shares for exchange for 10,000 shares of Highland Park State Bank stock, and 5,000 shares for exchange for 5,000 shares of stock of Highland Park Trust Company, and also listed and authorized for sale 5,000 shares of common stock at the price of \$24.50 per share, 15,100 shares at the price of \$25 per share, which was

(5)

## Exhibit 211

amended May 21, 1928, authorizing a validation for the sale of 15,000 shares at the price of \$49.80, and 32,750 shares at the price of \$90 per share, and permanent validation of 450,000 shares for exchange for 50,000 shares of Guardian unified stock, 50,000 shares for exchange for 10,000 shares of Highland Park State Bank stock, and 12,500 shares in exchange for 5,000 shares of Highland Park Trust Company stock, and thereafter issued its final order of approval dated June 1st, 1929, confirming the foregoing.

(h) The plan and agreement for exchange of unified stock of the Guardian Detroit Bank and Guardian Trust Company of Detroit and Guardian Detroit Company, and similar agreement for exchange of shares of Highland Park State Bank and Highland Park Trust Company, are set forth at length in Exhibit 44, pages 22-a, 22-b and 22-c, which are hereby referred to and made a part of this finding.

(i) In the application to the Securities Commission, the application stated that the Guardian Detroit Group, Incorporated, is a holding company which will hold inter alia over 75 per cent of the capital stock of the following corporations, listing several banks and trust companies and a security company, and it was recited that the proceeds of the issuance of its stock would be used to obtain by exchange the capital stock of the listed companies and to raise the additional capital for the use of certain of such subsidiary companies.

(j) Thereafter the Guardian Detroit Group, Incorporated, by means of exchange of its capital stock, acquired the entire capital stock of the following banks and trust companies:

Guardian Detroit Bank  
Guardian Detroit Company  
Guardian Trust Company  
Highland Park State Bank

(6)

## Exhibit 211

Highland Park Trust Company

Bank of Dearborn

National Union Bank & Trust Company

Federal Commercial & Savings Bank of  
Port Huron

First National Trust & Savings Bank  
of Port Huron

Bank of Detroit

The sole consideration for the deposit of the stocks of the above banks of the Guardian Detroit Group, Incorporated, was the exchange of holding company shares for the shares of the capital stock of the above banks, as provided in the deposit agreements.

(k) On December 16, 1929, by formal resolution of the respective boards of directors, ratified and approved by the unanimous vote of their respective stockholders at special stockholders' meetings, held for that purpose, of which due and proper notice was given, of the Union Commerce Corporation and of the Guardian Detroit Group, Incorporated, a plan of consolidation and merger was adopted providing for the exchange of one share of Guardian Detroit Union Group, Incorporated, stock for each share of Union Commerce Corporation stock. The plan further provided that article IX of the articles of association of Guardian Detroit Group, Incorporated, should be amended so as to provide a method of enforcing double liability of stockholders with respect to bank and trust company capital stock. Said plan was consummated, and pursuant to the terms of said merger and consolidation, article IX of the articles of association of Guardian Detroit Union Group, Incorporated, was amended so as to read as follows:

"The holders of stock of this corporation shall be individually and severally liable (in proportion to the number of shares of its stock held by them respectively) for any statutory liability imposed upon this corporation by reason of its ownership of shares of the capital stock of any bank or trust company, and the

(7)

2177



## Exhibit 211

"stockholders of this corporation by the acceptance of their certificates of stock of this corporation severally agree that such liability may be enforced in the same manner as statutory liability may now or hereafter be enforced against stockholders of banks or trust companies under the laws of the United States or the State of Michigan. A list of the stockholders of this corporation shall be filed with the Banking Commissioner of Michigan and the

(8)

2178

## Exhibit 211

Comptroller of the Currency whenever requested by either of those officers."

And a copy of the articles of association of the Guardian Detroit Union Group, Incorporated, with the amended Article IX, was filed with the County Clerk of Wayne County, the Secretary of State of the State of Michigan, and with the Securities Commission of the State of Michigan.

L. Subsequent to the above merger, there was deposited with and acquired by Guardian Detroit Union Group, Incorporated, by means of the exchange of its shares of stock, all of the capital stock of the following banks and trust companies:

Peoples National Bank, Jackson

Grand Rapids National Bank,

Grand Rapids Trust Company,

Second National Bank & Trust Company, Saginaw

Capital National Bank, Lansing,

First National Bank & Trust Company, Kalamazoo,

City National Bank & Trust Company, Niles,

National Bank of India

9. The Union Commerce Corporation, which merged with the Guardian Detroit Group, Incorporated, under the name of Guardian Detroit Union Group, Incorporated, by the sale of its assets to the Guardian Detroit Union Group, Incorporated, in exchange for its certificates of stock, upon which Article IX was printed on the back, and referred to on the face of the certificate, was the first of these so-called holding corporations. It was incorporated under the name of Union Commerce Investment Company (later changed to Union Commerce Corporation), chartered, organized and existing under and by virtue of the laws of the State of Delaware on May 17th, 1928, with an authorized capital stock of \$5,000,000, divided into 50,000 shares of the par value of \$100 each, and its certificate of incorporation was filed in the office of the Secretary of State of Delaware on the 24th day of May, 1928. Said corporation was duly and legally authorized to transact the following, among other business:

"to subscribe for, purchase, acquire, invest in, hold for investment, or otherwise own, trade, generally deal in and to use, sell, assign, transfer, pledge, mortgage, exchange,

## Exhibit 211

or otherwise dispose of the stock, bonds, and other evidences of indebtedness of any corporation, domestic or foreign, public, quasi-public, or private, and to possess and exercise in respect thereof all rights, powers, and privileges of individual owners or holders of such stock, including the right to vote thereon and to execute proxies therefor."

(a) Article VIII of the charter contained the following clause: "Private property of stockholders shall not be subject to the payment of corporate debts, except that, the holder of each share of the stock of this corporation shall be individually and severally liable for such stockholder's ratable and proportionate part (determined on the basis of their respective stock holdings of the total issued and outstanding stock of this corporation) of any statutory liability which may be determined, imposed upon, or assessed against this corporation;

"(a) by reason of its ownership of any stock in any bank now or hereafter organized and existing under the national banking act of the United States of America, and any amendment thereto, and/or under any present or future law or laws of the United States of America governing and controlling the organization and regulation of capitalized national banks;

"(b) by reason of its ownership of any stock in any trust company, now or hereafter organized and existing under the Trust Deposit and Security Companies act of the State of Michigan, and any amendment thereto, and/or any present or future law or laws of the State of Michigan governing and controlling the organization and regulation of trust companies;

"(c) by reason of its ownership of any stock in any bank now or hereafter organized and existing under the laws of the State of Michigan authorizing the business of banking and to establish a banking department for the supervision of such business, and any amendment



## Exhibit 211

"thereto, and/or - - under any present or future law or laws of the State of Michigan governing and controlling the organization and regulation of banks."

(b) Thereafter said corporation was duly and legally admitted to do business within the State of Michigan on the 19th day of June, 1928.

(c) Pursuant to deposit authorization providing for deposit with the Union Trust Company as depository of stock of the National Bank of Commerce of Detroit, Union Trust Company, and Union Company of Detroit, Union Commerce Company acquired a substantial portion of the capital stock of said National Bank of Commerce of Detroit and said Union Trust Company and Union Company of Detroit, and thereafter, to-wit, on or about May 21st, 1929, it acquired by subsequent purchase or transfers to it other shares of stock of said National Bank of Commerce of Detroit, Union Trust Company, and Union Company of Detroit, until it acquired in reality by exchange of its stock all of the shares of the capital stock of these three corporations, except certain shares which were left in the names of the directors and officers to qualify them.

(d) On or about October 31, 1928, it entered into negotiations and ultimately acquired by exchange of its stock all of the shares of the Griswold First State Bank, a state bank existing under the laws of the State of Michigan.

(e) Thereafter pursuant to the meeting of the stockholders of the company, duly and legally held on January 29th, 1929, the capital stock of said corporation was increased to \$10,000,000, divided into 100,000 shares of the par value of \$100 each, and authorization given to the board of directors to offer not exceeding 25,000 additional shares of said increase to the stockholders for subscription at the price of \$300 per share, and thereafter the stockholders took up said stock, producing an additional sum of \$7,500,000 of paid in capital of the corporation.

(f) From time to time other shares of stock were arranged to be sold and were sold to the stockholders. The purpose of these sales was to provide funds either to acquire more bank or trust company stock, or affiliates thereof, or to finance the obligations of units whose stock was owned by the holding company.

## Exhibit 211

(g) On August 20th, 1929, provision was made for the acquisition of Keane-Higbie & Company stock on the basis of an exchange of one share of Union Commerce Corporation stock for one share of Keane-Higbie & Company stock. Keane-Higbie & Company was a Michigan corporation organized and existing under the laws of the State of Michigan, engaged in the business of selling stocks, bonds, and corporate securities. It was a corporation organized under the laws of the State of Michigan for pecuniary profit.

(h) About the same time the Union Commerce Corporation was engaged in negotiations with the Union State Bank of Dearborn, the Bank of Commerce of Dearborn, the Trenton State Bank of Trenton, Michigan, Jefferson Savings Bank, Grosse Pointe Park, Michigan, all corporations organized under the Michigan banking laws, and also Union Joint Stock Land Bank of Detroit, a Federal land bank agency.

(i) About Sept. 10th, 1929, negotiations were commenced for the merger of the Union Commerce Corp. with the Guardian Detroit Group, Inc.

(j) The plan of the merger between the Union Commerce Corp. and the Guardian Detroit Group, Inc. contemplated the declaration of a stock dividend of 20 per cent to be taken and paid by Guardian Detroit Company, Inc. to its then stockholders, the provision for the issuance of not to exceed 474,500 additional shares of Guardian Detroit Group, Inc. to carry out the commitments of the corporation with which it was then dealing, the delivery to Union Commerce Corporation of not to exceed 800,000 shares for the acquisition of sundry corporations then under negotiation by Union Commerce Corporation, which agreements had not then been fully consummated.

(k) On Dec. 16, 1929, joint meetings of the stockholders of the Union Commerce Corporation and of the Guardian Detroit Group were held and the transaction completed whereby the Union Commerce Corporation executed a bill of sale, as shown by Exhibit 23, Volume 1, page 277, and all the assets of the Union Commerce Corporation pursuant thereto

## Exhibit 211

excepting only the sum of \$100,000, delivered to Guardian Detroit Union Group, Incorporated, for which the Group corporation delivered to Union Commerce Corporation 753,695 shares of the capital stock of the Guardian Detroit Union Group, Incorporated, of the par value of .20 per share.

10. Thereafter in due course the Union Commerce Corporation took steps pursuant to which it was duly and legally dissolved, according to the laws of the State of Delaware, its debts paid, and a liquidating dividend declared by Union Commerce Corporation to all its stockholders at the rate of 5 cents per share in cash, and also a pro rata distribution and liquidation of 633,695 shares of Guardian Detroit Union Group, Incorporated, to the then stockholders of Union Commerce Corporation, and 100,000 shares of the Guardian Detroit Union Group, Incorporated, to Keane-Higbie & Company in fulfillment of the agreement to acquire all of the shares of the capital stock of Keane-Higbie & Company.

11. Thereafter the Guardian Detroit Union Group, Incorporated, in addition to the banks which it acquired outright, as hereinbefore set forth, acquired a minority interest in the following corporations:

Thompson Savings Bank of Hudson, Michigan,  
State Savings Bank, Vestaburg, Michigan  
State Savings Bank, Stanton, Michigan,  
State Bank of Six Lakes, Six Lakes, Michigan  
State Savings Bank of Reus, Michigan  
State Savings Bank, Clinton, Michigan  
Blanchard State Bank, Blanchard, Michigan

At the time of the sale of the assets of the Union Commerce Corporation to Guardian Detroit Union Group, Incorporated, which included amongst its assets certain of the shares of the National Bank of Commerce, a deposit agreement in pursuance of the plan of merger was executed by substantially all of the stockholders of the Union Commerce Corporation in the form of Exhibit No. 218, which is attached hereto and made a part hereof, and is the only form of deposit agreement signed by any of the stockholders of the Union Commerce Corporation.



12. It was the primary purpose of the Guardian Detroit Union Group, Incorporated, of the Guardian Detroit Group, Incorporated, and of the Union Commerce Corporation to hold the stocks and vote the same in state and national banks and trust companies in such a way as to avoid the destruction of the corporate units, and if and when either of them acquired an interest in another enterprise, whether acquired by exchange of its stock or by the sale of stock and the use of the proceeds, or the purchase thereof, the transaction was merely incidental to its principal plan of holding and controlling stock in the various state and national banks in the State of Michigan, and the evidence disclosed by the various minutes of the directors and stockholders, both as to the holding companies and the various units, shows that this primary plan or purpose of the holding company was executed for the purposes aforesaid.

13. The Guardian Detroit Union Group, Incorporated, was the holder of the legal title to all of the capital stock in the Guardian National Bank of Commerce, but it held the same for the real and beneficial use of those who held certificates of stock in the Guardian Detroit Union Group, Incorporated, and the holders of these certificates of stock are the real and beneficial owners of the capital stock of the Guardian National Bank of Commerce.

14. The Union Commerce Corporation, the Guardian Detroit Group Incorporated, and the Guardian Detroit Union Group, Incorporated, were chartered upon articles of association agreed to and subscribed by the principal officers and directors of the banking institutions and trust companies in which the holding companies were organized for the purpose of holding the stock, and these companies as were holding companies for the convenience, profit, and advantage of the shareholders in the various banks and trust companies were merely agents or trustees for the real and beneficial stockholders of the banks, whether state or national banks. The holding companies were not organized by the officers and holders of stock in the various banks for any fraudulent or illegal purposes, or with the intent to avoid or defeat the statutory liability for stock assessment. On the contrary, it was the manifest intention of the incorporators of these groups that their stockholders should be liable for the stock assessment, which

## Exhibit 211

their proportionate part of the stock assessment on the capital stock of the Guardian National Bank of Commerce <sup>standing in the name of the Guardian Detroit Union Group</sup> upon the theory that they are the real, true, and beneficial owners of the stock, and that the Guardian Detroit Union Group, Incorporated, was merely acting as agent or trustee for the benefit of the real, true and beneficial owners, to-wit, the shareholders of the Guardian Detroit Union Group, Incorporated.

A decree will accordingly be entered in conformity with these findings and conclusions.

The statutory liability against stockholders of banks and trust companies imposed by the state and federal law was designed to afford some additional protection to the depositors and creditors of such institutions. The real and beneficial owners of such stock can not defeat the provisions of these statutes by the creation of a so-called holding company, by transferring their stock to it. A court of equity should look through such devices and discover and hold accountable the real and beneficial owners of such stock.

The legal question here involved is novel. An appellate court has held that a stockholder can not escape the liability by the creation of a corporation to whom he transfers his stock for the purpose of avoiding the assessment. If this is law, then a shareholder, who conveys his stock to a holding corporation under an express agreement to remain liable for the assessment, in all conscience ought to make good that obligation.

Moreover, as a matter of sound public policy, a holding company of bank stock, whose chief functions and assets consist of such stockholding, should be declared a mere trustee for its shareholders who must respond to the statutory assessment.

The situation is simple if we could assume all the stockholders of Guardian National Bank of Commerce organized a holding corporation for the

Exhibit 211

purpose of conveying their stock to it. Given a subsequent insolvency of the bank, I take it to be conceded, in such a case, the stockholders would be liable for the assessment. The same result should then follow if the holding company, under like conditions, obtains all the stock in several banks. The contention that its ownership of stock in other banks constitutes assets is more fiction than fact. If one of its units becomes insolvent, in practice the chain falls by the breaking of the link. Therefore, I conclude that a corporation for holding bank stock, under the conditions as shown here, is a mere agent or trustee for the real owners of bank stock, and that this device should not shield them from the statutory assessment.

This March 8, 1934

(signed) JOHNSON J. HAYES

U. S. District Judge

(Filed in Clerk's Office  
Mar. 8, 1934  
Elmer W. Voorheis, Clerk.)



## Exhibit 211

should be enforced in the same manner as against stockholders in state or national banks, as the case might be, and the stockholders of the Guardian Detroit Union Group, Incorporated, by the acceptance of their certificates of stock, which referred upon the face of the certificate to Article IX and incorporated the same in the back of the certificate, assented to the arrangement to be primarily liable to the bank for a stock assessment in the event one were levied in the proportion that his share of stock in the Guardian Detroit Union Group, Incorporated, bore to the total amount of outstanding stock of the Group for any stock assessment on the Guardian National Bank of Commerce stock.

15. The Guardian Detroit Union Group, Incorporated, was the owner of all the stock of the Guardian National Bank of Commerce, although it ceased to be issued and permitted to remain in the name of the officers and directors of the Guardian National Bank of Commerce a sufficient amount of stock to qualify the directors and officers to act in their various capacities.

*check to bank of bank*  
[The arrangement by which this was accomplished was this: The directors surrendered a certain number of shares of their stock in the Guardian Detroit Union Group, Incorporated, for the ostensible purpose of creating the earmarks of a sale; and thereupon caused to be issued to the directors ten shares of the capital stock of the Guardian National Bank of Commerce] but the director was required to execute an assignment to the Guardian Detroit Union Group, Incorporated, of the ten shares of stock, as well as any dividends that might accrue thereon, and deposit the same together with the certificate with the depository who had the irrevocable right to transfer this stock if and when the director ceased to be a director, and to complete the circuitous journey the Guardian Detroit Union Group, Incorporated, having cancelled the director's fifty shares of stock, issued a new certificate in his name and deposited this certificate in trust with the depository so that it could be returned to the director if and when he ceased to be a director, but it entered into a solemn contract to pay the director the dividends on the fifty shares of stock in the same manner as if he had not surrendered his stock. But in fairness to the directors, I find that they were advised that this was legal, and that this advice was furnished by reputable members of the bar in the City of Detroit. While some of the directors hesitated to take the oath required of directors, after being assured that the scheme was legal, they sub-

## Exhibit 211

tinued to act in the capacity of director. There is no evidence to show that the directors at any time acted for their own personal profit or advantage, or that they were actuated by any improper or fraudulent motives, but the evidence does show that the Guardian Detroit Union Group, incorporated, through its directors, virtually nominated and elected the directors in the various units, and that through this arrangement many things were done for the promotion of the general interests of the Group, which, in my opinion, would not have been done if the Group had not been in virtual control of the banks. While I recognize that there was a transfer of assets and shifting of credits for the benefit of some of the weaker units by the stronger units of the Group, yet it is merely problematical whether these things would not have been done if there had not been a holding group. In other words, there did prevail throughout the entire country a belief that the failure of a bank had a bad psychological effect upon other banks and would hasten runs thereon, with the consequent result that many banking institutions in utmost good faith extended credits which were not perfectly sound, but in the honest belief that it was for the ultimate best interests of their depositors and stockholders.

(13)

## Exhibit 211

16. The shareholders of the Guardian Detroit Union Group, Incorporated, by filing their articles of incorporation as a public record in the office of the Secretary of State at Lansing and in the office of the Clerk of the County of Wayne at Detroit, Michigan, and by published statements, represented to the public that they were liable for the payment of any assessment that might be levied by state or national law upon any bank of the Group in the same manner as if they were the record holders of the banks' stocks. The depositors of the Guardian National Bank of Commerce of Detroit relied upon such representations in making deposits in said bank and in keeping their deposits in said bank, and have been injured thereby, and said shareholders are now estopped to deny such liability.

17. The shareholders of Guardian Detroit Union Group, Incorporated, exercised all the rights of shareholders of the Guardian National Bank of Commerce of Detroit through their agency, the Guardian Detroit Union Group, Incorporated, secured and retained for their own use and enrichment the advantages and benefits of shareholders of the Guardian National Bank of Commerce of Detroit, and used the Guardian Detroit Union Group, Incorporated, as a mere instrumentality or agency by which their purpose to unify the control, operation and ownership of a large number of national and state banks in the State of Michigan and elsewhere could be carried out.

18. A voluntary petition for dissolution of Guardian Detroit Union Group, Incorporated, was filed in the Circuit Court for the County of Wayne, on March 29th, 1935, and a temporary receiver appointed. Thereafter an order of dissolution was entered and a permanent receiver appointed. The liabilities of the Guardian Detroit Union Group, Incorporated, exceed the value of its assets.

19. At the request of the agent and representative of the Comptroller of the Currency of the United States, the Guardian Detroit Union Group, Incorporated, on the 26th day of March, 1935, delivered to the Comptroller of the Currency of the United States, through his agent and representative, a certified list of the stockholders of record of said Guardian Detroit Union Group, Incorporated, in compliance with article IX of the articles of association of said company.



## Exhibit 211

20. There was issued and outstanding at the time of the failure of the Guardian National Bank of Commerce of Detroit 1,544,088 shares of Guardian Detroit Union Group, Incorporated, stock. The holders of said shares were the owners of 100,000 shares of the capital stock of the Guardian National Bank of Commerce of Detroit, less the shares in the names of the directors and officers, against whom the receiver is entitled to collect the assessment by virtue of the fact that they are the registered owners of said stock, and each of said so-called Group shareholders was the owner of the number of shares of Guardian National Bank of Commerce of Detroit stock in the percentage and fraction that his holdings of said Group stock bore to the total number of said Group shares outstanding. Each share of said so-called Group stock outstanding represented the ownership of .054362 shares of Guardian National Bank of Commerce of Detroit stock, and consequently the assessment levied by the Comptroller of the Currency upon the shareholders of Guardian National Bank of Commerce of Detroit is equal to the sum of \$8.4362 upon each share of Guardian Detroit Union Group, Incorporated, stock outstanding.

21. At the time of the levy of said assessment and requisition of the Comptroller of the Currency, the plaintiffs and intervening petitioners were the owners of record of the number of shares of capital stock of Guardian Detroit Union Group, Incorporated, set opposite their respective names on a schedule and a list hereto attached and marked Exhibit A, the proportionate part of said assessment against each of the plaintiffs and intervening petitioners being in the amount set opposite their respective names on said Exhibit A in the column thereof designated "amount of assessment."

22. The obligation of the shareholder in Guardian Detroit Union Group, Incorporated, referred to in Article IX never was signed by the shareholder or by any agent thereunto by him lawfully authorized.

23. The obligation by such shareholder was for the primary benefit of the depositors and creditors of the bank and not merely to indemnify the Guardian Detroit Union Group, Incorporated. The certificate of incorporation and the privilege to issue stock granted by the Michigan Securities Commission were granted upon the express condition of the assumption of this obligation by the stockholder for the benefit of the bank and to secure its creditors

## Exhibit 211

and depositors and this fact was made known by the Attorney General of the state, by the Banking Department, and by the Securities Commission to the officers and stockholders of the Guardian Detroit Union Group, Inc. so that the very issuance of the certificates of stock to entitle the holders thereof to participate in the dividends and profits to be derived by virtue of the investment in bank stock was conditioned upon his assumption of the stockholders' liability in the bank. His acceptance of the stock under such circumstances created a binding direct obligation on his part to the bank to pay his pro rata part of the assessment.

### CONCLUSIONS OF LAW.

1. The plaintiffs and intervening petitioners, as the holders of stock in the Guardian Detroit Union Group, Inc. are not entitled to enjoin the receiver of the Guardian National Bank of Commerce from the collection of the stock assessment.

2. Being the real and beneficial owners of the stock, they are liable for the payment of the assessment in the proportion that the number of shares of stock held by them bear to the total outstanding shares of stock of the Guardian Detroit Union Group, Inc. on such of the stock assessment as could be levied by the receiver against the Guardian Detroit Union Group on account of its being the registered owner of the stock, the proportion being set forth in the findings of fact.

3. The acceptance by the plaintiffs and intervening petitioners of the shares of stock of the Guardian Detroit Union Group, Inc. with Article II thereon constituted a binding obligation on the part of each holder of the shares of stock of the Guardian Detroit Union Group, Inc., to pay to the Guardian National Bank of Commerce their proportionate share of the stock assessment which was levied against that portion standing in the name of Guardian Detroit Union Group, Inc.

4. Their promise is not one to answer for the debt, default or miscarriage of another and the statute of frauds has no application.

## Exhibit 211

5. The plaintiffs and interveners, and the receiver, are alike bound by the finding of the Comptroller of the Currency as to the compliance with the law in regard to the incorporation and various consolidations of the Guardian National Bank of Commerce, and no party to this suit can challenge in this cause the legality of the consolidations leading up to and culminating in the final consolidation of the Guardian National Bank of Commerce of Detroit.

6. The receiver, by suing four of the directors who appeared of record as the stockholders of Guardian National Bank of Commerce of Detroit, is not estopped thereby in this proceeding to show that the shareholders of the Guardian Detroit Union Group, Inc. are the real, true, and beneficial owners of the stock of the Guardian National Bank of Commerce, nor in any wise estop the receiver in this suit from establishing the true relationship existing between the shareholders of the Guardian Detroit Union Group, Inc. and the Guardian National Bank of Commerce.

7. Plaintiffs and intervening petitioners have represented to the depositors and creditors of the bank that they were liable and would pay said assessment, and credit having been extended to the bank by reason thereof, they are now estopped to deny said liability in this cause.

8. The receiver of the Guardian Detroit Union Group, Inc. had no beneficial interest in the recovery against these shareholders, since the corporation of which he is receiver was a mere agent or trustee for the real and beneficial owners of the stock, and the assessment liability, being primarily for the benefit of the Guardian National Bank of Commerce, should be recovered by the receiver of the Guardian National Bank of Commerce in the same manner and to the same extent as if the real and beneficial owners of the stock had been the registered owners thereof, and the receiver, having been made a party to this suit by leave of the court of competent jurisdiction which appointed him, and having become such a party and filed an answer in this cause, is bound by the decree entered herein and cannot withdraw from the case or limit the effect of the decree entered herein.

9. The receiver of the Guardian National Bank of Commerce of Detroit is entitled to recover of the plaintiffs and intervening petitioners



**Exhibit 211**

**DEPOSIT AGREEMENT**

---

**RELATING TO THE DEPOSIT OF THE STOCK OF**

**UNION COMMERCE CORPORATION**

**PURSUANT TO THE PROPOSED PLAN  
FOR THE MERGER OF**

**UNION COMMERCE CORPORATION**

**AND**

**GUARDIAN DETROIT GROUP, INC.**

## Exhibit 211

### *Deposit Agreement*

WHEREAS, a Plan of Merger of Union Commerce Corporation and Guardian Detroit Group, Inc., has been approved by the Board of Directors of each institution in the following form:

(1) It is proposed that a stock dividend of 20% shall be paid by Guardian Detroit Group, Inc., upon its outstanding stock and upon such of its additional shares as shall be deliverable in exchange for shares of other banks and trust companies for the acquisition of which stocks Guardian Detroit Group, Inc., is now negotiating. The total number of shares upon which such stock dividend shall be paid shall not, however, exceed 474,500.

(2) It is proposed to change the name of Guardian Detroit Group, Inc., to Guardian Detroit Union Group, Inc., and that thereafter that Company shall issue a sufficient number of additional shares to equal the outstanding shares of Union Commerce Corporation and also, (a) such Union Commerce shares as may be deliverable in exchange for shares of other corporations for which shares Union Commerce corporation is now negotiating and (b) such shares of Union Commerce Corporation as are exchangeable for shares of Union Trust Company, Union Company of Detroit, National Bank of Commerce of Detroit and Michigan Industrial Bank, not now standing in the name of Union Commerce Corporation. The total number of such additional shares as provided in this paragraph shall not exceed 800,000.

(3) After the payment of the stock dividend above referred to, each stockholder of Union Commerce Corporation (including those to whom Union Commerce stock may be deliverable, as above provided) will be entitled to receive one share of Guardian Detroit Union Group, Inc., for each share of Union Commerce Corporation Stock surrendered to or to the order of Union Commerce Corporation in pursuance of this Plan.

(4) It is proposed that thereafter the Union Trust Company and Guardian Trust Company shall be merged or consolidated under the title of Union Guardian Trust Company and that the Guardian Detroit Bank and National Bank of Commerce of Detroit shall be consolidated under the title Guardian Detroit Bank.

(5) The Plan for the merger of these corporations (Union Commerce Corporation and Guardian Detroit Group, Inc.), shall not be effective until the condition of the Union Commerce Corporation and its affiliated companies shall be found to be satisfactory to a committee appointed by Guardian Detroit Group, Inc., nor until the condition of Guardian Detroit Group, Inc. and its affiliated companies shall be found to be satisfactory to a committee appointed by Union Commerce Corporation.

(6) The details of the Plan and the method of bringing about the merger are to be left to the decision of a joint committee composed of an equal number of members appointed by the presidents of Guardian Detroit Group, Inc., and Union Commerce Corporation, respectively. The joint committee shall have full power to determine each and every matter incident to the carrying out of the Plan and to take and authorize any action which in their judgment is advisable to carry out and render such Plan effective.

(7) Neither Guardian Detroit Group, Inc., nor Union Commerce Corporation shall, pending the consummation of the Plan distribute any assets other than current dividends at the rates now obtaining (except the stock dividend above referred to), nor shall the Plan be effective unless assented to before November 1, 1929, by the owners of at least 75 per cent of the stock of Union Commerce Corporation, nor until approved by the Michigan Banking Commissioner, the Comptroller of the Currency and the Michigan Securities Commission with respect to those features requiring such approval. The joint committee shall have power to extend the foregoing date.

## Exhibit 211

NOW, THEREFORE, in consideration of the execution of counterparts of this Agreement by other stockholders of Union Commerce Corporation and the deposit by them of Union Commerce Corporation stock with the Depositary hereinafter named,

THE UNDERSIGNED, subject to the terms and conditions hereof, hereby deposits with Union Trust Company, hereinafter called Depositary, certificates of the stock of Union Commerce Corporation, as follows:

Certificate Number	In Name of	Shares
--------------------	------------	--------

Total Shares

which have been endorsed by the undersigned to the order of the Union Trust Company; and

THE UNDERSIGNED hereby irrevocably authorizes said Depositary, when the Joint Committee above referred to shall notify the Depositary that said Plan of Merger is effective, to surrender said certificates to Union Commerce Corporation or to its order, but only upon delivery to said Depositary for the account of the undersigned of certificates of said Guardian Detroit Union Group, Inc., representing one share of stock of said Guardian Detroit Union Group, Inc., for each share of Union Commerce Corporation stock deposited as aforesaid; and

THE UNDERSIGNED likewise authorizes said Depositary to vote said deposited shares as the proxy of the undersigned at any meeting of stockholders of Union Commerce Corporation (notice of any such meeting being hereby expressly waived) held in connection with the carrying out of said Plan of Merger and in favor of the sale or disposition of all of the property and assets of Union Commerce Corporation for stock of Guardian Detroit Union Group, Inc. pursuant to said Plan of Merger, should that method of consolidation be determined upon; and

THE UNDERSIGNED likewise authorizes said Depositary upon the direction of said Joint Committee to cause the certificates of Union Commerce Corporation deposited herewith to be transferred to its name on the books of said Union Commerce Corporation in order that said Depositary may vote the stock evidenced by said certificates to carry out the said Plan of Merger in the manner agreed upon by the said Joint Committee therein referred to; and

The UNDERSIGNED likewise authorizes said Depositary to vote said deposited shares at any meeting of stockholders of Union Commerce Corporation (notice of any such meeting being hereby expressly waived) held in connection with the dissolution and liquidation of Union Commerce Corporation after the said Plan of Merger shall have become effective; and likewise authorizes said Depositary to cause the certificates of Union Commerce Corporation deposited herewith to be transferred to its name on the books of said Union Commerce Corporation in order that said Depositary may vote the stock evidenced by said certificates in favor of the dissolution and liquidation of said Union Commerce Corporation after the proposed Plan of Merger shall have become effective.

THE UNDERSIGNED hereby expressly agrees that the delivery to him of stock of Guardian Detroit Union Group, Inc., after said Plan of Merger shall have been carried out may constitute the final liquidating dividend on dissolution of said Union Commerce Corporation and the receipt of the undersigned for said stock of Guardian Detroit Union Group, Inc., shall constitute the receipt of the undersigned for such final liquidating dividend.



## Exhibit 211

This Deposit Agreement shall be regarded as, and is hereby declared to constitute the consent of the undersigned to the dissolution of the said Union Commerce Corporation.

An Interim Receipt, transferable subject to all of the terms and conditions hereof, representing the shares deposited hereunder, is to be issued to the undersigned. Unless said Guardian Detroit Union Group, Inc., shares are received by the Depositary for delivery to the undersigned under the terms hereof on or before November 1, 1929, or such later date as the Joint Committee mentioned in said Plan may determine, said deposited shares shall be returned upon the surrender of said Interim Receipt.

This Agreement shall be binding upon the undersigned, his heirs, executors, administrators and assigns.

Executed as of the 3rd day of October, 1929.

Witness:

(L. S.)

**Exhibit 211**

**DETAILED LIST OF PLAINTIFFS AND AMOUNT OF ASSESSMENTS OMITTED**

**Exhibit 211**

**DETAILED LIST OF PLAINTIFFS AND AMOUNT OF ASSESSMENTS OBTAINED**



Exhibit 211

DETAILED LIST OF PLAINTIFFS AND AMOUNT OF ASSESSMENTS OMITTED.

**Exhibit 211**

**DETAILED LIST OF PLAINTIFFS AND AMOUNT OF ASSESSMENTS OMITTED.**

Exhibit 211

DETAILED LIST OF PLAINTIFFS AND AMOUNT OF ASSESSMENTS OMITTED.



Exhibit 211

DETAILED LIST OF PLAINTIFFS AND AMOUNT OF ASSESSMENTS OMITTED.

Exhibit 211

CERTIFIED COPY

D. C. Form No. 89

United States of America

Eastern DISTRICT OF Michigan

ss:

I, George M. Read, Clerk of the United States District Court in and  
for the Eastern District of Michigan, do hereby certify that the annexed  
and foregoing is a true and full copy of the original FINDINGS OF FACT-----

*[Signature]*  
now remaining among the records of the said Court in my office.

IN TESTIMONY WHEREOF, I have hereunto subscribed my name and  
affixed the seal of the aforesaid Court at Detroit, Michigan  
this 21st day of January, A. D. 19 39

GEORGE M. READ

Clerk.

By

*[Signature]*  
Deputy Clerk.

Exhibit 212

DIVIDEND CHECK

DIVIDEND

No. 4

**The Banco Kentucky Company**  
Louisville, Kentucky

No. 5932

OCTOBER 1, 1930

PAY

TO THE  
ORDER  
OF



**The Banco Kentucky Company**

*James B. Brown*  
PRESIDENT

LOUISVILLE TRUST COMPANY  
TRANSFER AGENT

By

SECRETARY

NATIONAL BANK OF KENTUCKY  
OR THE  
LOUISVILLE TRUST CO., LOUISVILLE, KY.

DIVIDEND CHECK